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CF 312725

City of Seattle
Notice of Appointment

Name: Richard Cohan		☐ **Executive Appointment** ☐ **Legislative Appointment** ☒ **Agency Appointment** ☐ **PDA Council** ☐ **PDA Constituency**
Residential Neighborhood: Out-of-City	**Zip Code:** 98042	**Contact Phone No.:** (425) 525-3705
Appointed to: Seattle Ethics and Elections Commission		**Date of Appointment:** December 5, 2012
Authority (Ord., Res.): SMC 3.70.020(C)		**Term of Office:** **From:** Confirmation **To:** December 31, 2015
Comments: Reappointment.		
Authorizing Signature: Cathy Bennett (for the Commission)		**Name and Title of Officer Making Appointments:** The Commission voted on December 5, 2012 to name Mr. Cohan its appointee.

FILED
13 JAN -4 PM 2:42
CITY CLERK

Confirmed by the Following Vote at City Council

In Favor:	**Against:**	**Date:**
Attested by:		**Title:**

Form last revised 2/21/08

RICHARD E. COHAN FACHE, CHC, CCEP

Office: (425) 525-3705 E-mail: rich.cohan@providence.org

PROFESSIONAL PROFILE

- Senior executive with over 25 years of strategic leadership in ethics and compliance programs, internal audit, finance, human resources, information systems, medical records, sales and marketing strategy, and operations in the healthcare and telecommunications industries.
- Strong leader with inspirational management style and reputation for building and retaining highly motivated teams dedicated to service excellence.
- Results-oriented achiever with excellent track record for identifying organizational improvement and operational efficiency opportunities.

PROFESSIONAL EXPERIENCE

PROVIDENCE HEALTH & SERVICES **SEATTLE/RENTON, WASHINGTON**
System Director Integrity, Compliance and Chief Privacy Officer **2007 to Present**

- Provide leadership to the Integrity, Compliance and Privacy program efforts of Providence Health & Services, one of the largest healthcare systems in the US, with nearly $12B in revenue from 32 hospitals, over 400 physician clinics, 35 non-acute facilities, joint ventures, health plan, liberal arts university and approximately 65,000 employees.
- Serves as the top privacy executive for Providence, leads region privacy officers and provides leadership and direction to other Providence facilities and entities.
- Leads a staff of professionals including: regulatory attorneys, integrity and compliance managers and specialists, privacy officers and analysts and compliance education specialists.
- Recruited to formally serve as the Compliance Officer for the Oregon and Washington/Montana regions (including supervising a staff of 4 in each region) in 2008 through 2010. These regions comprise nearly 75% of Providence employees. In 2009, recruited to serve as the Director Government Affairs and Regulatory Compliance for the 400,000 member Providence Health Plan; supervising a staff of 10. Both assignments were in addition to normal duties and resulted in operational improvements and significant improvement in employee engagement and satisfaction.
- Responsible for providing integrity and compliance program expertise in a consulting and leadership role to region and facility/entity compliance officers, privacy officers and leadership. This activity includes ethical and compliance analysis of complex situations – especially focusing on the gray areas within the law and regulation.

UNIVERSITY OF WASHINGTON **SEATTLE, WASHINGTON**
Adjunct Faculty - Healthcare Regulatory Compliance Certificate Program **2010 to Present**

EXEMPLA HEALTHCARE **DENVER, COLORADO**
Vice President, Corporate Responsibility/Chief Audit Executive **2004 to 2007**

- Member of senior leadership, providing strategic direction for establishment of all business ethics, compliance and internal audit program elements in a multi-facility integrated teaching and research health system of 6000 employees.
- Dual reporting to the Exempla Chief Executive Officer and Board of Directors.
- Responsible for organizing and leading the Audit and Corporate Responsibility Committee of the Board of Directors and establishing the internal Corporate Responsibility Committee comprised of senior leaders from throughout Exempla's acute care facilities, clinics and corporate support offices.
- Redesigned Exempla's internal audit department as an in-house function following termination of outsource contract.
- Established and implemented an enterprise-wide risk assessment process leading to development of an annual audit plan.
- Served as a member of three ethics committees within the Exempla system.

POUDRE VALLEY HEALTH SYSTEM (PVHS) **FORT COLLINS, COLORADO**
Chief Ethics and Compliance Officer **1998 to 2004**

- Reporting to the Chief Executive Officer and the Board of Directors, responsible as the Chief Ethics and Compliance Officer for the oversight of all audit, ethics, compliance and privacy efforts for a multi-facility integrated teaching and research community health system.
- Responsible for Insurance and Claims Risk Management function across PVHS enterprise.
- Negotiated a $2.9 million settlement (April, 2003) with the Department of Justice, Medicare and the DHHS Office of Inspector General with no Corporate Integrity Agreement required following the OIG's review of the PVHS compliance program. A simple Settlement Agreement was entered into. Over 5000 pages of supporting documentation were submitted to the OIG for analysis and numerous positive comments received in response. The issue settled dated from 1992 to 1997.

LARIMER COUNTY **FORT COLLINS, COLORADO**
Mental Health Center
Chief Financial Officer/Director of Business Operations and Finance **1996 to 1998**

- Responsible as the chief administrative, financial and compliance officer of the Larimer Center for Mental Health for all aspects of business operations of the center and for interpretation and implementation of Medicare, Medicaid, federal, state and local business and financial regulations pertaining to the mental health industry.
- Lead a team of 18+ professionals in the administration of the Finance, Budgeting, Insurance Billing, Collections, Business Development and Managed Care Contracting, Auditing, Management Information Systems, Personnel, Medical Records and Administrative functions required to operate a nearly $6,000,000 business. Represented the center and Larimer County on numerous statewide finance, rule interpretation and compliance committees.

Other experience includes a number of years in telecommunications marketing and sales strategy and purchasing.

EDUCATION

CORPORATE EXECUTIVE BOARD – FINANCE LEARNING CENTER **ARLINGTON, VIRGINIA (ON-LINE)**
Auditing Principles Program

COLORADO STATE UNIVERSITY **FORT COLLINS, COLORADO**
Business Ethics Certificate Program

ILLINOIS INSTITUTE OF TECHNOLOGY **CHICAGO, ILLINOIS**
Master in Business Administration - Concentration: Marketing

ILLINOIS INSTITUTE OF TECHNOLOGY **CHICAGO, ILLINOIS**
Bachelor of Science in Management - Concentration: Marketing and Economics

CERTIFICATION

FELLOW AMERICAN COLLEGE OF HEALTHCARE EXECUTIVES (FACHE)

CERTIFIED COMPLIANCE AND ETHICS PROFESSIONAL (CCEP) - The Society of Corporate Compliance and Ethics (SCCE)

CERTIFIED IN HEALTHCARE COMPLIANCE (CHC) - The Health Care Compliance Association (HCCA)

PRESENTATIONS/PUBLICATIONS

AMERICAN COLLEGE OF HEALTHCARE EXECUTIVES

Publication Topic: Compliance and Ethics: A Critical Interdependence
Managing Healthcare Ethically - An Executive's Guide, ACHE Health Administration Press 2010

Publication Topic: Compliance and Ethics: A Critical Interdependence
Healthcare Executive Magazine, January 2004

Presentation Topic: Ethics and Compliance: Why Ethics Must Lead the Charge
National Congress, Chicago, IL, March 2002

COLORADO BAR ASSOCIATION

Presentation Topic: Ethics and Compliance Programs: Where Have We Been...Where Are We Going?
Health Law Section Meeting, Denver, CO, February 2005

HEALTHCARE FINANCIAL MANAGEMENT ASSOCIATION

Presentation Topic: What Would You Say - Privacy Scenarios for Interactive Discussion
Annual Compliance Conference – Colorado Chapter, Denver, CO, February 2012

Presentation Topic: Ethical Challenges in Troubled Times
Annual National Institute – Seattle, WA, June 2009

Presentation Topic: Stark Phase III Final Regulation: Managing the Madness
Nationally broadcast Audit Webcast, December 2007

Presentation Topic: Organizational Ethics, Medical Ethics and Compliance: The Perfect Storm
Annual National Institute – San Diego, CA, June 2007

Presentation Topic: Compliance and Internal Audit: Separate and Together
Annual Compliance Conference – Colorado Chapter, Denver, CO, February 2007

Presentation Topic: Ethical Leadership in Healthcare Organizations
Numerous Chapter meetings including Oklahoma - July 2006, Utah - March 2006, Wisconsin - January 2005 and Colorado - April 2004 and a nationally broadcast Audio Webcast, February 2006

Presentation Topic: The Ethics of Collection Practices
Annual National Institute – Orlando, FL, June 2006
Fall Revenue Cycle Strategies Conference – Las Vegas, NV, October 2005

HEALTH CARE COMPLIANCE ASSOCIATION

Presentation Topic: The Sixth Element: It's Auditing & Monitoring Time
Pacific Northwest Regional Annual Conference, June 2008

ACADEMIC INVOLVEMENT

UNIVERSITY OF WASHINGTON — **SEATTLE, WASHINGTON**
Guest Lecturer - MBA Program - Health Information Course — 2012

COLORADO SCHOOL OF MINES — **GOLDEN, COLORADO**
Guest Lecturer - Medical and Healthcare Ethics — 2007

FRONT RANGE COMMUNITY COLLEGE — **FORT COLLINS, COLORADO**
Guest Lecturer - Nursing Leadership – Handling Change, Stress and Conflict, Nursing Program — 2001

COLORADO STATE UNIVERSITY — **FORT COLLINS, COLORADO**
Executive in Residence - Social, Legal and Regulatory Issues, MBA Program — 1999

PROFESSIONAL AFFILIATIONS

HEALTHCARE FINANCIAL MANAGEMENT ASSOCIATION

National Appointments:
- 2007-2010 Three terms as Chairman – National Healthcare Compliance Forum Peer Leader Council
- 2006-2009 Member Healthcare Compliance Forum Peer Leader Council
- 2004-2006 National Advisory Council on Emerging Issues in Healthcare

Washington/Alaska Chapter
- 2010-2011 Board of Directors
- 2009-2010 Chair Web Site Redesign Committee
- 2007-2009 Volunteer on Compliance and Health Policy Committee

Colorado Chapter:
- 2011 - Chair Compliance Committee
- 2005-2007 Chair Program Committee and member Presidents Advisory Council and Board of Directors
- 2004-2005 Chair Presidents Advisory Council and member Nominating Committee and Program Committee
- 2003-2004 Chapter President
- 2002-2003 President-Elect and member Program Committee
- 2001-2002 Board of Directors

AMERICAN COLLEGE OF HEALTHCARE EXECUTIVES
- 2007-2008 National Appointment: Colorado Regent's Advisory Council

HEALTH CARE COMPLIANCE ASSOCIATION
- 2007 Planning Committee - 2007 Mountain Area Compliance Conference

Community Service and Board Directorships

City of Seattle – Ethics and Elections Commission — **Seattle, WA**
Appointed Commissioner on the Ethics and Elections Commission

Providence Health & Services Senior and Community Services — **Seattle, WA**
O'Christmas Trees Executive Leadership Team

Jewish Family Services — **Seattle, WA**
Finance Committee of the Board of Directors

City of Westminster — **Westminster, Colorado**
City Council Appointee - Human Services Board

FamiliesFirst — **Denver, CO**
Board of Directors, Strategic Planning and Marketing Committees

Hospice of Larimer County — **Loveland, CO**
Volunteer - Ethics Committee

United Way of Larimer County — **Fort Collins/Loveland, CO**
Board of Directors and Giving Committee/Vision Council

Centennial Area Health Education Center (CAHEC) — **Greeley, CO**
President Board of Directors (3 consecutive years, 2000, 2001 & 2002)

Northern Colorado Multicultural Corporation — **Fort Collins, CO**
Board member, past Chair of the Fundraising Committee and past Vice-President on the board of directors

Seattle Ethics and Elections Commission

November 2010

Seven members: Per SMC 3.70.020, all subject to City Council confirmation; **3-year** terms

- 3 appointed by Mayor
- 3 appointed by City Council
- 1 appointed by Commission members

D	G	Name	Appointed	Term Ends	Term #	Appointed By
5	F	González, Lorena	7/16/12	12-31-13	1	City Council
6	M	Carter, Bruce	2/3/12	12-31-14	1	City Council
6	F	Yamaguchi, Kendee	8/13/12	12-31-15	1	City Council
6	M	Cohan, Richard	7/7/10	12-31-15	2	Commission
6	M	Sherman, Bill	2/17/09	12-31-14	2	Mayor
5	M	Axel, Bradford	1/3/13	12-31-15	1	Mayor
3	M	Mendoza, David	11/29/10	12-31-13	1	Mayor

Diversity:

Appt. Authority	Men	Women	Vacant	Minority	(1) Asian-American	(2) African-American	(3) Hispanic	(4) Native-American	(5) Other	(6) Caucasian
Mayor	3	0	0	1	0	0	1	0	0	2
Council	1	2	0	2	1	0	1	0	0	1
Commission	1	0	0	0	0	0	0	0	0	1
Total	*5*	*2*	*0*	*3*	*1*	*0*	*2*	*0*	*0*	*4*