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CB 117463

Thomas L. Taylor / John McCoy
CBO 2011 Exception ORD
May 1, 2012
Version #3b

ORDINANCE _____

AN ORDINANCE amending the 2011 Adopted Budget, including the 2011-2016 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; revising project allocations for certain projects in the 2011-2016 CIP; adding new projects; and ratifying and confirming certain prior acts; all by a 3/4 vote of the City Council.

BE IT ORDAINED BY THE CITY OF SEATTLE AS FOLLOWS:

Section 1. In order to pay for necessary costs and expenses incurred in 2011, but for which insufficient appropriations were made, the appropriations for the following items in the 2011 Budget are increased from the funds shown, as follows:

| Item | Fund | Department | Budget Control Level | Amount |
|--------------|-----------------------------|--------------------------------|----------------------------------|------------------|
| 1.1 | Seattle Center Fund (11410) | Seattle Center (CEN) | Administration-SC (SC690) | \$510,000 |
| 1.2 | General Subfund (00100) | Civil Service Commission (CSC) | Civil Service Commission (V1C00) | \$3,020 |
| Total | | | | \$513,020 |

Section 2. Appropriations in the 2011 Adopted Budget and project allocations in the 2011-2016 Adopted Capital Improvement Program are modified as follows:

| Item | Fund | Budget Control Level | Change | Project Name | Allocation (in \$000's) |
|------|-------------------------|---|--------|--|-------------------------|
| 2.1 | City Light Fund (41000) | Power Supply & Environmental Affairs - CIP (SCL250) | \$0 | Generation Federal Reliability Standards Improvements (6470) | (((\$661)) \$1,011 |
| | | | | Diablo Facility - Lines Protection Upgrades (6483) | (((\$322)) \$622 |



| | | | | | |
|-----|----------------------------------|---|-----|--|----------------------|
| | | | | Gorge Facility – Minor Improvements Program (6404) | ((551)) \$201 |
| | | | | Ross Facility – Minor Improvements Program (6402) | ((2,861)) \$2,561 |
| | | Net Change | \$0 | | \$0 |
| 2.2 | City Light Fund (41000) | Customer Services & Energy Delivery - CIP (SCL350) | \$0 | Shoreline Undergrounding: North City and Aurora Avenue North (8320) | ((5,050)) \$5,150 |
| | | | | Wood Pole Replacement Program (8371) | ((2,900)) \$3,000 |
| | | | | Pole Attachment Requests Preparation Work (8452) | ((1,357)) \$1,257 |
| | | | | Overhead Equipment Replacements (8351) | ((6,625)) \$6,525 |
| | | Net Change | \$0 | | \$0 |

Section 3. The Landsburg Fish Passage Monitoring project (C1604) as described in Attachment A to this ordinance is established in the 2011-2016 Adopted Capital Improvement Program.

Section 4. Any act consistent with the authority of this ordinance taken after its passage and prior to its effective date is hereby ratified and confirmed.

Section 5. This ordinance shall take effect and be in force 30 days after its approval by the Mayor, but if not approved and returned by the Mayor within ten days after presentation, it shall take effect as provided by Seattle Municipal Code Section 1.04.020.



1 Passed by a 3/4 vote of all the members of the City Council the ____ day of
2 _____, 2012, and signed by me in open session in authentication of its
3 passage this ____ day of _____, 2012.

4
5 _____
6 President _____ of the City Council

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8 Approved by me this ____ day of _____, 2012.

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10 _____
11 Michael McGinn, Mayor

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13 Filed by me this ____ day of _____, 2012.

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15 _____
16 Monica Martinez Simmons, City Clerk

17 (Seal)

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19 Attachment A: Landsburg Fish Passage Monitoring Project

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SPU-Water

Landsburg Fish Passage Monitoring

| | | | |
|-------------------------------|--------------------------------|----------------------------------|-------------------------|
| BCL/Program Name: | Habitat Conservation Program | BCL/Program Code: | C160B |
| Project Type: | Improved Facility | Start Date: | Q2/1999 |
| Project ID: | C1604 | End Date: | Q1/2011 |
| Location: | Cedar River Watershed | | |
| Neighborhood Plan: | Not in a Neighborhood Plan | Neighborhood Plan Matrix: | N/A |
| Neighborhood District: | Not in a Neighborhood District | Urban Village: | Not in an Urban Village |

This program provides research and monitoring to examine fish species that utilize the Landsburg Fish Passage facilities on the Cedar River. This program includes, but is not limited to, fish counts at the Landsburg fish ladders, Landsburg intake screen evaluation, and drinking water quality monitoring. This program is a requirement of the Cedar River Habitat Conservation Plan (HCP).

| | LTD Actuals | 2010 Rev | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | Total |
|--|----------------|-------------|------|------|------|------|------|------|-------|
| Revenue Sources | | | | | | | | | |
| Water Rates | 938 | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 1,228 |
| Total: | 938 | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 1,228 |
| Fund Appropriations/Allocations | | | | | | | | | |
| Water Fund | 938 | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 1,228 |
| Total*: | 938 | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 1,228 |
| O & M Costs (Savings) | | | 138 | 138 | 138 | 138 | 138 | 138 | 828 |
| Spending Plan by Fund | | | | | | | | | |
| Water Fund | | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 290 |
| Total: | | 290 | 0 | 0 | 0 | 0 | 0 | 0 | 290 |

*This detail is for information only. Funds are appropriated in the budget at the Budget Control Level. Amounts are in thousands of dollars.

2011 - 2016 Adopted Capital Improvement Program



FISCAL NOTE FOR NON-CAPITAL PROJECTS

| Department: | Contact Person/Phone: | CBO Analyst/Phone: |
|--------------------|------------------------------|---------------------------|
| City Budget Office | Hall Walker 233-7065 | Thomas L. Taylor 233-5032 |

Legislation Title: AN ORDINANCDE amending the 2011 Adopted Budget, including the 2011-2016 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; revising project allocations for certain projects in the 2011-2016 CIP; adding new projects; and ratifying and confirming certain prior acts; all by a 3/4 vote of the City Council.

Summary of the Legislation: This legislation adds budget authority to two budget control levels which for various reasons spending exceeded the 2011 budget authority. In addition, this legislation transfers budget authority between various Seattle City Light Projects. These transfers are necessary in order to conform to the covenant included in the adopted budget whereby spending during 2011 could not exceed the current year budget by more than \$1.0 million dollars. Lastly, this legislation adds back a capital project into the 2011-2016 Capital Improvement Plan. This project was expected to be closed out in 2010 but incurred some unanticipated close out costs in 2011.

Background: This legislation will implement various adjustments to the 2011 Adopted Budget that are needed to complete the City's accounting process for the year. The proposed Bill includes discrete actions that amend the 2011 Adopted Budget and the 2011-2016 Adopted Capital Improvement Program (CIP).

X This legislation has financial implications.

Information on the following pages provide descriptions of the financial and program implications of this ordinance.

Appropriations: Appropriations that result from this Ordinance can be found in Attachment A to this Fiscal Note.

Anticipated Revenue/Reimbursement Resulting From This Legislation:

| Fund Name and Number | Department | Revenue Source | 2011 Revenue | 2012 Revenue |
|-----------------------------|-------------------|-----------------------|---------------------|---------------------|
| | | | | |
| TOTAL | | | | |

Revenue/Reimbursement Notes: N/A

Total Regular Positions Created, Modified, Or Abrogated Through This Legislation, Including FTE Impact:

| Position Title and Department | Position # for | Fund Name & # | PT/FT | 2011 Positions | 2011 FTE | 2012 Positions* | 2012 FTE* |
|--------------------------------------|-----------------------|--------------------------|--------------|-----------------------|-----------------|------------------------|------------------|
| | | | | | | | |



| | | | | | | | |
|--------------|---------------------------|--|--|--|--|--|--|
| | Existing Positions | | | | | | |
| | | | | | | | |
| TOTAL | | | | | | | |

* 2012 positions and FTE are total 2012 position changes resulting from this legislation, not incremental changes. Therefore, under 2012, please be sure to include any continuing positions from 2011.

Position Notes: N/A

Do positions sunset in the future?: N/A

Other Implications:

- a) **Does the legislation have indirect financial implications, or long-term implications?**
 The 2011 Budget would be out of compliance with the statute that requires expenditures and encumbrances not to exceed appropriations.
- b) **What is the financial cost of not implementing the legislation?**
 The same objectives could not be achieved without this legislation.
- c) **Does this legislation affect any departments besides the originating department?**
 This legislation will be implemented by the Accounting Services Unit of the Department of Financial Administrative Services. They have reviewed and agree with the legislation.
- d) **What are the possible alternatives to the legislation that could achieve the same or similar objectives?** The same objectives could not be achieved without this legislation.
- e) **Is a public hearing required for this legislation?** No
- f) **Is publication of notice with *The Daily Journal of Commerce* and/or *The Seattle Times* required for this legislation?** No
- g) **Does this legislation affect a piece of property?** No
- h) **Other Issues:** None

List attachments to the fiscal note below:

Attachment A: 2011 Year End Exception Ordinance Fiscal Note Detail Table

2011 Year End Exception Supplemental Ordinance Fiscal Note Detail Table

| Report Item | Title/Description | Amount/FTE |
|--|-------------------|------------|
| Section 1 – Appropriation Increases | | |



| Report Item | Title/Description | Amount/FTE |
|---|---|------------|
| 1.1 | Additional Appropriation Authority for Seattle Center (Seattle Center, Seattle Center Fund (11410)) | \$510,000 |
| | <p>This item provides a retroactive appropriation increase for Seattle Center to pay unanticipated costs due to advance payments for the 50th year anniversary celebration of the Seattle World's Fair; a food court management fee; unanticipated costs for December KeyArena events; and an emergency sewer repair. These expenditures worsen the negative cash position of the fund. However, revenues generated through the investment in program planning and marketing for the 50th Year Celebration are expected to partially offset some of these costs in 2012.</p> <p>The 50th Year Celebration is a six-month celebration in 2012 running from April to October, the dates corresponding to the actual dates of the World's Fair in 1962. The Next 50 event, as it is called, is expected to generate additional revenue for the Department in the form of concessions and parking.</p> <p>Year-end expenditures will be monitored closely in the future, and a larger margin for error will be utilized to prevent this from happening again.</p> | |
| 1.2 | Hearing Officer Cost (Civil Service Commission, General Subfund - 00100) | \$3,020 |
| | <p>This item provides a retroactive appropriation increase of \$3,020 to the Civil Service Commission's Civil Service Commission BCL (V1C00) to pay unanticipated costs due to a retroactive pay increase to its Hearing Officers Pro-Tem. In November, Personnel performed a salary review for its Hearing Officers which resulted in a 30% pay increase retroactive to May 4, 2011. The Civil Service Commission mitigated the impact of this salary adjustment by increasing the number of cases heard by the Office of Hearing Examiner.</p> | |
| Section 2 – Complex Capital Allocation Adjustments In Current Year CIP Projects – Seattle City Light | | |
| 2.1 | Net zero transfer of project allocations within the Power Supply & Environmental Affairs – CIP (SCL250) BCL | \$0 |
| | <p>This item adjusts project allocations between four PSEA projects. Without these adjustments, two of the projects would exceed the capital spending limits established by Section 4(c) of Ordinance 123442.</p> <p>Allocations for the Generation Federal Reliability Standards Improvements (6470) project are increased by \$350,000 to cover higher than anticipated labor costs for replacing power stabilizers on four generating units. Allocations for the Diablo Facility – Lines Protection Upgrades (6483) project are increased by \$300,000 to cover higher than anticipated labor costs for installing relay protection on Skagit transmission lines that had to be scheduled to coincide with Bothell substation improvements.</p> <p>Allocations are reduced by \$350,000 for the Gorge Facility – Minor Improvements Program (6404) and by \$300,000 for the Ross Facility – Minor Improvements Program (6402) to balance project allocations in the Power Supply & Environmental Affairs – CIP (SCL250) BCL.</p> | |
| 2.2 | Net zero transfer of project allocations within the Customer Services & Energy Delivery – CIP (SCL350) BCL | \$0 |



| Report Item | Title/Description | Amount/FTE |
|-------------|--|------------|
| | <p>This item adjusts project allocations between four CSED projects. Without these adjustments, two of the projects would exceed the capital spending limits established by Section 4(c) of Ordinance 123442.</p> <p>Allocations for the Shoreline Undergrounding North City and Aurora Avenue North (8320) project are increased by \$100,000 to cover higher than anticipated use of overtime to schedule around business hours and reduce traffic and potential business impacts to Shoreline customers as part of this undergrounding project. Allocations for the Wood Pole Replacement Program (8371) are increased by \$100,000 to cover additional costs required by street use permit and restoration plans, including unanticipated ADA requirements.</p> <p>Allocations are reduced by \$100,000 for the Pole Attachment Requests Preparation Work (8452) project and by \$100,000 for the Overhead Equipment Replacements (8351) project to balance project allocations in the Customer Services & Energy Delivery – CIP (SCL350) BCL.</p> | |
| | <p>Section 3 – Creating a New CIP Project – Seattle Public Utilities</p> | |
| 3.1 | <p>New CIP Project: Landsburg Fish Passage Monitoring – ID: C1604 in the Water Fund's 2011-2016 Adopted CIP</p> | |
| | <p>This item creates a new CIP Project: Landsburg Fish Passage Monitoring – ID: C1604 in the Water Fund's 2011-2016 Adopted CIP. This project was part of the 2010-2015 Adopted CIP and was substantially completed in December, 2010. The project provided research and monitoring to examine fish species that utilize the Landsburg Fish Passage facilities on the Cedar River and was a requirement of the Cedar River Habitat Conservation Plan. Because the project was substantially completed in 2010, it was not included in the 2011-2016 Adopted CIP. However, \$9,316 was spent in 2011 on final federal contract payments to the National Oceanic and Atmospheric Administration. As a result of the 2011 expenditures, the Landsburg Fish Passage Monitoring project must be added to the 2011-2016 Adopted CIP. Sufficient appropriation authority is available within the Habitat Conservation BCL (C160B) in 2011 to support the small additional expenditure.</p> | |





City of Seattle
Office of the Mayor

March 27, 2012

Honorable Sally J. Clark
President
Seattle City Council
City Hall, 2nd Floor

Dear Council President Clark:

The attached proposed Council Bill will implement various adjustments to the 2011 Adopted Budget that are needed to complete the City's accounting process for the year. The proposed Bill includes discrete actions that amend the 2011 Adopted Budget.

This legislation addresses a number of budget actions including a retroactive appropriation increase for Seattle Center to cover unanticipated costs resulting from advance payments for the fifty-year anniversary celebration of the Seattle World's Fair. In addition, this legislation transfers budget authority between various Seattle City Light Projects. These transfers are necessary in order to conform to the covenant included in the adopted budget whereby spending during 2011 could not exceed the current year budget by more than \$1.0 million dollars. Lastly, this legislation adds back a capital project into the 2011-2016 Capital Improvement Plan. This project was expected to be closed out in 2010 but incurred some unanticipated close out costs in 2011.

Thank you for your consideration of this legislation. Should you have questions, please contact Tom Taylor at 233-5032

Sincerely,

Michael McGinn
Mayor of Seattle

cc: Honorable Members of the Seattle City Council

Michael McGinn, Mayor
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