SEATTLE CITY COUNCIL

Legislative Summary

Res 31720

Record No.: Res 31720 **Type:** Resolution (Res) **Status:** Adopted

Version: 1 **Ord. no:** **In Control:** City Clerk

File Created: 11/01/2016

Final Action: 11/28/2016

Title: A RESOLUTION establishing a capital project oversight work program for the Budget Committee for 2017.

Notes:

Sponsors: Burgess

	Date
Filed with City Clerk:	11/28/2016
Mayor's Signature:	11/28/2016
Vetoed by Mayor:	
Veto Overridden:	
Veto Sustained:	

Attachments:

Drafter: patrick.wigren@seattle.gov

Filing Requirements/Dept Action:

History of Legislative File **Legal Notice Published:** ☐ Yes ☐ No

Ver-sion:	Acting Body:	Date:	Action:	Sent To:	Due Date:	Return Date:	Result:
1	City Clerk	11/01/2016	sent for review	Council President's Office			
	Action Text: The Resolution (Res) was sent for review. to the Council President's Office						
	Notes:						
1	Council President's Office	11/02/2016	sent for review	Select Budget Committee			
	Action Text: The Resolution (Res) was sent for review. to the Select Budget Committee						
	Notes:						
1	Full Council	11/07/2016	referred	Select Budget Committee			
1	Select Budget Committee	11/09/2016					
1	Select Budget Committee	11/16/2016	adopt				Pass
	Action Text: The Committee recommends that Full Council adopt the Resolution (Res).						
	Notes:						
			In Favor: 9	Chair Burgess, Member Bagshaw, Member Harrell, Member Herbold, Member Juarez, Member Johnson, Member González , Member O'Brien, Member Sawant			
			Opposed: 0				

1	Full Council	11/21/2016	adopted		Pass
	Action Text:	The Resolution (Res) was adopted by the following vote, and the President signed the Resolution: In Favor: 9 Councilmember Bagshaw, Councilmember Burgess, Councilmember González , Council President Harrell, Councilmember Herbold, Councilmember Johnson, Councilmember Juarez, Councilmember O'Brien, Councilmember Sawant Opposed: 0			
1	City Clerk	11/22/2016	submitted for Mayor's signature	Mayor	
1	Mayor	11/28/2016	Signed		
1	Mayor	11/28/2016	returned	City Clerk	
	Action Text:	The Resolution (Res) was returned. to the City Clerk			
	Notes:				
1	City Clerk	11/28/2016	attested by City Clerk		
	Action Text:	The Resolution (Res) was attested by City Clerk.			
	Notes:				

Amy Tsai
LEG Budget CIP Oversight RES
D6c

CITY OF SEATTLE

RESOLUTION 31720

A RESOLUTION establishing a capital project oversight work program for the Budget Committee for 2017.

WHEREAS, Capital Improvement Program (CIP) oversight is a critical function of the Seattle City Council; and

WHEREAS, effective CIP oversight ensures transparent, accountable use of public dollars; and

WHEREAS, at times the Council has been constrained in its ability to exercise its full duty and authority to oversee CIP projects, such as when the Council first receives large appropriation requests for CIP projects where the project's scope has not been fully defined and/or more design work is needed to fully understand total costs and potential risks; and

WHEREAS, the Council's ability to perform effective capital oversight is dependent on access to thorough information and the opportunity to review and process this information in a timely manner; and

WHEREAS, City capital projects such as the Seawall Replacement and the utilities' New Customer Information System ran millions of dollars over their original proposed budget and Council's oversight would have been more effective with timely reporting and better defined processes for reviewing available reports;

WHEREAS, oversight for the 2017-2022 Proposed CIP was improved via increased internal Council staff review of changes to scope, schedule, and budget, including instituting an additional supervisory review of proposed CIP budget actions, seeking information from

and holding conversations with some of the major departments on their project management practices and contingency usage, and conducting internal staff meetings to jointly discuss capital project issues across departments, all of which contributed to the development of proposed Council amendments to scope and budget for projects and imposition of capital controls via provisos; and

WHEREAS, there is significant opportunity to improve CIP reporting accountability to the Council and to use the Council's budgeting authority to achieve better financial oversight; and

WHEREAS, the Mayor agrees with and understands the importance and value of effective executive management controls and Council oversight for projects that have a significant financial, policy or programmatic impact on the City and its residents; NOW, THEREFORE,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SEATTLE, THE MAYOR CONCURRING, THAT:

Section 1. The Council and the Mayor are committed to improving Capital Improvement Program (CIP) oversight and developing new approaches to project monitoring.

Section 2. The Budget Committee shall lead this improvement effort for the Council through its 2017 work program by improving CIP accountability in the following ways:

A. Development of phased appropriation requirements for large CIP projects that provide Council with the opportunity to oversee the development of a project's scope, schedule, and budget, including development of a capital project risk assessment tool to inform phased appropriation decision-making;

B. Establishment of requirements for Council authorization of large external capital grant applications in situations such as grants that are sought by departments in advance of initial Council appropriation for a CIP project; and

C. Institution of mechanisms to improve visibility of and budgetary control over use of capital project contingency amounts.

Section 3. The Budget Committee will also institute requirements that improve the utility of CIP information communicated to the Council, as follows:

A. Enhanced regular CIP reporting developed in conjunction with the City Budget Office, including but not limited to quarterly reports to the Budget Committee on project scope, schedule, or budget deviations from the Council-approved CIP and any subsequent mid-year amendments;

B. Improved organization and content of departmental CIP information transmitted with the 2018 Proposed Budget, developed in conjunction with the City Budget Office and departments; and

C. Improved inter-branch communication on capital projects including coordination with the Executive Capital Subcabinet and other cross-branch opportunities.

Section 4. An ad hoc panel of independent experts on capital budgeting and project oversight shall be convened by the Council and Mayor to review current City practices and capital projects and make recommendations for improvement.

Section 5. Through the actions identified in this resolution, the Council seeks to institute new rigor in capital project oversight that will increase appropriate and timely oversight and provide more transparency to the public.

Adopted by the City Council the 21st day of November, 2016, and signed by me in open session in authentication of its adoption this 21st day of November, 2016.

President _____ of the City Council

The Mayor concurred the 28th day of November, 2016.

Edward B. Murray, Mayor

Filed by me this 28th day of NOVEMBER, 2016.

Monica Martinez Simmons, City Clerk

(Seal)

STATE OF WASHINGTON -- KING COUNTY

--ss.

344225 No. 31717,718,719,720

CITY OF SEATTLE,CLERKS OFFICE

Affidavit of Publication

The undersigned, on oath states that he is an authorized representative of The Daily Journal of Commerce, a daily newspaper, which newspaper is a legal newspaper of general circulation and it is now and has been for more than six months prior to the date of publication hereinafter referred to, published in the English language continuously as a daily newspaper in Seattle, King County, Washington, and it is now and during all of said time was printed in an office maintained at the aforesaid place of publication of this newspaper. The Daily Journal of Commerce was on the 12th day of June, 1941, approved as a legal newspaper by the Superior Court of King County.

The notice in the exact form annexed, was published in regular issues of The Daily Journal of Commerce, which was regularly distributed to its subscribers during the below stated period. The annexed notice, a

CT:TITLE ONLY RESOLUTIONS

was published on

12/09/16

The amount of the fee charged for the foregoing publication is the sum of $71.78 which amount has been paid in full.

Subscribed and sworn to before me on

12/09/2016

Notary public for the State of Washington,
residing in Seattle

Affidavit of Publication

State of Washington, King County

City of Seattle
Title Only Resolutions

The full text of the following legislation, passed by the City Council on November 21, 2016, and published below by title only, will be mailed upon request, or can be accessed at https://seattle.legistar.com/Legislation.aspx. For information on upcoming meetings of the Seattle City Council, please visit http://www.seattle.gov/council/calendar.

Contact: Office of the City Clerk at (206) 684-8344.

Resolution 31717

A RESOLUTION adopting revised financial policies for the Emergency Subfund.

Resolution 31718

A RESOLUTION relating to public outreach and engagement; establishing citywide community involvement principles; directing the Department of Neighborhoods to lead City departments in the development of community involvement plans; directing the Seattle Office for Civil Rights to assist City departments with the development of community outreach and engagement efforts that advance racial equity; directing the Office of Immigrant and Refugee Affairs to develop a citywide language access strategy; and superseding Resolution 27709, Resolution 28115, and Resolution 28948.

Resolution 31719

A RESOLUTION endorsing a budget and position modifications for The City of Seattle for 2018.

Resolution 31720

A RESOLUTION establishing a capital project oversight work program for the Budget Committee for 2017.

Date of publication in the Seattle Daily Journal of Commerce, December 9, 2016.

12/9(344225)