#11
CF 31214

City of Seattle
Notice of Appointment

Name: Bruce D. Carter		☐ **Executive Appointment** ☐ **Reappointment** ☒ **Legislative Appointment** ☐ **Agency Appointment** ☐ **PDA Council** ☐ **PDA Constituency**
Residential Neighborhood: Magnolia	**Zip Code:** 98199	**Contact Phone No.:** n/a
Appointed to: Seattle Ethics and Elections Commission		**Date of Appointment:** February 3, 2012
Authority (Ord., Res.): Ordinance 116005		**Term of Office:** **From:** February 20, 2012 **To:** December 31, 2014

Background:

Bruce Carter has 30 years of service as a federal prosecutor in the Western District of Washington, including several years as the Federal Elections Coordinator. Since his retirement in 2001, he has been active in good government work with the Municipal League of King County and the Municipal League Foundation. He has also been serving recently as a Board Member on the Magnolia Community Club, the Magnolia/Queen Anne District Council and, occasionally, as a representative to the City Neighborhood Council. Over the last several years he has also been engaged in a very limited law practice, principally as a pro bono advocate in Immigration Court.

Authorizing Signature:	**Name and Title of Officer Making Appointments:** Councilmember Tim Burgess

Revised 5/11

DHS CITY CLERK

Seattle Ethics and Elections Commission

February 2012

Seven members: Per Ordinance 116005, all subject to City Council confirmation; **3-year** terms

- 3 appointed by Mayor
- 3 appointed by City Council
- 1 appointed by Commission members

D	G	Name	Appointed	Term Ends	Term #	Appointed By
5	M	Ranade, Amit	2-14-11	12-31-13	1	City Council
6	M	Carter, Bruce	2-03-12	12-31-14	1	City Council
6	F	Iglitzin, Lynne	8-16-10	12-31-12	2	City Council
6	M	Cohan, Richard	8-16-10	12-31-12	1	Commission
6	M	Sherman, Bill	12-01-11	12-31-14	2	Mayor
5	M	Burney, Tarik	2-08-10	12-31-12	2	Mayor
3	M	Mendoza, David	11-29-10	12-31-13	1	Mayor

Diversity:

Appt. Authority	Men	Women	Vacant	Minority	(1) Asian-American	(2) African-American	(3) Hispanic	(4) Native-American	(5) Other	(6) Caucasian
Mayor	3	0	0	2	0	0	1	0	1	1
Council	2	1	0	1	0	0	0	0	1	2
Commission	1	0	0	0	0	0	0	0	0	1
Total	*6*	*1*	*0*	*3*	*0*	*0*	*1*	*0*	*2*	*4*

BRUCE D. CARTER

Attorney & Counselor at Law

RESUME'

EDUCATIONAL BACKGROUND

Centralia High School, 1961

Centralia College, Associate of Arts, 1963

Phi Theta Kappa Scholarship Honorary
Student Body Treasurer, 1961-62
Sophomore Class President, 1962-1963
1963 Washington State Community College Debate Champion (First Place in Debate, Impromptu Speaking and Extemporaneous Speaking)

University of Washington: Bachelor of Arts, 1965, Cum Laude

Phi Beta Kappa
Debate Team
Debate Scholarship

Major: Economics
Minor: Accounting

Stanford School of Law: L.L.B., 1968

Crothers Scholarship, 1965-1968
Stanford Legal Aid, 1966-1968
Resident adviser to undergraduates (1966-1968)

Admitted To Practice Law in the Washington State and the Federal Courts for the Western and Eastern Districts of Washington

COMMUNITY ACTIVITIES

Municipal League of King County, 1978-present:
Board of Trustees, 2002-2009, 1980-1981
Board Chair, 2008
Chair, Port Study Committee 2007- Present
Chair: Seattle City Light Committee, (Inquiry and Report resulted in the City Council's decision not to re-confirm Superintendent Gary Zarker) 2002-2005
Chair: County Operation Committee, 1980-1981
Chair: Consultant Selection Subcommittee, 1980-1981

Municipal League Foundation, Board of Trustees, 2009-present

Magnolia Community Club, Board member, 2010- present, 1985-1986

Magnolia Queen Anne District Council, 2010-present

Friends of UW Library, Board of Directors, 2001-2007
Holy Names Academy, Board of Trustees, 1990-1999
Senior Warden, St Paul's Episcopal Church, 1979, 1983
Associate, Sisters of the Holy Names, 1984- present

PROFESSIONAL HONORS

2002 Distinguished Alumnus, Centralia College

1982 National Legal Award: Association of Federal Investigators (First federal prosecutor west of the Mississippi to receive this award, which had previously been presented to several Federal Attorney Generals)

1992 Department of Justice Director's Award. Presented for quality control fraud prosecution of Voi-Shan.Inc., world's largest manufacturer of aerospace nuts and bolts.

Continuing Education Lector, ABA White Collar Crime Section, Washington State Bar Assn, &. Dept. of Justice Advocacy Institute.

PROFESSIONAL EXPERIENCE

Pro Bono Attorney representing undocumented aliens. (2009- Present).

Department of Defense Ombudsman: Monitoring Contractor Ethics Compliance (2006-2008)

Consultant on Federal False Claims Whistleblower Cases. (2002- 2004)

Judge Pro Tempore, Seattle Municipal Court (2001-2003)

Assistant United States Attorney (1983-Retirement on April 4, 2001), Chief, Economic Crimes Unit, (1983-1992)

U.S. Justice Dept. Economic Crime Enforcement Attorney (1982)
(Served as Department of Justice Economic Crime Prosecutor for Washington, Oregon, Idaho, and Alaska.)

Assistant Regional Director for Litigation, Federal Trade Commission
(April 1977 - December 1981)
Seattle Regional Office (Duties included supervising nationwide cases against General Motors, Ford Motor Company, and Chrysler Corporation)

Special Assistant United States Attorney, assigned to corruption prosecutions
(April 1977 - December 1981 [while working with FTC])

Assistant United States Attorney
(February 1971 - April 1977)
(Specializing in matters involving White Collar Crime, Corruption, and Environmental Law)

SIGNIFICANT PROSECUTIONS & INVESTIGATIONS

A. **HEALTH CARE FRAUD. 1992- 2001**: Western District of Washington Health Care Fraud Coordinator. Established the statewide MEDFRAUD Task Force comprised of agents of the FBI, Postal Inspection Service, I.R.S., Health and Human Services Inspector General, Department of Labor Inspector General, Medicaid Fraud Control Unit, Department of Defense investigators and investigators for private insurance companies. Medfraud obtained 29 convictions of corporations and individuals with recoveries of $8.75 million.

1. U.S. v. Dr.Nasser Ordoubadi, et al. MD owner of clinic, chief financial officer, billing director and systems manager convicted of health care fraud. MD received 35 months imprisonment plus $400,000 restitution, with lesser sentences for other defendants. Fraud scheme involved misrepresenting the service provided (upcoding) and misrepresenting the identity or qualifications of the provider in order to obtain more money from insurance companies. The schemes included billing the services of massage therapists, acupuncturists, nutritionists, physicians' assistants, chiropractors, and personal trainers as medical doctor services. The defendants engaged an unlicensed foreign doctor, paid him $5 per hour, and billed his services as medical doctor visits.

2. U.S. v. John Milne and Integrated Network Systems, Inc. Preferred Provider Organization and its chief executive officer convicted of money laundering and stealing approximately $1.4 in health care funds from major private insurance companies. Judgments required $2.2 million in restitution and False Claims Act damages and penalties, payment to False Claims Act Whistleblower, payment of $339,000 to the IRS, and a prison term of 24 months for John Milne.

3. U. S. v. Ron Santana. Owner of durable medical equipment supplier convicted and imprisoned for billing Medicare for top of the line lymphedema pumps while delivering lower priced models.

4. U.S. v. Dr. Gary Rock. Chiropractor imprisoned for 4 months upon conviction of charge that he obtained approximately $20,000 in benefits for services billed on dates on which no services were performed.

B. **CORRUPTION.**

1. 1997. Convicted Edmonds Community College president Tom Nielsen of taking bribes relating to foreign student programs from Japanese and Chinese interests and failing to report the bribes on his federal income tax returns. Nielsen was sentenced to 24 months imprisonment and required to pay back taxes and restitution.

2. 1975-1984.West Seattle Freeway Investigation. Involved award of Seattle's West Seattle Bridge consulting contract to Baltimore, Maryland consultants convicted of bribery in the Spiro Agnew investigation. Resulted in convictions in:

a. United States v. Robert J. Gulino, (perjury by former Seattle City Engineer);

b. United States v. Robert A. Perry, (Extortion by state legislator and false tax returns);

c. United States v. Lloyd ("Luke") Graham, (Extortion by Democratic National Committeeman);

d. United States v. Washington Water Power (Obstruction of Justice).

C. WESTERN DISTRICT OF WASHINGTON ELECTION FRAUD COORDINATOR (1996-2001)

U.S. v. Tom Stewart, Dennis Specht, and Food Services of America. During 1998, $5 million dollar criminal fine imposed on the three defendants convicted of wrongfully making approximately $100,000 in federal campaign contributions laundered through employee conduits to enable the contribution of prohibited corporate funds to federal campaigns and to subvert federal restrictions on the amount that a corporation or individual can donate to a particular campaign. At the time, this was the third largest fine ever imposed in a federal criminal election fraud prosecution. Individual defendants sentenced to 60 days of home confinement plus 160 hours of community service in a soup kitchen, with defendants prohibited from using company aircraft and helicopters during periods of home confinement and community services.

D. **DEFENSE DEPARTMENT/AEROSPACE FRAUD. 1988-1992.** Northwest Defense Contracting Task Force (NORDECON) comprised of FBI, IRS, and Defense Department Criminal investigators. Task force focused on kickbacks, quality assurance frauds, and product substitution affecting the defense and aerospace industries. Task force recognized by the FBI Inspection Division as the best government fraud task force in the country. The work of this group was repeatedly reported on national news and was presented on the Today Show.

1. Voi-Shan Aerospace Fasteners. Prosecution related to widespread quality control fraud at world's largest manufacturer of aerospace fasteners. Corporate criminal and civil settlement resulted in an $18.7 million resolution. ($14.3 million in settlement of False Claims Act whistleblower action, a $2.5 million fine, $1 million costs plus $700,000 in restitution). Several employees pleaded guilty.

2. Rice Aircraft, Inc. and Bruce J. Rice. Conviction of aerospace fastener distributor and its CEO for kickbacks, counterfeiting parts and selling counterfeit parts with unrelated test reports. Bruce Rice received four years incarceration plus $750,000 in fines and costs plus a substantial civil settlement.

3. CMA International and Clifford M. Ashley. Guilty plea to selling counterfeit and mismarked valves for use in Department of Defense and nuclear facilities.

4. Southeast Connectors, Inc., Neal Bonavia, CEO, and David Brand, Vice-President. Joint prosecution with Miami U.S. Attorney for kickbacks at Boeing and counterfeiting electrical switches sold to Department of Defense.

E. **WASHINGTON PUBLIC POWER SUPPLY SYSTEM ("WPPSS"):** Supervised WPPSS Task Force of prosecutors, FBI Agents, and IRS agents looking into multi-district allegations of criminal misconduct on the WPPSS projects. Twenty-eight convictions were obtained.

In the pipe industry fraud phase of the WPPSS Task Force, more than $12 million in restitution was ordered. Successful cases were handed to U.S. Attorneys in Seattle, Houston, New Orleans, South Carolina, Augusta, Georgia, and Macon, Georgia. A class action civil case has produced more than $30 million in additional damages for victim utilities.

F. **MORTGAGE FRAUD 1981-1983.** Sherwood and Roberts Investigation: Organized and directed a four-State FHA fraud investigation. Project resulted in 48 criminal convictions, a five million dollar civil recovery for the Government, and more than $450,000 in criminal fines (Association of Federal Investigators National Legal Award received for this work).

G. **ENVIRONMENTAL ENFORCEMENT:**

1. 1972: United States v. Weyerhaeuser (oil pollution and failure to report oil spill);
2. 1974: United States v. Burlington Northern (illegal dumping in Puget Sound);
3. 1974: United States v. Port of Camas-Washougal (illegal dumping);
4. 1975: United States v. Georgia Pacific (hazardous materials violations).

H. **OTHER SIGNIFICANT CONVICTIONS**:

1. 1974: United States v. David C. Henny (inter-company wire fraud by South Whidbey Telephone Company owner);
2. 1975: United States v. Gerald R. Moran (bankruptcy fraud by trustee/attorney);
3. 1975: United States v. Richard W. Hart (bankruptcy fraud by trustee/ attorney);
4. 1973: United States v. Dr. Arthur Black, (Medicare fraud)
5. 1996-97: United States v. Ralph Woodall & Ed's Allison Transmission Service, Inc. Fraud committed against federally funded Community Transit of Everett in the repair of bus transmissions.