

Ordinance No. 121808

Council Bill No. 115246

AN ORDINANCE amending the 2004 Adopted Budget, including the 2004-2009 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; amending the total dollar amount allocated for a project in 2004 in the 2004-2009 CIP; and lifting various budget provisos, all by a three-fourths vote of the City Council.

CF No. \_\_\_\_\_

Date Introduced: <u>MAY 2, 2005</u>		
Date 1st Referred:	To: (committee) <u>Finance + Budget</u>	
Date Re - Referred:	To: (committee)	
Date Re - Referred:	To: (committee)	
Date of Final Passage: <u>5-9-05</u>	Full Council Vote: <u>7-0</u>	
Date Presented to Mayor: <u>5-10-05</u>	Date Approved: <u>5/18/05</u>	
Date Returned to City Clerk: <u>5/20/05</u>	Date Published: <u>3PP</u>	T.O. <input checked="" type="checkbox"/> F.T. <input type="checkbox"/>
Date Vetoed by Mayor:	Date Veto Published:	
Date Passed Over Veto:	Veto Sustained:	

*we*

# The City of Seattle - Legislative Department

Council Bill/Ordinance sponsored by: \_\_\_\_\_

Councilmember

## Committee Action:

5/4/05 Pass 7M, RC, NL

5-9-05 Passed 7-0 (Excused: Della, Steinboeck)

This file is complete and ready for presentation to Full Council. Committee: \_\_\_\_\_ (initial/date)

*Law Department*

Law Dept. Review    
 OMP Review    
 City Clerk Review    
 Electronic Copy Loaded    
 Indexed

ORDINANCE 121808

AN ORDINANCE amending the 2004 Adopted Budget, including the 2004-2009 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; amending the total dollar amount allocated for a project in 2004 in the 2004-2009 CIP; and lifting various budget provisos, all by a three-fourths vote of the City Council.

**BE IT ORDAINED BY THE CITY OF SEATTLE AS FOLLOWS:**

Section 1. In order to pay for necessary costs and expenses incurred in 2004, but for which insufficient appropriations were made, the appropriations for the following items in the 2004 Budget are increased from the funds shown, as follows:

Item	Fund	Department	Budget Control Level	Amount
1.1	Industrial Insurance Subfund (00516)	N/A	Industrial Insurance (NR500)	\$470,759
1.2	Housing and Community Development Revenue Sharing Fund (17820)	Office of Economic Development	HUD Special Grants (6XD20)	\$1,519,677
1.3	Water Fund (43000)	Seattle Public Utilities	Other Operating (N400B)	\$350,000
	<b>Total</b>			<b>\$2,340,436</b>

Section 2. The total dollar amount shown for 2004 for the following project or program in the 2004-2009 Adopted Capital Improvement Program is hereby amended as follows:

Item	Department	Project Name	Project ID	Total dollar amount shown in 2004
2.1	Seattle Public Utilities	Supervisory Control and Data Acquisition Upgrades (Water)	C195008	(((\$1,843,000)) <u>\$3,543,000</u> )



1 Section 3. The restrictions imposed by the following budget provisos are removed and  
2 are no longer restrictions for any purpose including for Subsection 1(b) of Ordinance 121333:  
3

Item	Department	Green Sheet	Proviso Description	Budget Control Level/Project ID
3.1	Finance General	#012-1-A-1	Limits amount of spending on State Auditor	2QD00 – Q5142321
3.2	Finance General	#012-1-A-1	Limits amount of spending on Dues/Membership	2QD00 – Q5199081
3.3	Finance General	#012-1-A-1	Limits amount of spending on Police Intelligence	2QD00 – Q5211022
3.4	Finance General	#012-1-A-1	Limits amount of spending on Sound Transit	2QD00 – Q5476001
3.5	Finance General	#012-1-A-1	Limits amount of spending on Library for all	2QD00 – QD009001
3.6	Finance General	#012-1-A-1	Limits amount of spending on Get Engaged	2QD00 – QD016001
3.7	Finance General	#012-1-A-1	Limits amount of spending on Healthcare Reserve	2QD00 – QD017001

14 Section 4. In accordance with RCW 35.32A.060, by reason of the facts above stated, the  
15 foregoing appropriations are made to meet actual necessary expenditures of the City for which  
16 insufficient appropriations have been made due to causes which could not reasonably have been  
17 foreseen at the time of the making of the 2004 Budget.  
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1 Section 5. Any acts consistent with the authority and prior to the effective date of this  
2 ordinance are hereby ratified and confirmed.  
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4 Section 6. This ordinance shall take effect and be in force thirty (30) days from and after  
5 its approval by the Mayor, but if not approved and returned by the Mayor within ten (10) days  
6 after presentation, it shall take effect as provided by Municipal Code Section 1.04.020.  
7

8 Passed by a three-fourths (3/4) vote of all of the members of the City Council the 9<sup>th</sup>  
9 day of May, 2005, and signed by me in open session in authentication of its passage this  
10 9<sup>th</sup> day of May, 2005.  
11

12 Jan Drago  
13 President \_\_\_\_\_ of the City Council

14 Approved by me this 18<sup>th</sup> day of May, 2005

15 Gregory J. Nickels  
16 Gregory J. Nickels, Mayor

17 Filed by me this 20<sup>th</sup> day of May, 2005.

18 Scott Co.  
19 City Clerk

20  
21 (Seal)



**FISCAL NOTE FOR NON-CAPITAL PROJECTS**

<b>Department:</b>	<b>Contact Person/Phone:</b>	<b>DOF Analyst/Phone:</b>
Department of Finance	Glen Lee/684-8079	Kristi Beattie/684-5266

**Legislation Title:**

AN ORDINANCE amending the 2004 Adopted Budget, including the 2004-2009 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; amending the total dollar amount allocated for a project in 2004 in the 2004-2009 CIP; and lifting various budget provisos, all by a three-fourths vote of the City Council.

• **Summary of the Legislation:**

The legislation amends the 2004 Budget by increasing appropriations for operating expenditures made in 2004; and lifting the restrictions imposed by a set of provisos included in the 2004 Budget. The legislation amends the 2004 Capital Improvement Program (CIP) by changing the capital dollar amount shown for the Seattle Public Utilities Supervisory Control and Data Acquisition Upgrades (Water) project.

• **Background:** *(Include brief description of the purpose and context of legislation and include record of previous legislation and funding history, if applicable):*

There are three actions taking place in this legislation, two of which affect the 2004 Adopted Budget, and one that affects the 2004-2009 Adopted Capital Improvement Program (CIP). Additional detail for each of the actions proposed in this legislation is provided in the Attachment to this fiscal note.

The legislation increases appropriation for the Industrial Insurance Subfund, Housing and Community Development Revenue Sharing Fund and the Water Fund. In each case, appropriation authority in the amount shown is required to carryout the activities of the individual Funds in 2004.

This legislation lifts the restrictions imposed by provisos approved by Council as part of the 2004 Adopted Budget allowing the savings in these items to cover over-expenditures in other items within the Finance General Reserves Budget Control Level.

The legislation amends the 2004-2009 Adopted CIP to increase the 2004 allocation for the Supervisory Control and Data Acquisition Upgrades (Water) project which is expected to exceed its 2004 CIP allocation by more than \$1,000,000.



- Please check one of the following:

**This legislation does not have any financial implications.** (Stop here and delete the remainder of this document prior to saving and printing.)

**This legislation has financial implications.** (Please complete all relevant sections that follow.)

**Appropriations:** This table should reflect appropriations that are a direct result of this legislation. In the event that the project/ programs associated with this ordinance have appropriations that were, or will be, received because of previous or future legislation or budget actions, please provide details in the Notes section below.

Item	Fund Name and Number	Department	Budget Control Level	Amount
1.1	Industrial Insurance Sub fund (00516)	N/A	Industrial Insurance Fund (NR500)	\$470,759
1.2	Housing and Community Development Sharing Fund (17820)	Office of Economic Development	HUD Special Grants (6XD20)	\$1,519,677
1.3	Water Fund (43000)	Seattle Public Utilities	Other Operating (N400B)	\$350,000
	<b>Total</b>			<b>\$2,340,436</b>

**Anticipated Revenue/Reimbursement: Resulting From This Legislation:** This table should reflect revenues/reimbursements that are a direct result of this legislation. In the event that the issues/projects associated with this ordinance/resolution have revenues or reimbursements that were, or will be, received because of previous or future legislation or budget actions, please provide details in the Notes section below the table.

Fund Name and Number	Department	Revenue Source	2005 Revenue	2006 Revenue
<b>TOTAL</b>				

**Notes:** Not applicable. This legislation does not involve revenues.



**Total Regular Positions Created Or Abrogated Through This Legislation, Including FTE**

**Impact:** This table should only reflect the actual number of positions created by this legislation. In the event that positions have been, or will be, created as a result of previous or future legislation or budget actions, please provide details in the Notes section below the table.

Position Title and Department*	Fund Name	Fund Number	Part-Time/Full Time	2005 Positions	2005 FTE	2006 Positions**	2006 FTE**
<b>TOTAL</b>							

\* List each position separately

\*\* 2006 positions and FTE are total 2006 position changes resulting from this legislation, not incremental changes. Therefore, under 2006, please be sure to include any continuing positions from 2005

**Notes:** Not applicable. This legislation does not involve positions.

- **Do positions sunset in the future?** (If yes, identify sunset date):

**Notes:** Not applicable. This legislation does not involve positions.

**Spending/Cash Flow:** This table should be completed only in those cases where part or all of the funds authorized by this legislation will be spent in a different year than when they were appropriated (e.g., as in the case of certain grants and capital projects). Details surrounding spending that will occur in future years should be provided in the Notes section below the table.

Fund Name and Number	Department	Budget Control Level*	2005 Expenditures	2006 Anticipated Expenditures
<b>TOTAL</b>				

\* See budget book to obtain the appropriate Budget Control Level for your department.

- **What is the financial cost of not implementing the legislation?** (Estimate the costs to the City of not implementing the legislation, including estimated costs to maintain or expand an existing facility or the cost avoidance due to replacement of an existing facility, potential conflicts with regulatory requirements, or other potential costs if the legislation is not implemented.)
- **What are the possible alternatives to the legislation that could achieve the same or similar objectives?** (Include any potential alternatives to the proposed legislation, such as



*reducing fee-supported activities, identifying outside funding sources for fee-supported activities, etc.)*

- **Is the legislation subject to public hearing requirements:** *(If yes, what public hearings have been held to date, and/or what plans are in place to hold a public hearing(s) in the future.)*

No

- **Other Issues** *(including long-term implications of the legislation):*

**Please list attachments to the fiscal note below:**

Attachment – 2004 Year End Ordinance Summary

## Attachment 1 – 2004 Year End Ordinance Summary

<b>SUMMARY</b>		
<b>I.</b>	<b>Net Impact on General Subfund</b>	<b>\$0</b>
<b>II.</b>	<b>Net Impact on Other Funds</b>	<b>\$2,340,436</b>
<b>Department</b>	<b>Source of Funds</b>	<b>Amount</b>
<b>Section 1: Increase appropriations in the 2004 Budget</b>		
<b>1.1 N/A</b>	Industrial Insurance Subfund (00516)	\$470,759
<p>The Industrial Insurance Subfund funds the Workers Compensation and Safety Units within the Personnel Department. Due to a technical oversight, the expenses for the Safety Unit were omitted from the appropriation in this fund in the 2004 Adopted Budget. The amount in the administrative line item for the Subfund (page 585 of the 2004 Adopted Budget) should have been \$1,631,639 which includes \$1,160,880 for the Workers Compensation Unit plus \$470,759 for the Safety Unit. There is no financial impact on this Subfund since reimbursement rates charged during 2004 fully compensated the Subfund for the Unit's expenses.</p>		
<b>1.2 Office of Economic Development</b>	Housing and Community Development Revenue Sharing Fund (17820)	\$1,519,677
<p>OED administers the section 108 loan pool and the companion Brownfields Economic Development Initiative Grant (BEDI). Historically, OED has initiated legislation to accept these grants but has not requested budget authority because the grant funds do not flow through the City's accounting system and are managed by a third party trustee. In 2003, the State Auditor indicated that the City needs to record accounting entries in SUMMIT for the BEDI grant activity.</p> <p>In 2002, Ordinance 120873 authorized receipt of the BEDI grant in the amount of \$1,750,000. In 2004, Ordinance 121456 appropriated partial budget authority of \$230,323. This item increases appropriation by the outstanding amount of \$1,519,677 to support acceptance of this BEDI grant. This item results in no net financial impact to the City.</p>		

<b>1.3. Seattle Public Utilities</b>	Water Fund (43000)	\$350,000
<p>This item increases the appropriation authority for the Water Utility Field Operations program of the Other Operating Budget Control Level to reflect greater-than-planned spending.</p> <p>There are three primary reasons why SPU overspent this Control Level. First, SPU completed more work associated with the Water utility than was budgeted, reflecting an increased emphasis by the Department on process redesign, competitiveness and asset management. Second, SPU planned to do more CIP work than it did in 2004, resulting in increased Operations &amp; Maintenance (O&amp;M) labor charges. Third, work performed by Sound Transit created more water main leaks than normal, resulting in increased O&amp;M charges.</p>		
<p><b>Section 2: Increase 2004 allocation in the CIP</b></p>		
<b>2.1 Seattle Public Utilities</b>	Water Fund (43000) – Control & Data Acquisition Upgrade project (C195008)	(((\$1,843,000)) <u>3,543,000</u>
<p>This item transfers \$1.7 million in 2004 CIP allocation (C196012) to the Control &amp; Data Acquisition Upgrade project (C195008) from the Reservoir Covering – Lincoln project. Both of these projects are in the Water Quality Budget Control Level and have a net impact of \$0 for the BCL and the Water Fund.</p>		
<p>Per Ordinance 121697, which was approved in December, 2004, SPU transferred \$3 million from the Control &amp; Data Acquisition Upgrade project and into the Cedar Treatment Facility project. At the time, SPU had estimated that the expenditures for the Control &amp; Data Acquisition Upgrade project would be low enough to afford transferring the \$3 million out of that project budget. However, SPU soon thereafter determined that a large encumbrance had not been displayed in the Summit reports. The encumbrance problem was not resolved until very late in the year, and when it was, it became evident that expenditures were higher than previously estimated. The result is that expenditures for the Control &amp; Data Acquisition Upgrade project were more than \$1 million higher than the project's newly adopted CIP allocation for 2004 per Ordinance 121697. A transfer of \$1.7 million is required to bring the project costs within \$1 million of its CIP allocation for the year.</p>		



<b>Section 3: Remove restrictions imposed by 2004 Budget Provisos</b>	
<b>3.1 Finance General</b> Limits amount of spending on State Auditor	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.2 Finance General</b> Limits amount of spending on Dues/Membership	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.3 Finance General</b> Limits amount of spending on Police Intelligence	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.4 Finance General</b> Limits amount of spending on Sound Transit	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.5 Finance General</b> Limits amount of spending on Library for all	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.6 Finance General</b> Limits amount of spending on Get Engaged	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	
<b>3.7 Finance General</b> Limits amount of spending on Healthcare Reserve	General Subfund (00100)
Lift the restrictions imposed by this proviso, allowing the savings in these items to cover over-expenditures in other items within this Budget Control Level.	





# City of Seattle

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Gregory J. Nickels, Mayor

## Office of the Mayor

March 29, 2005

Honorable Jan Drago  
President  
Seattle City Council  
City Hall, 2<sup>nd</sup> Floor

Dear Council President Drago:

End-of-year processing for fiscal year 2004 has revealed the need for various adjustments to the 2004 Adopted Budget. The attached proposed ordinance would implement these adjustments by taking three discrete actions, two of which amend the 2004 Adopted Budget and one that amends the 2004 Adopted Capital Improvement Program (CIP).

The legislation increases appropriation authority for three funds, namely the Industrial Insurance Subfund, Housing and Community Development Revenue Sharing Fund, and the Water Fund. Increases are necessary to provide sufficient appropriation authority to support the activities of the funds in 2004.

This legislation lifts the restrictions imposed by provisos approved by Council as part of the 2004 Adopted Budget. Lifting these restrictions allows the City to reconcile unanticipated costs with savings for various items within the Reserves Budget Control Level of Finance General.

Finally, the legislation amends the 2004 Adopted Capital Improvement Program (CIP) to increase the allocation for the Supervisory Control and Data Acquisition Upgrades (Water) project.

Thank you for considering this legislation. Should you have questions, please contact Glen Lee at 684-8079.

Sincerely,

A handwritten signature in black ink, appearing to read "Greg Nickels", written over a large, light-colored scribble or watermark.

GREG NICKELS  
Mayor of Seattle

cc: Honorable Members of the Seattle City Council



STATE OF WASHINGTON - KING COUNTY

--SS.

186070  
CITY OF SEATTLE, CLERKS OFFICE

No. ORDINANCES IN FULL

Affidavit of Publication

The undersigned, on oath states that he is an authorized representative of The Daily Journal of Commerce, a daily newspaper, which newspaper is a legal newspaper of general circulation and it is now and has been for more than six months prior to the date of publication hereinafter referred to, published in the English language continuously as a daily newspaper in Seattle, King County, Washington, and it is now and during all of said time was printed in an office maintained at the aforesaid place of publication of this newspaper. The Daily Journal of Commerce was on the 12<sup>th</sup> day of June, 1941, approved as a legal newspaper by the Superior Court of King County.

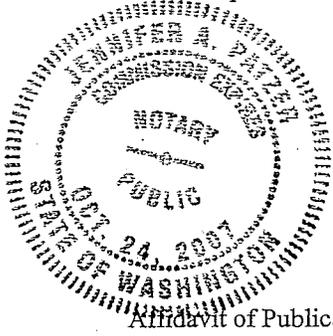
The notice in the exact form annexed, was published in regular issues of The Daily Journal of Commerce, which was regularly distributed to its subscribers during the below stated period. The annexed notice, a

CT:121802-121811

was published on

05/25/05

The amount of the fee charged for the foregoing publication is the sum of \$ 145.75, which amount has been paid in full.



*[Handwritten signature]*

Subscribed and sworn to before me on

05/25/05

*[Handwritten signature]*

Notary public for the State of Washington,  
residing in Seattle

Affidavit of Publication

# State of Washington, King County

## City of Seattle

### TITLE-ONLY PUBLICATION

The full text of the following ordinances, passed by the City Council on May 9, 2005, and published here by title only, will be mailed upon request, or can be accessed electronically at <http://clerk.ci.seattle.wa.us>. For further information, contact the Seattle City Clerk at 684-8344.

#### ORDINANCE NO. 121811

AN ORDINANCE appropriating money to pay certain audited claims and ordering the payment thereof.

#### ORDINANCE NO. 121807

AN ORDINANCE relating to the City's capital budget; abandoning certain unspent appropriations and increasing Capital Improvement Program allocations for purposes of Ordinance 121660.

#### ORDINANCE NO. 121809

AN ORDINANCE authorizing the Department of Executive Administration to close certain funds and subfunds; authorizing the transfer of all unexpended and unencumbered balances and other assets and liabilities of those funds and subfunds; and abandoning appropriation authority from those funds and subfunds.

#### ORDINANCE NO. 121796

AN ORDINANCE amending the 2005 Adopted Budget, including the 2005-2010 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; making cash transfers between various City funds and subfunds; removing a 2005 budget proviso; and creating positions; all by a three-fourths vote of the City Council.

#### ORDINANCE NO. 121805

AN ORDINANCE amending the 2004 Adopted Budget, including the 2004-2009 Capital Improvement Program (CIP); changing appropriations to various departments and from various funds in the Budget; amending the total dollar amount allocated for a project in 2004 in the 2004-2009 CIP; and lifting various budget provisos, all by a three-fourths vote of the City Council.

#### ORDINANCE NO. 121810

AN ORDINANCE authorizing, in 2005, acceptance of funding from non-General Subfund sources; authorizing the Department of Parks and Recreation, the Department of Human Services, the Office of Housing, the Office of Sustainability and Environment, Seattle City Light, the Seattle Department of Transportation, the Seattle Fire Department, the Seattle Municipal Court, the Seattle Police Department, and the Department of Information Technology to accept specified grants and private funding.

#### ORDINANCE NO. 121808

AN ORDINANCE relating to the 2005 Budget; carrying forward certain unexpended appropriations from the 2004 budget of various departments and funds for both capital and non-capital purposes; all by a three-fourths vote of the City Council.

#### ORDINANCE NO. 121806

AN ORDINANCE relating to the Seattle Center Department; authorizing execution of an agreement with the Seattle International Children's Festival for the presentation of annual festivals at Seattle Center.

#### ORDINANCE NO. 121804

AN ORDINANCE relating to City real property; transferring jurisdiction of certain property in Block 46, Gilman Park, commonly referred to as 3701 22nd Avenue NW (PMA

#### ORDINANCE NO. 121804

AN ORDINANCE relating to City real property; transferring jurisdiction of certain property in Block 46, Gilman Park, commonly referred to as 3701 22nd Avenue NW (PMA

4278 Ballard Safeway), from the Fleets and Facilities Department to the Department of Parks and Recreation for park and recreation purposes.

#### ORDINANCE NO. 121803

AN ORDINANCE relating to housing for low-income households; adopting the 2005-2006 Administrative and Financial Plan for 2002 Housing Levy Programs; amending the Affordable Housing Financing Plan adopted by Ordinance 120823; adopting certain policies for use of funds from the 1986 Housing Levy and 1995 Housing Levy; and ratifying and confirming prior acts.

#### ORDINANCE NO. 121802

AN ORDINANCE relating to the sale of City real property for multifamily development; authorizing the sale of Dearborn-Hiawatha Parcel 2c in the I-90 Redevelopment Project area, at Hiawatha Place South and South Charles Street, to Artspace Projects, Inc. or its designee; authorizing the Director of the Office of Housing to execute, deliver, and administer the contract for sale of land, deed and related documents; and ratifying and confirming prior acts.

Publication ordered by JUDITH PIPPIN,  
City Clerk

Date of publication in the Seattle Daily  
Journal of Commerce, May 25, 2005.

6/25(186070)