

1 MEMORANDUM OF AGREEMENT  
2 UT 01474  
3 SR 99 ALASKAN WAY VIADUCT REPLACEMENT  
4 SPU FACILITIES WORK AGREEMENT  
5 FOR SR99 BORED TUNNEL PROJECT  
6

7 THIS Memorandum of Agreement, UT 01474, SR 99 Alaskan Way Viaduct Replacement, SPU  
8 Facilities Work Agreement for SR 99 Bored Tunnel Project (“Agreement”) is made and entered  
9 into between the State of Washington Department of Transportation, hereinafter the “STATE,”  
10 and the City of Seattle, hereinafter the CITY, (managed by Seattle Public Utilities, hereinafter  
11 “SPU”), collectively the “PARTIES” and individually the “PARTY.”  
12

13 WHEREAS, the Alaskan Way Viaduct (AWV) and seawall are at risk of sudden and  
14 catastrophic failure in an earthquake and are nearing the end of their useful lives; and  
15

16 WHEREAS, the STATE and the Federal Highway Administration (FHWA), in consultation with  
17 the CITY, are proposing improvements to State Route 99 (SR 99), currently a non-limited access  
18 highway that includes the AWV; and  
19

20 WHEREAS, in March 2007, the Governor, the King County Executive and the Mayor of Seattle  
21 pledged to advance a series of key SR 99 projects (Moving Forward Projects) that will facilitate  
22 the removal and/or repair of key portions of SR 99, which are: Yesler Way Vicinity Stabilization  
23 Project, Electrical Line Relocation (formerly known as Electrical Utility Relocation Phase 1  
24 under agreement No. GCA 5680), Battery Street Tunnel Fire and Life Safety Upgrades, SR 99  
25 Lenora to Battery Street Tunnel Improvements, the SR 99 South Holgate Street to South King  
26 Street Viaduct Replacement Project, and Transit Enhancements and Other Improvements; and  
27

28 WHEREAS, in January 2009, the Governor, the King County Executive and the Mayor of  
29 Seattle recommended replacement of the existing AWV structure in the central waterfront area  
30 with a bored tunnel; and  
31

32 WHEREAS, in October 2009 the Governor and the Mayor executed a Memorandum of  
33 Agreement, GCA 6366, which described the basic roles and responsibilities for the  
34 implementation of the Alaskan Way Viaduct and Seawall Replacement (AWVSR) Program; and  
35

36 WHEREAS, the AWVSR Program (PROGRAM) consists of a four-lane bored tunnel and  
37 improvements to City streets, the City waterfront, and transit; and the Moving Forward Projects;  
38 and  
39

40 WHEREAS, the PROJECT, the subject of this Agreement, is the part of the PROGRAM that  
41 replaces SR 99 from South Royal Brougham Street to Roy Street that consists of designing and  
42 constructing a four-lane bored tunnel from South King Street to Thomas Street, north and south  
43 tunnel portals and access streets; re-establishment of the City street grid in the vicinity of the  
44 portals and associated utility relocations; and

1  
2 WHEREAS, Battery Street Tunnel decommissioning and Alaskan Way Viaduct demolition will  
3 be addressed in a future agreement; and  
4

5 WHEREAS, the CITY and STATE agree to work collaboratively toward the successful  
6 completion of the PROJECT and endeavor to open the tunnel by the end of 2015 and demolish  
7 the Alaskan Way viaduct in 2016; and  
8

9 WHEREAS, the PROJECT is consistent with the CITY of Seattle’s adopted Comprehensive  
10 Plan; and  
11

12 WHEREAS, the CITY and the STATE will deliver the PROJECT within the financial  
13 commitments made in the Memorandum of Agreement, GCA 6366, executed by the PARTIES  
14 on October 24, 2009; and  
15

16 WHEREAS, concurrently with this UT 01474 Agreement, the STATE and CITY, through  
17 Seattle City Light (SCL), are entering into an agreement, UT 01476; and  
18

19 WHEREAS, concurrently with this UT 01474 Agreement, the STATE and CITY, through the  
20 Seattle Department of Transportation, are entering into an agreement, GCA 6486; and  
21

22 WHEREAS, the CITY will own and/or maintain significant infrastructure to be constructed as  
23 part of the PROJECT; and  
24

25 WHEREAS, some or all of the work covered by this Agreement may be accomplished by  
26 executed “Task Order” documents; and  
27

28 WHEREAS, the PROJECT will require the removal of existing City electrical, water, drainage  
29 and wastewater facilities that have alignments intersecting or that directly conflict with the  
30 tunnel portals and tunnel portal excavations (“Conflicting Facilities”), and the construction of  
31 new facilities and service connections, (excluding temporary construction and permanent  
32 electrical services for the PROJECT) to a permanent and final location to replace the Conflicting  
33 Facilities (together, the “Relocation Work”); and  
34

35 WHEREAS, the PROJECT will also require the planning, operational and construction  
36 management practices, monitoring and other work to avoid and/or remedy damage  
37 (“Deformation Mitigation Work”); and  
38

39 WHEREAS, together the SPU Facilities Relocation Work and the SPU Facilities Deformation  
40 Mitigation Work will comprise the “SPU Facilities Work” of the PROJECT;  
41

42 NOW, THEREFORE, in consideration of the terms, conditions, covenants, and performances  
43 contained herein, or attached and incorporated and made a part hereof,  
44

45 IT IS MUTUALLY AGREED AS FOLLOWS:

1  
2 **1. DEFINITIONS**  
3

4 Words not otherwise defined, which have well-known technical or construction industry  
5 meanings, are used in accordance with such recognized meanings.  
6

7 1.1 Approved Plans means the construction plans and provisions that evidence the CITY’s  
8 determinations, made through the processes described in Sections 6 and 7 and Exhibit B of GCA  
9 6486, that the plans conform to the criteria established in GCA 6486 and this Agreement;  
10 Approved Plans are included in the contract documents evidencing the agreement between the  
11 STATE and its contractors for construction of a given element of the PROJECT.  
12

13 1.2 AWV means the Alaskan Way Viaduct structure on State Route 99, currently a non-  
14 limited-access highway over a portion of CITY Street Right-of-Way.  
15

16 1.3 Betterment means any upgrading of the SPU Facilities, or the design and construction of  
17 any new SPU Facilities that is not attributable to the PROJECT or PROGRAM and is made  
18 solely for the benefit of and at the election of SPU. Examples of work that will not constitute a  
19 Betterment, so that SPU shall not bear cost responsibility, are:

20 1.3.1 If existing devices or materials are no longer regularly manufactured or cannot be  
21 obtained in time to meet the PROJECT schedule, needs or requests by the STATE, then devices  
22 or materials of equivalent standards although not identical, of the next highest grade or size; or

23 1.3.2 Upgrades to SPU Facilities necessary to meet current code requirements and SPU  
24 published standards; or

25 1.3.3 Work required by SPU to maintain current service and capacity; or

26 1.3.4 Work required by current design and construction practices regularly followed by  
27 SPU in its own work and/or considered an industry design or construction standard.  
28

29 1.4 Business Days means Monday through Friday, inclusive, except for official City of  
30 Seattle and state holidays.  
31

32 1.5 CITY means the City of Seattle, a Washington municipal corporation.  
33

34 1.6 City Construction Project Engineer means the person designated by SDOT to act as the  
35 City’s coordinator and primary representative in matters arising during the course of construction  
36 as set forth in this Agreement.  
37

38 1.7 CITY Facilities means SCL Facilities, SDOT Facilities, SPU Facilities and facilities  
39 impacted by, or constructed as part of, the PROJECT that are owned or will be owned by any  
40 other CITY agency.  
41

42 1.8 CITY Infrastructure means the portions of SPU Facilities, SCL Facilities and City Street  
43 Right-of -Way improvements constructed or modified as part of the PROJECT to be owned,  
44 operated and maintained by the CITY.  
45

- 1 1.9 City of Seattle means CITY.  
2
- 3 1.10 City Standards means all City of Seattle laws, rules, regulations and standards and all  
4 applicable federal and state laws, rules, regulations and standards, including but not limited to  
5 the following, except as otherwise provided in this Agreement, GCA 6486 and UT 01476:  
6 The Seattle Municipal Code;  
7 The City of Seattle Standard Specifications for Road, Bridge and Municipal  
8 Construction;  
9 The City of Seattle Standard Plans for Municipal Construction;  
10 SDOT, SCL, DPD and SPU Director’s Rules, including the City of Seattle Right of Way  
11 Improvements Manual, 2005-22 and any revisions to the Manual;  
12 SCL Material Standards; and  
13 SCL Construction Guidelines.  
14
- 15 1.11 CITY Street Right-of-Way means public street right-of-way under the jurisdiction of  
16 SDOT pursuant to Title 15 of the Seattle Municipal Code.  
17
- 18 1.12 Conceptual Relocation Plan means a work product that defines the general scope of  
19 Relocation Work including a planning level estimate of design and construction costs, as further  
20 described in Section 3 herein.  
21
- 22 1.13 Conflicting Facilities means all SCL Facilities and all SPU Facilities identified by the  
23 STATE that have alignments intersecting or that directly conflict with the final configuration of  
24 the proposed SR 99 bored tunnel portals and tunnel portal excavations.  
25
- 26 1.14 Contract Award means the STATE’s written decision accepting bid for construction of a  
27 Project.  
28
- 29 1.15 Defective Work means design or construction work or materials that fail to comply with  
30 the Approved Plans, CITY-approved modifications to the Approved Plans, or the laws, rules,  
31 regulations or standards as specified in this Agreement.  
32
- 33 1.16 Deformation means any 3-dimensional displacement or combination of displacements.  
34 This definition includes, but is not limited to, the terms “tilt,” “strain,” “settlement,” “heave,”  
35 “lateral movement,” and related terminology that are common industry terminology for  
36 deformation in specific situations. Where such industry terminology is used for convenience  
37 herein, it does not imply that the broad definition of deformation has been limited.  
38
- 39 1.17 Deformation Mitigation Work means any planning, operational and construction  
40 management practices, monitoring and temporary or permanent SPU Facilities Work including  
41 maintenance of service undertaken to avoid damage as a result of Deformation and remedy such  
42 damage should it occur, as further described in Section 4 herein.  
43
- 44 1.18 DPD means the City of Seattle Department of Planning and Development.  
45

1 1.19 Engineer of Record means the engineer licensed in the State of Washington who has been  
2 commissioned by the STATE as the prime engineer of the PROJECT, having overall  
3 responsibility for the adequacy of the design and the coordination of the design work of other  
4 engineers and whose professional seal is on the Approved Plans.  
5

6 1.20 Hazardous Substance(s) means any substance, or substance containing any component,  
7 now or hereafter designated as a hazardous, dangerous, toxic or harmful substance, material or  
8 waste, subject to regulation under any federal, state or local law, regulation or ordinance relating  
9 to environmental protection, contamination or cleanup including, but not limited to, those  
10 substances, materials and wastes listed in the United States Department of Transportation  
11 Hazardous Materials Table (49 C.F.R. §172.101) or by the United States Environmental  
12 Protection Agency as hazardous substances (40 C.F.R. pt. 302 and amendments thereto) or in the  
13 Washington Hazardous Waste Management Act (Ch. 70.105 RCW) or the Washington Model  
14 Toxics Control Act (Chs. 70.105D RCW and 82.21 RCW), petroleum products and their  
15 derivatives, and such other substances, materials and wastes as become regulated or subject to  
16 cleanup authority under any Environmental Law.  
17

18 1.21 Letter of Acceptance means the written document that signifies the CITY's acceptance of  
19 CITY Infrastructure to be owned by the CITY, and shall signify the STATE's transfer of CITY  
20 Infrastructure to be owned by the CITY. The Letter of Acceptance will not transfer any interest  
21 in real property. The Letter of Acceptance shall be jointly executed by the PARTIES. A Letter  
22 of Acceptance for SPU Facilities requires SPU approval and a Letter of Acceptance for SCL  
23 Facilities requires SCL approval.  
24

25 1.22 Letter of Plan Approval means the letter provided to the STATE by the CITY following  
26 the completion of the plan review process described in Exhibit B to GCA 6486, signifying that  
27 the plans and specifications identified in the letter are the Approved Plans. A Letter of Plan  
28 Approval for SPU Facilities requires SPU approval and a Letter of Plan Approval for SCL  
29 Facilities requires SCL approval as part of as part of the Procedures outlined in Exhibit B of the  
30 SDOT Agreement GCA 6486.  
31

32 1.23 New Work means the design and construction by or at the direction of SPU of a new  
33 utility other than (a) as part of a relocation associated with the PROJECT, or (b) to provide  
34 service to the PROJECT. New Work shall be entirely the financial obligation of SPU.  
35

36 1.24 Private Utilities mean utility uses, excluding facilities owned and operated by the CITY,  
37 whether approved or not through franchise agreements and/or Street Use Permits by the CITY  
38 and governed and enforced through City Ordinance.  
39

40 1.25 Procedures mean *Design Review, Construction Management, Inspection and Record*  
41 *Drawing Procedures*, attached as Exhibit B to GCA 6486.  
42

43 1.26 PROJECT means the Proposed Bored Tunnel Project, the part of the PROGRAM that  
44 replaces SR 99 from South Royal Brougham Street to Roy Street and that consists of designing  
45 and constructing a four-lane bored tunnel from South King Street to Thomas Street, north and

1 south tunnel portals and access streets, re-establishment of the City street grid in the vicinity of  
2 the portals (Battery Street Tunnel decommissioning and Alaskan Way Viaduct demolition are  
3 not part of the PROJECT and will be addressed in a future agreement); and associated utility  
4 relocations. PROJECT description is attached as Exhibit A to GCA 6486.

5  
6 1.27 PROGRAM means all the projects, collectively, implemented by the STATE and the  
7 CITY that remove and replace the AWW and seawall.

8  
9 1.28 Relocation Work means the removal or abandonment of each Conflicting Facility, and  
10 the installation or reconstruction of each Conflicting Facility to its permanent and final location  
11 and work necessary to continue service to SPU customers during construction.

12  
13 1.29 Remediation means the same as Remedy or Remedial Action defined in MTCA which,  
14 includes any action or expenditure consistent with the purposes of MTCA to identify, eliminate,  
15 or minimize any threat or potential threat posed by Hazardous Substances to human health or the  
16 environment including any investigative and monitoring activities with respect to any release or  
17 threatened release of a Hazardous Substance and any assessments to determine the risk or  
18 potential risk to human health or the environment.

19  
20 1.30 SCL means Seattle City Light.

21  
22 1.31 SCL Facilities means the electrical facilities impacted by, or constructed as part of, the  
23 PROJECT that are owned or will be owned by the CITY.

24  
25 1.32 SCL Facilities Work means work required to design, construct and protect the SCL  
26 Facilities as part of the PROJECT.

27  
28 1.33 SDOT means the Seattle Department of Transportation.

29  
30 1.34 SDOT Facilities means the streets and roadway facilities impacted by, or constructed as  
31 part of, the PROJECT that are owned or will be owned by the CITY.

32  
33 1.35 SPU means Seattle Public Utilities.

34  
35 1.36 SPU Facilities means the water, drainage and wastewater facilities impacted by, or  
36 constructed as part of, the PROJECT that are owned or will be owned by the CITY.

37  
38 1.37 SPU Facilities Work means work required to design, construct and protect the SPU  
39 Facilities as part of the PROJECT.

40  
41 1.38 STATE means the Washington State Department of Transportation.

42  
43 1.39 STATE Project Engineer means the persons appointed by the STATE to lead the  
44 PROJECT during design and/or construction or his or her designee.

1 1.40 Task Force means a group consisting of STATE, CITY, contractor, and other stakeholder  
2 staff meeting regularly to review and reach decisions relating to a particular subject, e.g., traffic,  
3 structures.  
4

5 1.41 Task Order means a document executed by the PARTIES under this Agreement  
6 authorizing work by one PARTY to be done on behalf of the other PARTY and that defines the  
7 scope and the obligations of the PARTIES for the given element of work. All terms and  
8 conditions of the Agreement shall apply to each Task Order.  
9

10 1.42 Utility Easement means a non-exclusive permanent right over real property for the  
11 operation, maintenance, repair and replacement of the SPU Facilities, in the form attached as  
12 Exhibit A.  
13

14 1.43 Utility Service Work means any facilities required to provide temporary Utility services  
15 for construction of the PROJECT; and any work needed to obtain permanent SPU services to the  
16 bored tunnel or SPU customers.  
17

18 1.44 WSDOT means Washington State Department of Transportation.  
19

20 Words not otherwise defined, which have well-known technical or construction industry  
21 meanings, are used in accordance with such recognized meanings.  
22

## 23 **2. GENERAL RESPONSIBILITIES** 24

25 2.1 The PARTIES shall manage risk, produce design and conduct construction in a manner  
26 that maximizes cumulative public benefits and minimizes cumulative public costs as mutually  
27 agreed to by the PARTIES.  
28

29 2.2 This Agreement in conjunction with GCA 6486 and UT 01476 is prepared by the STATE  
30 and CITY to govern relationships between the PARTIES and establish each PARTY's  
31 responsibilities regarding the PROJECT.  
32

33 2.3 The PARTIES understand that environmental review of the proposed PROJECT is  
34 underway at the date of this agreement and agree that if an alternative other than the Proposed  
35 Bored Tunnel is selected, this agreement may be terminated pursuant to the provisions of Section  
36 21 herein.  
37

38 2.4 The PARTIES shall work collaboratively to resolve issues in a manner that endeavors to  
39 open the Proposed Bored Tunnel to the public on schedule.  
40

41 2.5 The design and construction of CITY Facilities, including repair, shall comply with City  
42 Standards.  
43

44 2.6 Each PARTY shall provide the funding and resources necessary to fulfill the  
45 responsibility of that PARTY as established in this Agreement.

1  
2 2.7 The PARTIES agree to work cooperatively with each other and make reasonable, good  
3 faith efforts to timely and expeditiously complete the PROJECT, as provided in this Agreement,  
4 including, but not limited to, the selection of a preferred SR 99 design alternative; development  
5 of preliminary engineering and final design and construction. In order to optimize design and  
6 minimize conflicts, the STATE shall coordinate design and construction of the various contracts  
7 making up the PROJECT with design of subsequent PROGRAM stages, and with construction of  
8 previous stages of the PROGRAM. The STATE shall be prepared to modify design of the  
9 contracts making up the PROJECT, the subsequent PROGRAM stage and/or previous phase if  
10 both PARTIES determine the modifications are necessary and reasonable, to minimize conflicts.  
11

12 2.8 The STATE shall pay for all costs associated with the SPU Facilities Deformation  
13 Mitigation Work, including but not limited to design; design review; purchase of materials;  
14 construction; inspection; preparation of record drawings; CITY crew time and costs; any  
15 temporary SPU services required for construction of the PROJECT; and any work needed to  
16 obtain permanent SPU services to the bored tunnel or SPU customers; regardless of whether such  
17 SPU Facilities Deformation Mitigation Work is performed by the SPU or other CITY staff, the  
18 STATE, or its contractor, as set forth in the Approved Plans, and any SPU-approved revisions to  
19 the Approved Plans, without reimbursement from SPU, including change orders, but excluding  
20 Betterments or New Work as defined in this Agreement. No delay costs shall be paid for by  
21 SPU.  
22

23 2.9 The STATE is responsible for designing and constructing the PROJECT except for the  
24 CITY's responsibility to relocate Conflicting Facilities as provided in Section 2.10 of UT 01474  
25 and UT 01476. The STATE is responsible for taking measures to minimize, limit, and mitigate  
26 damage to private property and CITY Facilities that may result from the PROJECT construction,  
27 including damage that may result from tunnel-induced Deformation. The STATE is responsible  
28 for remedying such damage should it occur.  
29

30 2.10 SPU is responsible for relocating SPU Conflicting Facilities. SPU's relocation  
31 responsibility is limited to the final relocation of each SPU Conflicting Facility unless otherwise  
32 agreed to by the PARTIES during the PARTIES' evaluation of the Conceptual Relocation Plan.  
33

34 2.11 The PARTIES agree that it is in the public interest for one PARTY to implement portions  
35 of the other PARTY's PROJECT responsibilities. Therefore, this Agreement establishes a Task  
36 Order process for use by a PARTY to authorize the other PARTY to conduct work on its behalf,  
37 and as may be documented through each Task Order pursuant to Section 9 of this Agreement and  
38 Section 4 in GCA 6486, agree to reimburse the other PARTY for such services.  
39

40 2.12 The terms, conditions, and requirements of GCA 6486 and this Agreement shall apply to  
41 each Task Order performed as part of the PROJECT.  
42

43 2.13 The PARTIES agree to document design-related decisions through the use of  
44 concurrence letters executed by both PARTIES.  
45



1 2.14 The STATE agrees to take the lead in consulting and coordinating with all utility owners  
2 affected by the PROJECT.

3  
4 2.15 The PARTIES shall apply for and obtain all necessary federal, state and City of Seattle-  
5 issued permits and approvals for the work for which they are responsible prior to commencing  
6 work that requires such permits, including but not limited to all permits, approvals or permission  
7 for exploratory investigations, testing, site preparations, demolition and construction.

8  
9 2.16 The PARTIES shall comply with the regulatory requirements and agree to meet  
10 operational and customer service requirements of each existing SPU Facility.

11  
12 2.17 The PARTIES shall minimize utility service interruptions to SPU customers.

13  
14 2.18 By entering into this Agreement, the CITY is not waiving its position that the CITY  
15 and/or its citizens and property owners cannot be held responsible for any or all cost overruns  
16 related to the portions of the PROJECT for which the STATE is responsible.

17  
18  
19 **3. RESPONSIBILITIES REGARDING SPU CONFLICTING FACILITIES**

20  
21 3.1 The STATE shall identify all Conflicting Facilities.

22  
23 3.2 SPU shall inform the STATE of any additional Conflicting Facilities. In the event that  
24 SPU builds new Conflicting Facilities, SPU shall inform the STATE.

25  
26 3.3 The STATE is responsible for preparing Conceptual Relocation Plans that document a  
27 feasible and efficient approach to relocating Conflicting Facilities in a manner that  
28 accommodates the PROJECT. The STATE's Conceptual Relocation Plans shall include:

29 3.3.1 The STATE's conceptual design of the PROJECT; and

30 3.3.2 Identification of Conflicting Facilities; and

31 3.3.3 The STATE's conceptual design of the Relocation Work that is feasible  
32 and efficient, that is in compliance with City Standards, and that  
33 demonstrates compatibility with existing infrastructure to remain; and

34 3.3.4 Plan view drawings developed in collaboration with SPU; incorporating  
35 SPU comments and input; drafted on roll plots in accordance with  
36 AWVSR Program CADD standards presented at an engineering scale of  
37 one inch equals 40 feet; showing the existing configuration of Conflicting  
38 Facilities, proposed configuration of relocated CITY Infrastructure, and all  
39 CITY Facilities; and that confirms no apparent conflicts with other utilities  
40 or infrastructure; and

41 3.3.5 Identification of Conflicting Facilities that require multiple relocations in  
42 order to accommodate the PROJECT along with the circumstances that  
43 creates the need for such multiple relocations; and

44 3.3.6 Potential conflicts, constraints, and deviations from City Standards; and

- 1           3.3.7 A conceptual-level construction cost estimate of all costs to construct the  
2           Relocation Work shown in the Conceptual Relocation Plan. All costs shall  
3           be developed on a per-unit cost to install basis for the separate types, sizes  
4           and segments of Relocation Work. The costs shall be developed on the  
5           basis of typical construction costs in the area; and
- 6           3.3.8 A conceptual schedule for relocation of Conflicting Facilities. The  
7           schedule shall be coordinated with the proposed design and construction  
8           schedule for other work within the PROJECT; and
- 9           3.3.9 A contracting strategy for design and construction of each component of  
10          Relocation Work; and
- 11          3.3.10 In instances where Relocation Work will be performed by the STATE  
12          through a Design-Build Contract, the STATE shall confirm and modify, as  
13          necessary, the Conceptual Relocation Plan in a manner consistent with the  
14          Design-Builder's conceptual design, and coordinated with the Design-  
15          Builder's construction staging plans.

17 3.4 The STATE agrees to provide the Conceptual Relocation Plan(s) to SPU in a timely  
18 manner that accommodates the PROJECT schedule. SPU agrees to promptly provide either its  
19 comments on, or approval of, the Conceptual Relocation Plan(s). SPU's responsibility for the  
20 Relocation Work begins when the PARTIES have written mutual agreement, in the form of a  
21 Task Order or a letter of concurrence, regarding the scope of Relocation Work and each  
22 PARTY's responsibilities, including multiple utility relocation responsibilities.

24 3.5 The PARTIES shall use the Conceptual Relocation Plan(s) as the basis for establishing  
25 the scope, schedule and estimated cost of design and construction services to be documented in  
26 Task Orders under this Agreement

28 3.6 In instances where the STATE's revisions to the PROJECT design differ so significantly  
29 from the Conceptual Relocation Plan(s) as to render all or portions of the SPU's design or  
30 construction work obsolete, the STATE shall reimburse SPU for the accrued costs of the  
31 obsolete work.

33 3.7 The STATE is responsible for avoiding damage to SPU Facilities and remedying any  
34 damage that occurs to SPU Facilities, including those installed as part of the PROJECT or  
35 PROGRAM.

38 **4. STATE RESPONSIBILITIES REGARDING SPU FACILITIES DEFORMATION**  
39 **MITIGATION WORK**

41 4.1 The STATE will assess potential impacts of Deformation on private property and CITY  
42 Facilities including CITY streets, CITY telecommunications facilities and SPU Facilities. Where  
43 the CITY has established deformation criteria for its facilities, these criteria will be used.  
44 Otherwise, criteria will be derived using accepted engineering practice and shall be mutually  
45 agreed upon by the PARTIES.

1  
2 4.2 SPU shall review the STATE's estimate of susceptibility or vulnerability of its facilities  
3 to Deformation and provide comments. Such comments shall be provided to assist the STATE  
4 only, and shall not be interpreted as waiving or limiting in any way the STATE's responsibility  
5 for Deformation Mitigation Work or other damages.  
6

7 4.3 The STATE, with SPU input, shall develop and implement a plan for Deformation  
8 Mitigation Work. SPU's input shall be provided to assist the STATE only, and shall not be  
9 interpreted as waiving or limiting in any way the STATE's responsibility for Deformation  
10 Mitigation Work or other damages.  
11

12 4.4 As a component of the Deformation Mitigation Work, the STATE shall implement a  
13 construction monitoring Task Force responsible for the planning and implementation of the  
14 instrumentation and monitoring program and processing data, evaluating results, and developing  
15 recommendations to mitigate deformation. SPU shall participate on the task force and inform  
16 the STATE on feasibility and functionality of the Deformation Mitigation Work on SPU  
17 Facilities.  
18

19 4.5 SPU shall provide input to the STATE regarding construction monitoring and  
20 deformation management activities when these activities pertain to SPU Facilities. SPU shall  
21 provide the STATE all necessary access to SPU Facilities for the purposes of design or  
22 implementation of mitigation measures. SPU may perform mitigation measures on behalf of the  
23 STATE in a manner and schedule that supports the STATE's PROJECT requirements. SPU's  
24 input, advice, participation, and access shall be provided to assist the STATE only, and shall not  
25 be interpreted as waiving or limiting in any way the STATE's responsibility for Deformation  
26 Mitigation or other damages.  
27

28 4.6 The STATE is responsible for repairing, replacing or otherwise remedying loss of  
29 function or capacity of SPU Facilities as a consequence of Deformation or exceedance of  
30 watermain total displacement criteria as set forth in Section 4.8 of this Agreement, except that  
31 the STATE's responsibility to repair, replace or otherwise remedy the loss of function or  
32 capacity of SPU watermains shall end two (2) years after substantial completion of Design-Build  
33 Contract or earlier if the PARTIES agree that monitoring indicates that the rate of Deformation is  
34 not significant and further monitoring is unwarranted.  
35

36 4.7 The STATE's monitoring program shall measure and documents Deformation that occurs  
37 between initiation of construction and completion of the monitoring period. As part of the  
38 monitoring program, the STATE agrees to conduct pre-construction video inspection surveys of  
39 gravity systems and leak surveys of water mains. Additionally, along with soil monitoring points,  
40 the STATE shall include pre-construction survey of accessible portions of the watermains and  
41 services, such as valves stems and meters. These points shall be monitored in the event that  
42 adjacent monitoring points approach the total displacement criteria for water mains or  
43 differential Deformation indicates a risk to services. For locations where direct monitoring of  
44 watermains and services is not provided, the STATE shall use spatial interpolation  
45 methodologies, to be agreed upon by the PARTIES, to estimate settlement at any point within

1 the Deformation zone of influence using all available and pertinent monitoring points. In the  
2 absence of direct monitoring points, the PARTIES agree that the displacement values determined  
3 by spatial interpolation shall be considered an acceptable estimate of watermain displacement  
4 attributable to the PROJECT for the purpose of determining that an exceedance has or has not  
5 occurred.

6  
7 4.8 The STATE agrees to perform Deformation Mitigation Work on watermains that are  
8 subject to displacement in excess of the criteria established in the tables below.

9  
10 Table 1. Maximum Total Displacement Criteria

11  
12 Max Total Displacement at any one point (inches)

Pipe Size	4"	6"	8"	10"	12"	16"	20"	24"	30"	36"
Ductile Iron Pipe	5.5	4.0	3.7	2.5	1.5	1.2	1.0	1.0	1.0	0.9
Cast Iron	N/A	2.86	2.28	N/A	1.66	1.24	0.92	0.68	0.50	N/A

13 4.9 For cast iron watermains, unless otherwise agreed by the PARTIES, the STATE shall be  
14 responsible to replace the impacted watermain to the nearest joint or appurtenance where the  
15 interpolated amount of Deformation is half the maximum total displacement criteria. Actual field  
16 conditions will be considered in determining the total pipe replacement.

17  
18 4.10 For ductile iron watermains, unless otherwise agreed by the PARTIES, the STATE shall  
19 be responsible to repair or realign the impacted watermain to the nearest joint or appurtenance  
20 where the interpolated amount of Deformation is half the maximum total displacement criteria.  
21 Actual field conditions will be considered in determining the total pipe repair or realignment.

22  
23 **5. DESIGN, PLAN REVIEW, CHANGE MANAGEMENT**

24  
25 5.1 Where the STATE is performing the design of SPU Facilities Work, the STATE and SPU  
26 shall comply with all provisions outlined in Section 7 and Exhibit B of GCA 6486.

27  
28 5.2 In the event the STATE designates as limited access facility any area in or near the tunnel  
29 portals on which a SPU Facility exists or will be relocated, the PARTIES agree to make every  
30 effort to develop a design that minimizes the need for regular, on-going maintenance access or  
31 avoids placing the SPU Facility within limited access boundaries.

32  
33 **6. CONSTRUCTION MANAGEMENT, INSPECTION AND CONTRACT**  
34 **ADMINISTRATION**

35  
36 6.1 The PARTIES shall comply with all provisions contained within Section 14 of GCA  
37 6486, regarding Construction Management, Inspection and Contract Administration for the  
38 PROJECT, and such provisions shall apply equally to this Agreement.

1 6.2 Where SPU staff or crews are performing work requested by the STATE, the STATE  
2 shall provide all labor, materials, equipment, and tools required to excavate, provide trench  
3 support systems, and handle and dispose of all spoils (including contaminated soils,  
4 groundwater, and other debris), and provide a safe workplace for SPU staff per applicable State  
5 and Federal laws, and City of Seattle standards, for the SPU Facilities Work in accordance with  
6 the Approved Plans and any SPU-approved revisions to the Approved Plans. The STATE will  
7 not provide personal protective equipment for SPU staff.  
8

9 6.3 The STATE agrees to provide advance notice of service outages needed for construction  
10 to schedule crews, notify customers and accommodate other previously scheduled outage  
11 requests in accordance with CITY Standards.  
12  
13  
14  
15  
16

## 17 **7. MONITORING AND DEFORMATION MITIGATION**

18  
19 7.1 The PARTIES agree to comply with all provisions contained within Section 12 of the  
20 GCA 6486, regarding Monitoring and Deformation Mitigation for the PROJECT, and such  
21 provisions shall apply equally to this Agreement.  
22

## 23 **8. NOTICES AND DESIGNATED REPRESENTATIVES**

24  
25 8.1 Any notice required or permitted to be given pursuant to this Agreement shall be in  
26 writing and shall be sent postage prepaid by U.S. Mail to the Designated Representatives.  
27

28 8.2 The Designated Representatives for each PARTY are as follows:  
29

30 STATE:

31 Program Administrator  
32 Alaskan Way Viaduct & Seawall Replacement Program  
33 Washington State Department of Transportation  
34 999 3<sup>rd</sup> Avenue, Suite 2424  
35 Seattle, WA 98104  
36

37 SPU:

38 Project Manager, Alaskan Way Viaduct & Seawall Replacement Program  
39 Seattle Public Utilities  
40 P.O. Box 34018  
41 700 Fifth Avenue, Suite 4900  
42 Seattle, WA 98124-4018  
43

## 44 **9. FUNDING OF SPU FACILITIES WORK AND TASK ORDERS**

45

1 9.1 The PARTIES agree to comply with all provisions contained within Section 4 of GCA  
2 6486, regarding Task Orders, and such provisions within Section 4 shall apply equally to this  
3 Agreement.

4 9.2 The STATE shall provide necessary funding for all PROJECT costs without  
5 reimbursement from the City of Seattle, except for the City of Seattle cost responsibilities  
6 established in this Agreement, in SDOT Agreement GCA 6486, and SCL Agreement UT 01476.  
7

8 9.3 Each PARTY shall fund work for which it is responsible pursuant to this Agreement.  
9

10 9.4 The STATE will request, obtain and fund any temporary and permanent utility services  
11 required for the PROJECT (“Utility Service Work”) through separate utility service agreements  
12 with SPU.  
13

14 9.5 While SDOT is the City lead agency for the PROJECT, the STATE understands and  
15 agrees that all PROJECT decisions that are likely to result in expenditure of SPU funds, and all  
16 PROJECT decisions that may have operational, maintenance, or access impacts to SPU  
17 Facilities, require concurrence of SPU.  
18

19 **10. SPU’S RIGHT TO CORRECT NON-CONFORMING, UNAUTHORIZED AND**  
20 **DEFECTIVE WORK**  
21

22 10.1 If the STATE or its contractor fails to remedy, or fails to properly remedy, non-  
23 conforming, unauthorized or Defective Work within the time specified by SPU, which is not to  
24 be less than ten (10) Business Days, SPU may, but is not required to, correct and remedy such  
25 work by any means as SPU may deem necessary, including the use of SPU staff or contractors.  
26

27 10.2 If the STATE or its contractor fails to comply with a written notice to remedy what SPU  
28 determines to be an emergency situation, SPU may, but is not required to, have the non-  
29 conforming, unauthorized or Defective Work corrected immediately, have such work removed  
30 and replaced, or have work the STATE or its contractor refuses to correct completed. An  
31 emergency situation shall mean a condition that calls for immediate action to respond to danger  
32 to health, safety or property.  
33

34 10.3 Direct and indirect costs incurred by SPU attributable to correcting and remedying  
35 unauthorized, non-conforming or Defective Work, or work the STATE or its contractor failed or  
36 refused to perform, shall be paid by the STATE to SPU within 45 calendar days after receipt of  
37 an invoice, as further defined in Exhibit B of GCA 6486.  
38

39 10.4 Except in an emergency situation as defined under Section 10.2, disagreements between  
40 SPU and the STATE on what constitutes non-conforming, unauthorized or Defective Work shall  
41 be resolved using the dispute resolution process established in Section 19 herein prior to SPU  
42 performing any work.  
43

1 10.5 Any and all services, including direction, provided by SPU pursuant to this section shall be  
2 subject to all limitations on the CITY's liability contained in GCA 6486, including but not limited  
3 to Section 16, Risk Allocation.  
4

## 5 **11. SPU ACCESS AND INSPECTION OF SPU FACILITIES WORK**

6

7 11.1 Neither the STATE nor its contractor shall require SPU to interrupt water service without  
8 (a) written notice to SPU at least fourteen (14) calendar days prior to the planned interruption  
9 and (b) SPU's written approval. SPU may restrict water service interruptions to the extent  
10 necessary to maintain water system operations and adequate water supply to customers. Under  
11 no circumstances shall the STATE, its contractor, or anyone other than SPU personnel, damage,  
12 repair, modify or operate any portion of the existing water system including but not limited to  
13 water services, water mains, valves, test stations, and meters.  
14

15 11.2 The STATE shall ensure the SPU has the right to safe access to their facilities at any time  
16 to operate and maintain existing and newly installed SPU Facilities or to inspect or perform SPU  
17 Facilities Work. For purposes of this Agreement, "access" shall mean that the hydrants, meter,  
18 valves, or similar surface water system facilities, and drainage and wastewater system facilities  
19 shall not be blocked, covered or otherwise inaccessible to SPU. With the exception of SPU's on-  
20 site inspector, SPU staff will notify the STATE in advance of their arrival on site except in the  
21 case of emergency in accordance with site access procedures to be developed by the PARTIES.  
22

23 11.3 The STATE agrees and acknowledges that SPU shall have an on-site inspector available  
24 during the construction of SPU Facilities for SPU's quality assurance. The STATE agrees and  
25 acknowledges SPU's on-site inspector shall (a) have timely and complete access to the  
26 construction work associated with the SPU Facilities Work; (b) be timely informed of all  
27 relevant construction timelines associated with such work; and (c) have the authority to, but not  
28 be required to, reject and have corrected and/or replaced any construction or materials deemed to  
29 be deficient, or which deviate from the Approved Plans or any SPU-approved revisions to the  
30 Approved Plans. In such instances, SPU's on-site inspector, or SPU's project manager, will  
31 immediately direct comments and issues to the STATE's construction Project Engineer or  
32 designated representative, which will be followed up in writing as soon as possible but no later  
33 than ten (10) Business Days of the date of any inspection. The STATE shall promptly address  
34 each comment or issue presented by SPU to SPU's satisfaction. SPU staff will continue to be  
35 supervised by SPU management.  
36

37 11.4 The STATE will allow SPU's on-site inspector or Designated Representative to consult  
38 with and inquire of the STATE construction Project Engineer, attend all meetings, and have  
39 timely and complete access to all documentation as to all matters concerning the SPU Facilities  
40 Work. SPU shall not provide direction, directly or indirectly, to the STATE's consultant(s) or  
41 contractor.  
42

43 11.5 The STATE shall provide SPU with timely notice prior to commencement and  
44 completion of all material stages of the SPU Facilities Work and shall invite SPU to inspect such  
45 work upon completion of any material stage. The STATE shall timely address each comment or

1 issue presented by SPU to SPU's satisfaction. Both PARTIES agree to act as expeditiously as  
2 possible to assure a timely resolution of any deficiencies.  
3

4 11.6 SPU shall observe the work on SPU Facilities performed by the STATE to satisfy SPU's  
5 needs for quality assurance. SPU will notify the STATE if SPU observes defective SPU  
6 Facilities Work, such as improper installation or unsafe conditions.  
7  
8  
9

## 10 **12. FINAL INSPECTION AND PROJECT ACCEPTANCE**

11  
12 12.1 The PARTIES agree to comply with all provisions contained within Section 15 of GCA  
13 6486, regarding Final Inspection and Project Acceptance, and such provisions shall apply equally  
14 to this Agreement  
15

16 12.2 SPU Facilities shall not be placed into interim use or operation, or transferred to the City,  
17 unless or until: (a) SPU has participated in an inspection of the SPU Facilities; (b) any  
18 deficiencies or Defective Work have been resolved or corrected to SPU's satisfaction; and (c)  
19 SPU confirms with the STATE in writing that SPU's minimum inspection and testing  
20 requirements for the SPU Facilities have been met, including completion of the Washington  
21 State Department of Health Completion Report for watermains.  
22

## 23 **13. WARRANTIES**

24  
25 13.1 The PARTIES agree to comply with all provisions contained within Section 17 of GCA  
26 6486, regarding Warranties, and such provisions shall apply equally to this Agreement

## 27 **14. ACQUISITION AND TRANSFER OF EASEMENTS AND** 28 **FRANCHISE/UTILITY PERMITS**

29  
30 14.1 SPU is responsible for identifying and acquiring, at its sole cost and expense, all property  
31 rights needed to complete Relocation Work, except for property otherwise required for the  
32 PROJECT.  
33

34 14.2 The STATE is responsible for identifying and acquiring, at its sole cost and expense, all  
35 property rights needed to complete SPU Facilities Deformation Mitigation Work.  
36

37 14.3 The PARTIES recognize that their property acquisition responsibilities include the  
38 performance of all appraisal, appraisal review, title review, surveys, property investigation,  
39 relocation assistance and all other investigations and services in connection with the acquisition  
40 of the permanent easement rights necessary for the SPU Facilities, including, without limitation,  
41 identification and investigation of Hazardous Substances as provided in Section 5 of the GCA  
42 6486. The STATE shall provide to SPU, as soon as available to the STATE, all reports and  
43 documents prepared or obtained in connection with any of the reviews and investigations  
44 described above.



1  
2 14.4 Where the STATE is acquiring easement rights for SPU Facilities Deformation Mitigation  
3 Work, unless the PARTIES otherwise agree in writing, prior to commencement of construction,  
4 the STATE shall convey to the CITY the easement rights substantially in the form of, and  
5 containing the same conditions as, the approved Utility Easement form attached and identified as  
6 Exhibit A. The Utility Easements conveyed to the CITY shall not be subject to any lien,  
7 encumbrance or exception of title of any kind.  
8

9 14.5 The legal descriptions will be developed based on the Approved Plans. The PARTIES  
10 acknowledge that due to unforeseen field conditions the location of one or more of the easements  
11 may need to change after commencement of construction. In that case, the STATE shall provide  
12 SPU with documents, reports and information identified in Subsection 14.3 above, relevant to the  
13 new or modified easement area. All requirements and conditions pertaining to the original  
14 permanent easement shall apply to all amendments and modifications.  
15

16 14.6 Where SPU Facilities are located in or near an area which the STATE designates as a  
17 limited access facility as defined by RCW 47.52.010, the STATE will ensure that SPU continues  
18 to be allowed access to its facilities.

19 14.6.1 The STATE's limited access facility designation for the tunnel shall contain a  
20 vertical and horizontal boundary.

21 14.6.2 The STATE agrees that any limited access facility designation for the tunnel will  
22 allow SPU to access its SPU Facilities.

23 14.6.3 The area between the limited access facility boundaries and the CITY street shall  
24 continue to be CITY Street Right-of-Way.

25 14.6.4 To the extent possible, limited access facility boundaries will be defined in a  
26 manner that places SPU Facilities of a significant size, or that are difficult to relocate, outside of  
27 the limited access facility boundaries.

28 14.6.5 In the event the STATE designates as a limited access facility any area in or near  
29 the tunnel portals on which a SPU Facility exists or will be relocated, the STATE agrees to  
30 provide SPU a SPU franchise/utility permit in the form attached hereto as Exhibit B, pursuant to  
31 the requirements of Section 14 herein and will make every effort to develop a design that  
32 minimizes the need for regular, on-going maintenance access as reasonably feasible.  
33  
34

## 35 **15. ENVIRONMENTAL REMEDIATION**

36  
37 15.1 The PARTIES shall comply with all provisions of GCA 6486, regarding Environmental  
38 Remediation, including but not limited to all provisions in Section 5 therein, and such provisions  
39 shall apply equally to this Agreement.  
40

## 41 **16. RISK ALLOCATION**

42  
43 16.1 The PARTIES shall comply with all provisions of the GCA 6486, regarding Risk  
44 Allocation and Indemnification, including but not limited to all provisions in Section 19 therein,  
45 and such provisions shall apply equally to this Agreement.

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**17. INSURANCE**

17.1 The PARTIES shall comply with all provisions of the GCA 6486, regarding Insurance, including but not limited to all provisions in Section 20 therein, and such provisions shall apply equally to this Agreement.

**18. THIRD PARTY BENEFICIARY**

18.1 The PARTIES shall comply with all provisions of GCA 6486, regarding Third Party Beneficiary, including but not limited to all provisions in Section 21 therein, and such provisions shall apply equally to this Agreement.

**19. DISPUTE RESOLUTION**

19.1 Good Faith. SPU and the STATE shall make good faith efforts to resolve any dispute arising under or in connection with this Agreement. The dispute resolution process outlined in this Section applies to disputes arising under or in connection with the terms of this Agreement. In the event that the PARTIES cannot resolve a disagreement arising under or in connection with this Agreement, the PARTIES shall follow the dispute resolution steps set forth below.

19.2 Notice. A PARTY's Designated Representative, as defined in Section 8 above, shall notify the other PARTY's Designated Representative in writing of any problem or dispute that a PARTY believes needs resolution. The written notice shall include (a) a description of the issue to be resolved; (b) a description of the differences between the PARTIES on the issue; and (c) a summary of any steps taken to resolve the issue.

19.3 Meeting. Upon receipt of a written notice of request for dispute resolution, the WSDOT project engineer and the SPU project manager shall meet within ten (10) Business Days and attempt to resolve the dispute. Any resolution of the dispute requires the agreement of all Designated Representatives attending the meeting or who requested to attend the meeting.

19.4 Notice of Second Level Meeting. If the PARTIES have not resolved the dispute within five (5) Business Days after the meeting, at any time thereafter either PARTY may request that the dispute be elevated to the next level by notifying the other PARTIES Designated Representative in writing, requesting that the dispute be raised to the Second Level Meeting. The written notification shall include a) a description of the remaining issues to be resolved; b) a description of the differences between the PARTIES on the issues, c) a summary of the steps already taken to resolve the issues, and d) the resolution of any issues that were initially involved in the dispute.

1 19.5 Second Level Meeting. Upon receiving a written request that the dispute be elevated to  
2 the next level, a meeting shall be held within ten (10) Business Days between the Project  
3 Director of WSDOT and the SPU Project Delivery Branch Deputy Director to resolve the  
4 dispute. Any resolution of the dispute requires the agreement of all Representatives attending the  
5 meeting or who requested to attend the meeting.  
6

7 19.6 Notice of Third Level Meeting. If the PARTIES have not resolved the dispute within  
8 five (5) Business Days after the Second Level Meeting, at any time thereafter either PARTY may  
9 request that the dispute be elevated to the next level by notifying the other PARTY's Designated  
10 Representative in writing, requesting that the dispute be raised to the Third Level Meeting. The  
11 written notification shall include a) a description of the remaining issues to be resolved; b) a  
12 description of the differences between the PARTIES on the issues, c) a summary of the steps  
13 already taken to resolve the issue, and d) the resolution of any issues that were initially involved  
14 in the dispute.  
15

16 19.7 Third Level Meeting. Upon receiving a written request that the dispute be elevated to the  
17 third level, a meeting shall be held within ten (10) Business Days between the WSDOT AWW  
18 Program Administrator and Director of Seattle Public Utilities to resolve the dispute. Any  
19 resolution of the dispute requires the agreement of all Representatives attending the meeting or  
20 who requested to attend the meeting.  
21

22 19.8 Court of Law. If the PARTIES have not resolved the dispute within five (5) Business  
23 Days after the third level meeting, at any time thereafter either PARTY may seek relief under  
24 this Agreement in a court of law. The PARTIES agree that they have no right to relief in a court  
25 of law until they have completed the dispute resolution process outlined in this Section.  
26

27 19.9 A PARTY's request to utilize this Dispute Resolution process is not evidence that either  
28 PARTY is in breach of this Agreement, and does not relieve any PARTY from complying with its  
29 obligations under this Agreement.  
30

## 31 **20. REMEDIES; ENFORCEMENT**

32

33 20.1 The PARTIES agree that provisions of GCA 6486, regarding Remedies; Enforcement,  
34 including but not limited to Section 24 therein, shall apply equally to this Agreement.  
35

## 36 **21. TERMINATION**

37

38 21.1 This Agreement may be terminated as provided in Section 28 of GCA 6486 regarding  
39 Termination which shall apply equally to this Agreement.  
40

## 41 **22. CONFIDENTIALITY OF INFORMATION AND RECORDS**

42

43 22.1 The provisions of the SDOT Bored Tunnel Agreement, regarding Confidentiality of  
44 Information and Records, including but not limited to Section 29 therein, shall apply equally to  
45 this SPU Bored Tunnel Agreement.

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**23. EFFECTIVENESS AND DURATION**

23.1 This Agreement shall be effective as of the date the last PARTY signs and, unless sooner terminated pursuant to the terms hereof, shall remain in effect until final completion of all PARTIES' obligations contained or referred to in this Agreement and GCA 6486, UT 01474, and UT 01476.

**24. GENERAL PROVISIONS**

24.1 The General Provisions set forth in the GCA 6486, including but not limited to Section 30 therein, shall apply equally to this Agreement.

1 IN WITNESS WHEREOF, the PARTIES hereto have executed this Agreement as of the last day  
2 and year written below.

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**SEATTLE PUBLIC UTILITIES**

**WASHINGTON STATE  
DEPARTMENT OF  
TRANSPORTATION**

\_\_\_\_\_

**By:**  
Ray Hoffman  
Director

Date: \_\_\_\_\_

**By:** \_\_\_\_\_  
Print: \_\_\_\_\_  
Title: \_\_\_\_\_

Date: \_\_\_\_\_

APPROVED AS TO FORM:

\_\_\_\_\_  
By (print)

\_\_\_\_\_  
Signature  
Assistant Attorney General

Date: \_\_\_\_\_

MEMORANDUM OF AGREEMENT

UT 01474

SR 99 ALASKAN WAY VIADUCT REPLACEMENT  
SPU FACILITIES WORK AGREEMENT  
FOR SR 99 BORED TUNNEL PROJECT

EXHIBIT A  
EASEMENT DEED  
TEMPLATE

*(Permanent Easement to City of Seattle, SPU)*

AFTER RECORDING RETURN TO:

SPU Real Property Services  
PO Box 34018  
Seattle, WA 98124-4018

Document Title: Easement Deed  
Reference Number of Related Document:  
Grantor(s):  
Grantee(s): City of Seattle  
Legal Description: TBD  
Additional Legal Description is on Page \_\_\_ of document  
Assessor’s Tax Parcel Number: TBD

E A S E M E N T   D E E D

SR 99, \_\_\_\_\_[insert summary description of vicinity]

This NON-EXCLUSIVE PERMANENT EASEMENT is made this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, between \_\_\_\_\_, hereinafter referred to as the Grantor and the City of Seattle, a municipal corporation, acting through and by Seattle Public Utilities, hereinafter referred to as the Grantee; WITNESSTH:

That the Grantor, for and in consideration of the sum of TEN DOLLARS AND NO/100, (\$10.00) and other good and valuable consideration, receipt of which is hereby acknowledged, hereby conveys and grants to the Grantee, its successors and assigns, a non-exclusive permanent easement over, under, upon and across the hereinafter described lands and premises.

Said lands being situated in King County, State of Washington, and described as follows:

See Exhibit A attached hereto and made a part hereof (“Easement Area”)

This Easement Deed includes the following rights, privileges, authorities and obligations:

**A. Purpose and Uses**

1. As used in this Section A, “Grantor” shall include Grantor’s employees, contractors, tenants, lessees, agents, invitees, consultants, successors and assigns. As used in this Section A, “Grantee” shall include Grantee’s employees, contractors, agents, invitees, consultants, successors and assigns.
2. Grantee shall have the right to use the Easement Area to install, construct, alter, repair, operate, improve and maintain water, sewer or drainage infrastructure including appurtenances (collectively hereinafter “Utility Facilities”) and the right at any time to remove all or any part of said Utility Facilities from said lands.
3. Grantee’s Access. Grantee shall have twenty-four hour access to the Easement Area across, over or from Grantor’s property for the purposes and uses stated above. Grantee’s rights of ingress and egress shall include the right to limit or eliminate parking in the vicinity of the Easement Area in order to facilitate necessary and convenient access to the Utility Facilities.
4. Utility Facilities. Without limiting the generality of the purpose and use stated above, Grantee, at its own expense, shall have the right to replace any of the Utility Facilities within the Easement Area with utility facilities of the same or larger diameter and capacity and to install additional or replacement utility facilities within the Easement Area.
5. Grantee shall have the right without prior institution of any suit or proceeding at law, at such times as may be necessary, to enter upon said Easement Area for the purposes herein described, without incurring any legal obligation or liability therefor.
6. Restoration of Easement Area. Grantee will restore the Easement Area following any maintenance, repair, replacement or construction of the Utility Facilities, to match the Easement Area’s existing condition, prior to Utility Facilities construction, as nearly as practicable. In the event Grantee fails to restore the Easement Area as described following any maintenance, repair, replacement or construction of the Utility Facilities, Grantor shall have the right to restore the same at the Grantee’s expense.
7. The Grantee agrees to comply with all civil rights and anti-discrimination requirements of Chapter 49.60 RCW as to the lands herein described.



**B. Grantor's Obligations and Activities in Easement Area**

1. As used in this Section B, "Grantor" shall include Grantor's employees, contractors, tenants, lessees, agents, invitees, consultants, successors and assigns. As used in this Section B, "Grantee" shall include Grantee's employees, contractors, agents, invitees, consultants, successors and assigns.
2. Subject to the conditions set forth below, Grantor shall have the right to use the Easement Area in any way and for any legal purpose, including the granting of utility franchises, not inconsistent with the rights herein granted to Grantee and the terms and conditions of this Easement Deed.
3. Grantor hereby agrees that no building, fence, wall, rockery, trees, shrubbery or obstruction of any kind shall be erected or planted, or any fill material placed within the boundaries of said Easement Area without prior written permission of the Grantee.
4. Grantor shall not nor permit others to place any fill material over Utility Facilities within the Easement Area without Grantee's prior written approval. Such approval may not be unreasonably withheld, but may include such restrictions and conditions as are appropriate to protect existing and future planned Utility Facilities.
5. If Grantor intends to either carry out construction work in the Easement Area, or permit others to do so, Grantor shall request Grantee's approval by submitting detailed work plans to Grantee no less than ninety (90) days prior to the commencement of the proposed work. Grantee shall provide said approval, including such restrictions and conditions as reasonably appropriate to protect any Utility Facilities and operations, including future planned utility facilities, or written objections, specifying the grounds therefore, within thirty (30) days of submittal of Grantor's work plans. Grantee's authorization shall not be unreasonably denied and may include such restrictions and conditions as are appropriate to protect existing and future planned Utility Facilities.
6. In the event Grantor erects or plants any building, fence, wall, rockery, trees, shrubbery or obstruction of any kind in the Easement Area in violation of Section B.3 or places fill material over Utility Facilities in violation of B.4, Grantee shall have the right to remove the same at the Grantor's expense. In the event such improvements are destroyed or damaged by Grantee or its Utility Facilities, Grantee shall not be responsible for the restoration or repair of such improvements.
7. Grantor hereby agrees that no other utility facilities, whether public or private, will be installed within five (5) horizontal feet of the Utility Facilities. All utility crossings

must maintain a minimum vertical clearance of no less than eighteen (18) inches from the Utility Facilities. Where possible, sewer and storm drains shall be laid at a lower invert elevation than water mains.

8. Grantor shall not blast or discharge any explosives within 50 feet of the Easement Area, nor permit the same, without prior written permission of the Grantee.
9. Parking of vehicles or storage of materials over water meter or valve boxes is not allowed.

**C. Indemnification**

Grantee is to be responsible, as provided by law, for any damage to the Grantor through its negligence in the construction, replacement, maintenance and operation of the Utility Facilities across, upon and under the property of said Grantor, but nothing herein shall require Grantee to indemnify Grantor for that portion of any such liability attributable to the negligence of the Grantor or to the negligence of others. Grantor shall be responsible for any damage to the Grantee through its negligence.

**D. Compliance with Laws**

The Grantee and the Grantor in the exercise of their respective rights under this Easement Deed shall comply with all applicable federal, state and local laws, ordinances, and regulations, including environmental laws and regulations.

The lands herein described are not required for state highway purposes and are conveyed pursuant to the provisions of RCW 47.12.063.

**E. Venue**

This Easement Deed shall be interpreted, construed, and enforced in accordance with the laws of the State of Washington. The venue for any action under this Easement Deed shall be in the Superior Court for King County, Washington.

GRANTOR

\_\_\_\_\_  
[Insert signatory's name]

CITY OF SEATTLE, Seattle Public Utilities  
a municipal corporation

By: \_\_\_\_\_  
Authorized Signatory                      Date

STATE OF WASHINGTON )

) : ss

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally  
appeared \_\_\_\_\_, Grantor, known to me, and executed the  
foregoing instrument, acknowledging said instrument to be the free and voluntary act and deed  
of the State of Washington, for the uses and purposes therein mentioned, and on oath stated that  
he was authorized to execute said instrument.

Given under my hand and official seal the day and year last above written.

\_\_\_\_\_  
Notary (print name)\_\_\_\_\_

Notary Public in and for the State of Washington, residing  
at \_\_\_\_\_

My Appointment Expires \_\_\_\_\_

Exhibit A

**Easement Area:**

[Insert legal description of the Easement Area]

MEMORANDUM OF AGREEMENT  
UT 01474  
SR 99 ALASKAN WAY VIADUCT REPLACEMENT  
SPU FACILITIES WORK AGREEMENT  
FOR SR99 BORED TUNNEL PROJECT

**EXHIBIT B**

**Franchise/Utility Permit Conditions for Utility Facilities located within Limited Access Areas  
designated for the AWVSRP**

Introduction

Below are the terms and conditions that will apply to Franchises / Utility Permits issued to SCL and SPU associated with areas designated new Limited Access Facility for the Proposed Bored Tunnel Project (Project).

The exact location of the Limited Access limits is still not completely defined, and SPU and SCL will likely have pre-existing infrastructure that will fall within the Limited Access area. In addition, there are utilities that will be replaced or relocated that may be installed in areas of Limited Access, though there is a strong preference to limit these occurrences. The Franchise/Utility Permit conditions outlined below would not apply to the building of utility new facilities within the Limited Access areas.

**Utility Permit Conditions**

The Washington State Department of Transportation (“STATE”) hereby grants to the \_\_\_\_\_ (“CITY”) the non-exclusive permission to use a portion of the \_\_\_\_\_, situated in Seattle, Washington. The rights herein granted are subject to all other easements and permits affecting the lands subject to this Permit.

1. Background. The Proposed Bored Tunnel Project (Project) replaces State Route 99 from South Royal Brougham Way to Roy Street and consists of designing and constructing a four-lane bored tunnel from South King Street to Thomas Street; north and south tunnel portals and access streets; re-establishment of the CITY street grid in the vicinity of the portals; and associated utility relocations. The Project is located in Seattle, which is a very densely developed urban environment, with utility infrastructure that has been woven into the fabric of the CITY. There is no alternative but to have the portals associated with the Project emerge into CITY street right of way where CITY owned Utility Facilities currently reside. The cost of purchasing right of way outside of these CITY streets is prohibitive, and the STATE has no choice but to declare portions of the CITY right of way as a Limited Access Facility as part of this Project. This Permit addresses the situation where CITY owned Utility Facilities will have to be modified, incorporated, or replaced in newly-designated Limited Access Facility, which was once CITY street right of way that formed part of STATE Route 99 as provided in RCW 47.24.010 and RCW 47.24.020. The STATE has endeavored to limit the scope of instances where CITY-owned Utility Facilities are relocated into Limited Access Facility or where the Limited Access Facility incorporates existing CITY-owned Utility Facilities.
2. Purpose. The purpose of the Permit is to provide for the location, operation, maintenance, replacement, modification, and repair of all existing CITY Utility Facilities, including, but not limited

to, wires, pipelines, fibers, cables, communications devices and associated facilities and equipment both at or below-grade owned by the CITY. The location of the Utility Facilities is within portions of the areas legally described in Exhibit A, and depicted on Exhibit B, each of which is attached and incorporated by reference.

3. Reservation. This Permit shall not be deemed or held to be an exclusive one and shall not prohibit the STATE from granting rights of like or other nature to other public or private utilities, nor shall it prevent the STATE from using any of its roads, streets, or public places, or affect its right to full supervision and control over all or any part of them, none of which is hereby surrendered.
4. Term. The Permit shall have a duration of fifty (50) years, and shall be renewed upon request in writing to the STATE and shall contain the same terms and conditions as this permit, unless otherwise requested by the City and approved by the STATE. The Permit, and shall be transferable to any third party fulfilling the function of CITY, and the third party shall have all of the same rights, obligations, and benefits herein provided to CITY.
5. Permitted Users. The STATE acknowledges that CITY may choose to allow its agents, contractors, employees, lessees, successors and assigns use of the lands subject to this Permit for the intended purpose. The rights, title, privileges and authority hereby granted in this Permit shall continue and be in force until such time as the CITY, its successors and assigns, shall permanently remove all Utility Facilities from the area or permanently abandon the Utility Facilities.
6. Relocation of Utility Facilities. Due to the fact that there are no reasonable alternative locations within which to relocate the CITY-owned utility facilities, and further due to the STATE's obligations to mitigate damages and limit Project costs, there may be a need to relocate the CITY-owned Utility Facilities within the STATE's Limited Access Facility. Whenever necessary for the construction, repair, improvement, alteration, or relocation of any portion of Project in Limited Access as determined by the STATE, or if the STATE shall determine that the removal of any or all Utility Facilities from the said lands is necessary, incidental, or convenient to the construction, repair, improvement, alteration, or relocation of the public road or street located in the STATE's Limited Access Facility, the CITY shall, upon written notice by the STATE, relocate or remove any or all of such Utility Facilities from the Limited Access Facility as may be required by the STATE. The STATE agrees to pay the full reasonable costs of such relocations and agrees to give the CITY 3 years advance notice of the needed relocations in order for the CITY to adequately plan, design and construct the relocations. In the event CITY fails to remove or relocate the Utility Facilities within a reasonable time, the STATE may undertake such removal or relocation, at the sole expense of the STATE and with all necessary coordination with the CITY.
7. Maintenance, Replacement, Repair, and Modification. All maintenance, replacement, repair, and modification of the Utility Facilities by CITY, for that area depicted on Exhibit B, shall be done in such manner as will cause the least interference with any of the STATE's performance in the operation and maintenance of XXX. All costs for such work shall be at the sole expense of the CITY, unless the need for such work is caused by the STATE. Any replacement or modification of existing Utility Facilities, within the area depicted on Exhibit B, that require the placement of above-ground facilities, shall require the issuance of an additional Utility Permit by the STATE for such construction of above-ground facilities,, which permit shall not be unreasonably withheld, and shall conform with the Control Zone guidelines referenced in WAC 468-34-170 and WAC 468-34-350.
8. Restoration of Highway. Except as set forth in paragraph 6 above, the CITY agrees, at its own expense, to restore paving, grading, landscaping and other improvements damaged by CITY's activities under this Permit to at least as good a condition as such paving, grading, landscaping and

other improvements were in immediately prior to the CITY's commencement of work. All material and workmanship shall conform to the Washington State Department of Transportation Standard Specification for Road, Bridge and Municipal Construction, as it may exist at that time, and may be subject to inspection by the STATE. Upon failure, neglect, or refusal of the CITY to timely restore the highway as required of the CITY, the STATE may undertake and perform such restoration, at the sole cost and expense of the CITY.

9. Emergency Access to CITY-Owned Utility Facilities. In the event of an emergency, the CITY will have 24 hour access to CITY-owned Utility Facilities located in STATE Limited Access. In an emergency, the STATE shall cooperate with the requests of the CITY, to facilitate CITY's response to the situation in order to protect the public health, safety and welfare. In situations of non-emergency, the CITY will have access to CITY-owned Utility Facilities as outlined in paragraph 10.
10. Construction and Maintenance of Utility Facilities in Non-Emergency Situations.
  - A. The CITY has the right to install, construct, alter, repair, operate, improve and maintain all CITY-owned Utility Facilities, including appurtenances associated with this Permit. The CITY has the right to replace any of the permitted Utility Facilities with facilities of the similar size or configuration, in the same location as the originally-permitted Utility Facilities without requesting a change to this Permit.
  - B. The CITY shall provide the STATE fifteen (15) business days written notice prior to commencement of maintenance activities under this Permit, and at least forty-five (45) business days written notice prior to commencement of construction activities under this Permit. In both cases, the CITY shall submit to the STATE work plans depicting the work to be performed by the CITY and shall coordinate with the STATE (WSDOT NW Region Maintenance Engineer) during these time periods. The STATE will make all reasonable effort to provide a letter of authorization to the CITY within fifteen (15) business days for maintenance activities and sixty (60) business days for construction activities.
  - C. Prior to the beginning of construction, a preconstruction conference shall be held, at which time the STATE, the CITY, and appropriate engineers and inspectors shall be present.
  - D. A copy of this Permit must be posted on the job site, and protected from the elements, at all times during any construction authorized by this Permit.
  - E. In the event any milepost, right of way marker, fence or guard rail is located within the limits of CITY's construction and will be disturbed during construction, these items will be carefully removed prior to construction and reset or replaced at the conclusion of construction to the satisfaction of the STATE. All signs and traffic control devices must be maintained in operation during construction.
  - F. Prior to construction, the CITY shall contact the STATE representative to ascertain the location of survey control monuments within the project limits. In the event any monuments will be altered, damaged or destroyed by the project, appropriate action will be taken by the STATE, prior to construction, to reference or reset the monuments. Any monuments altered, damaged or destroyed by the CITY's operation will be reset or replaced by the STATE at the sole expense of the CITY.

- G. During the construction and/or maintenance of the utilities, the CITY shall comply with the “Manual on Uniform Traffic Control Devices for Streets and Highways” as it may exist at that time, as well as any applicable Washington statutes or regulation. Any closure or restriction of the Limited Access Facility requested by the CITY pursuant to this Permit shall require the CITY to submit a traffic control plan for the STATE’s timely approval. The timely approval will be commensurate with the scope of the work proposed. Except in case of emergency, no work pursuant to this Permit can be performed on the XXX until the STATE has approved the traffic control plan.
  - H. Should the CITY choose to perform the work outlined herein with other than its own forces, a representative of the CITY shall be present at all times unless otherwise agreed to by the STATE representative. All contact between the STATE and the CITY’s contractor shall be through the representative of the CITY. Where the CITY chooses to perform the work with its own forces, it may elect to appoint one of its own employees engaged in the construction as its representative. Failure to comply with this provision shall be grounds for restricting any further work by the CITY within the STATE right of way until said requirement is met. The CITY, at its own expense, shall adequately police and supervise all construction work by itself, its contractor, subcontractor, agent, and others, so as not to endanger or injure any person or property.
  - I. Except in an emergency or unless authorized by the STATE, work shall be restricted to between the hours of 9:00 a.m. and 3:30 p.m. and the hours of 7:00 p.m. and 5:00 a.m., and no work shall be allowed on the right of way on holidays.
  - J. All trenches, boring or jacking pits, etc., shall be backfilled as soon as possible and not left open during non-working hours unless covered with material of sufficient strength to withstand traffic loads, or protected by an alternate method approved by the STATE.
  - K. All slopes, slope treatment, top soil, ditches, pipes, etc., disturbed by this operation shall be restored to their original cross section and condition. All open trenches shall be marked by warning signs, barricades, lights, and if necessary, flagmen shall be employed for the purpose of protecting the traveling public.
  - L. The responsibility of the CITY for proper performance, safe conduct, and adequate policing and supervision of the work shall not be lessened or otherwise affected by STATE approval of plans, specifications, or work or by the presence at the work site of STATE representatives, or by compliance by the CITY with any requests for recommendations made by such representatives.
11. STATE’s Construction and Maintenance of XXX. The STATE shall inform the CITY in writing no less than forty-five (45) days in advance of planned work to coordinate with the CITY regarding the planned STATE work. Such writing shall include submittal of the STATE’s work plans.
- A. In the event that construction and maintenance of XXX within the proximity of the CITY-owned Utility Facilities becomes necessary, it is expressly understood that, upon request from the STATE’s representative, the CITY will promptly identify and locate by suitable field markings any and all of their underground Utility Facilities in accordance with RCW 19.122.030.



- B. The CITY shall provide comments and requests in writing to the STATE regarding the STATE's planned work within fifteen (15) business days of submittal of the STATE's work plans for maintenance activities, and within forty five (45) business days for construction activities. The STATE shall endeavor to resolve and incorporate CITY comments, and will coordinate with the CITY regarding their comments and concerns.
- C. The CITY may have an on-site inspector, as it deems necessary, during any excavation or construction work within the permitted area. The inspector shall have complete access to area work and be timely informed of all relevant construction timelines associated with such work.
- D. CITY Construction Guidelines will be followed when considering the placement of other utility facilities in the vicinity of CITY-owned Utility Facilities. No other utility facilities, whether public or private, will be installed within five (5) horizontal feet or eighteen (18) vertical inches of the utility facilities without informing and coordinating with the CITY. Where possible, sewer and storm drains shall be laid at a lower invert elevation than water mains.
- E. No permanent structure will be erected or permitted within the area without coordination with the CITY.
- F. No construction of buildings, fences, walls, or placement of trees, shrubbery, obstruction, or fill material will be placed within the boundaries of area covered by this permit without prior notification and coordination with the CITY. No digging or other construction activity will be undertaken adjacent to the CITY-owned Utility Facilities without prior notification and coordination with the CITY.
- G. No blasting or discharge of any explosives will be undertaken within 50 ft of CITY-owned Utility Facilities without prior notification and coordination with the CITY.

12. Hold Harmless/Indemnification. The CITY, its successors and assigns agree to indemnify, defend and hold the STATE, its officers and employees harmless from all claims, demands, damages, expenses or suits that: (1) arise out of or are incident to any negligence by the CITY, its agents, contractors or employees in the use of the highway right of way pursuant to this Permit, or (2) are caused by the breach of any of the conditions of the Permit by the CITY, its contractors, agents or employees. Nothing herein shall require the CITY to indemnify and hold harmless the STATE and its officers and employees from claims, demands, damages, expenses or suits based solely upon the conduct or negligence of the STATE, its agents, officers, employees and contractors; and provided further that if the claims or suits are caused by or result from the concurrent negligence of (a) the STATE, its agents, or employees, and (b) the CITY, its agents or employees, including those actions covered by RCW4.24.115, the foregoing obligations shall be valid and enforceable only to the extent of CITY's negligence. The STATE, its successors and assigns, agree to indemnify, defend and hold the CITY, its officers and employees harmless from all claims, demands, damages, expenses or suits that: (1) arise out of or are incident to any negligence by the STATE, its agents, contractors or employees in the use of the highway right of way pursuant to this Permit, or (2) are caused by the breach of any of the conditions of the Permit by the STATE, its contractors, agents or employees. Nothing herein shall require the STATE to indemnify and hold harmless the CITY and its officers and employees from claims, demands, damages, expenses or suits based solely upon the conduct or negligence of the CITY, its agents, officers, employees and contractors; and provided further that if the claims or suits are caused by or result from the concurrent negligence of

(a) the CITY, its agents, or employees, and (b) the STATE, its agents or employees, including those actions covered by RCW 4.24.115, the foregoing obligations shall be valid and enforceable only to the extent of STATE's negligence.

In Witness whereof, the parties have executed this Permit as of the \_\_\_\_\_ day of \_\_\_\_\_ 2010.

Accepted on Behalf of SPU

STATE OF WASHINGTON  
Department of Transportation

By: \_\_\_\_\_

By: \_\_\_\_\_