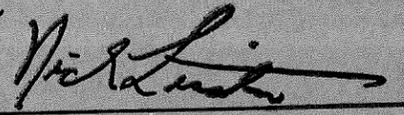


Ordinance No. 123692

Council Bill No. 117234

The City of Seattle - Legislative Department

Council Bill/Ordinance sponsored by: _____



Councilmember

AN ORDINANCE relating to funding for housing and community development programs; authorizing acceptance of grant funds from the United States Department of Housing and Urban Development for programs included in the City's Consolidated Plan for Housing and Community Development; decreasing appropriations in the 2011 Adopted Budget of funds available for activities under the Community Development Block Grant ("CDBG") Program, the Housing Opportunities for Persons with AIDS program, the Emergency Shelter Grant program and the HOME program; amending the 2011 Annual Allocation Plan component of the 2011 Update to the Consolidated Plan; reallocating unexpended funds from prior years; amending the housing policies section of the Consolidated Plan; authorizing a change to the schedule of CDBG payments in Section 4.2.3 of the Substitute Community Development Fund Agreement Executed By Central Puget Sound Regional Transit Authority and the City Of Seattle To Establish, Oversee, And Fund The City Of Seattle's Portion Of The Transit-Oriented Community Development Fund, made pursuant to Ordinance 121763; and ratifying and confirming prior acts.

Committee Action:

pass N, SC, MO, JG 8/10/11 (H)
hold until 9/6/11

9-6-11 Passed 9-0 Full Council

CF No. _____

Date Introduced: <u>Aug. 8, 2011</u>		
Date 1st Referred: <u>Aug. 8, 2011</u>	To: (C) Housing, Human Services, Health, and Culture	
Date Re - Referred:	To: (committee)	
Date Re - Referred:	To: (committee)	
Date of Final Passage: <u>9-6-11</u>	Full Council Vote: <u>9-0</u>	
Date Presented to Mayor: <u>9-7-11</u>	Date Approved: <u>Sept. 12, 2011</u>	
Date Returned to City Clerk: <u>Sept. 13, 2011</u>	Date Published:	T.O. <input checked="" type="checkbox"/> F.T. <input type="checkbox"/>
Date Vetoed by Mayor:	Date Veto Published:	
Date Passed Over Veto:	Veto Sustained:	

This file is complete and ready for presentation to Full Council. Committee: _____ (initial/date)

Law Department

Law Dept. Review

OMP Review

City Clerk Review

Electronic Copy Loaded

Indexed

ORDINANCE 123692

1
2 AN ORDINANCE relating to funding for housing and community development programs;
3 authorizing acceptance of grant funds from the United States Department of Housing and
4 Urban Development for programs included in the City's Consolidated Plan for Housing
5 and Community Development; decreasing appropriations in the 2011 Adopted Budget of
6 funds available for activities under the Community Development Block Grant ("CDBG")
7 Program, the Housing Opportunities for Persons with AIDS program, the Emergency
8 Shelter Grant program and the HOME program; amending the 2011 Annual Allocation
9 Plan component of the 2011 Update to the Consolidated Plan; reallocating unexpended
10 funds from prior years; amending the housing policies section of the Consolidated Plan;
11 authorizing a change to the schedule of CDBG payments in Section 4.2.3 of the
12 Substitute Community Development Fund Agreement Executed By Central Puget Sound
13 Regional Transit Authority and the City Of Seattle To Establish, Oversee, And Fund The
14 City Of Seattle's Portion Of The Transit-Oriented Community Development Fund, made
15 pursuant to Ordinance 121763; and ratifying and confirming prior acts.

16 WHEREAS, Ordinance 123438, passed November 8, 2010, adopted The City of Seattle's 2011
17 Update to the 2009 - 2012 Consolidated Plan for Housing and Community Development
18 ("2011 Update"), including the 2011 Annual Allocation Plan, authorized the submission
19 of the 2011 Update to the United States Department of Housing and Urban Development
20 ("HUD"), and thereby applied for funds available from HUD for community
21 development, human services, economic development, and housing under four separate
22 entitlement grants: the Community Development Block Grant ("CDBG"), the HOME
23 program, Housing Opportunities for Persons With AIDS ("HOPWA"), and the
24 Emergency Shelter Grant ("ESG") Program; and

25 WHEREAS, Ordinance 123442, passed November 22, 2010, adopted the City Budget for fiscal
26 year 2011 and included appropriations in several City departments based on estimates of
27 the grant awards for the four HUD fund sources listed above; and

28 WHEREAS, the grant awards that have been received from HUD for the CDBG, HOME,
HOPWA and ESG programs differ from and are less than the amounts anticipated, and
authorization levels for these grants made in the 2011 Budget must be adjusted; and

WHEREAS, anticipated program income and unspent funds from prior years' projects have
yielded more funds than estimated in the 2011 Update to the Consolidated Plan; and

WHEREAS, the housing affordability policies governing the use of HOME and CDBG funds
must be amended to more efficiently use the funds; and



1 WHEREAS, the 2011 Annual Allocation Plan must be revised to reflect actual 2011 funding and
revised allocations; and

2 WHEREAS, Ordinance 121763, passed on March 28, 2005, approved a Substitute Funding
3 Agreement between the City and the Central Puget Sound Regional Transit Authority
4 (“Substitute Agreement”) to oversee and fund Seattle’s commitment to provide \$42.8
5 million to the Transit-Oriented Community Development Fund (“Fund”), of which \$35
million is to be from CDBG funds; and

6 WHEREAS, the City desires to adjust the CDBG Payment Schedule in Section 4.2.3 of the
7 Substitute Agreement to decrease annual funding commitments in response to decreased
CDBG funding; and

8 WHEREAS, the City desires to meet its commitment to provide \$35 million in CDBG funds to
9 the Fund by extending payments through 2013;

10 NOW, THEREFORE,

11
12 **BE IT ORDAINED BY THE CITY OF SEATTLE AS FOLLOWS:**

13
14 Section 1. The Mayor or his designee is authorized to execute, deliver and perform for
15 and on behalf of The City of Seattle such agreements as are reasonably necessary to accept
16 financial assistance from the United States Department of Housing and Urban Development
17 (“HUD”) for the following grant programs up to the maximum amounts listed below:

18		
19	Community Development Block Grant (“CDBG”)	\$10,729,471
20	HOME	\$4,065,901
21		
22	Housing Opportunities for Persons with AIDS (“HOPWA”)	\$1,809,798
23		
24	Emergency Shelter Grant (“ESG”)	\$529,053
25		
26		
27		
28		



CDBG funds, when received, shall be deposited into the CDBG Main Fund (17810).
HOME funds, when received, shall be deposited into the HOME Subfund of the Low-Income
Housing Fund (16490). HOPWA and ESG funds, when received, shall be deposited into the
Human Services Operating Fund (16200). The Mayor or his designee is further authorized to
execute and deliver such other documents relating to the agreements as may be required.

Section 2. The appropriations for the following items in the 2011 Budget are decreased
from the funds shown, as follows:

Item	Fund Name and Number	Department	Budget Control Level	Amount
2.1	CDBG Main Fund (17810)	Human Services Department	CDBG - Human Services Department (6HSD10)	(\$844,474)
2.2	CDBG Main Fund (17810)	Office of Economic Development	CDBG - Office of Economic Development (6XD10)	(\$1,000,000)
2.3	CDBG Main Fund (17810)	Executive	CDBG - Office of Housing (6XZ10)	(\$236,774)
2.4	Low Income Housing - HOME Programs (16490)	Executive	Low-Income Housing Fund 16400 (XZR1)	(\$286,952)
2.5	Office of Housing Operating Fund (16600)	Executive	Office of Housing Operating Fund 16600 (XZ600)	(\$31,883)
2.6	Human Services Operating Fund (16200)	Human Services Department	Emergency & Transitional Services (H30ET)	(\$11,941)
	Total			(\$2,412,024)

Section 3. The 2011 Annual Allocation Plan component of the 2009 – 2012
Consolidated Plan for Housing and Community Development, as adopted by Ordinance 123438



1 as Section 4 of the 2011 Update, is amended as reflected in Attachment 1 to this ordinance. The
2 Director of the Human Services Department is authorized to make any conforming changes that
3 may be necessary to other portions of the Consolidated Plan to reflect the changes adopted in this
4 Section. The allocations set forth in Attachment 1 outline the City's intended expenditures for
5 the funds and do not constitute appropriations or modify the amounts of any existing
6 appropriations, nor are they to be considered as final funding decisions. The authority of the
7 respective City departments and offices to implement the activities set forth in the 2011 Annual
8 Allocation Plan, as amended, is subject to sufficient appropriations in the 2011 Adopted Budget,
9 as amended by this ordinance, or in any separate ordinance. Implementation of any specific
10 project or program is also subject to a final determination by the appropriate office or department
11 after completion of any necessary review under environmental and related laws. The adoption of
12 Attachment 1 is not intended to confer any legal rights or entitlements on any persons, groups or
13 entities.
14
15

16
17 Section 4. The Mayor or his designee is authorized to amend Section 4.2.3 of the
18 Substitute Community Development Fund Agreement Executed By Central Puget Sound
19 Regional Transit Authority and the City Of Seattle To Establish, Oversee, And Fund The City Of
20 Seattle's Portion Of The Transit-Oriented Community Development Fund, made pursuant to
21 Ordinance 121763, as follows:
22

Year	City Payment
2003	(\$1,900,000) \$1,912,500
2004	\$2,400,000
2005	(\$2,500,000) \$2,400,000
2006	\$2,500,000



2007	\$4,350,000
2008	\$4,330,000
2009	\$4,330,000
2010	\$4,230,000
2011	(\$4,230,000) \$3,230,000
2012	(\$4,230,000) \$3,317,500
2013	\$2,000,000
Total	\$35,000,000

Section 5. The introduction and the subsection entitled "Affordability Policies for Federal HOME and CDBG Funds" in Section 7.1.3 of the Consolidated Plan, as previously amended, are amended as reflected in Attachment 2 to this ordinance.

Section 6. Execution of the agreements authorized in Section 1 hereof, and other acts pursuant to the authority and prior to the effective date of this ordinance, are hereby ratified and confirmed.



1 Section 7. This ordinance shall take effect and be in force 30 days from and after its
2 approval by the Mayor, but if not approved and returned by the Mayor within ten days after
3 presentation, it shall take effect as provided by Municipal Code Section 1.04.020.

4
5 Passed by the City Council the 6th day of September, 2011, and
6 signed by me in open session in authentication of its passage this 6th day of
7 September, 2011.

8
9 

10 President _____ of the City Council

11
12 Approved by me this 12th day of September, 2011.

13
14 

15 Michael McGinn, Mayor

16 Filed by me this 13th day of September, 2011.

17
18 

19 City Clerk

20
21 (Seal)

22
23 Attachment 1: Amendments to City of Seattle 2011 Annual Allocation Plan

24 Attachment 2: Amendments to Housing Policies



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Section 4 – 2011 Annual Allocation Plan

Section 4 – 2011 Annual Allocation Plan

City of Seattle 2011 Revised Annual Allocation Plan rev. August 3, 2011

The 2011 Annual Allocation Plan is the proposed one-year spending plan for Consolidated Plan funds for the City’s 2011 fiscal year. These allocations are aligned with the strategies and objectives as described in the 2009 – 2012 Consolidated Plan, as amended. This revision aligns our proposed allocations, adopted in November 2010, with the actual amount of funds available from US HUD and program income.

Specifically regarding the CDBG program, the allocation of \$11,958,852 is composed of the following revenues: direct allocation from HUD of \$10,729,471; \$400,000 in program income accumulated from prior years to support the allocation of funds for the Home Repair Program; \$110,000 in current year program income from the repayment of affordable rental housing loans, to be used to support the allocation of funds for Rental Housing Preservation & Production; \$300,000 in current year program income from repayments of homebuyer loans, to be used to support the allocation of funds for Homebuyer Programs; and amounts required by HUD to be paid from other sources to the extent available (not reflected in the table below), including \$300,000 in current year program income from HomeWise Program loan repayments to be used as general revenue for CDBG activities and \$119,381 in unexpended prior years’ funds carried forward to support 2011 activities.

NOTE: The contents of this Plan are not intended to confer any legal rights or entitlements on any persons, groups, or entities, including those named as intended recipients of funds or as program beneficiaries. The terms of this Annual Allocation Plan are subject to amendment and to the effect of applicable laws, regulations and ordinances. Statements of numerical goals or outcomes are for the purpose of measuring the success of programs and policies and do not impose a legal obligation on the City to achieve the intended results. Actual funding of particular programs and projects identified in this Plan is subject to completion of various further actions, some of which involve discretionary determinations by the City or others. These include HUD approval of this Plan; appropriations by the United States Congress and the City Council; reviews and determinations under environmental and related laws; and results of bidding and contracting processes.

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
		1: Office of Housing						
		11: HomeWise, Weatherization, Home Repair and Homebuyer Programs						
		111: Rehabilitation Lending and Investment						



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
111 010	City of Seattle OH	<p>Housing Technical Assistance - Home Repair and Homebuyer Staffing (CDBG) (Citywide) Support staff and related costs of managing homebuyer assistance programs and homeowner rehabilitation projects, managing rehabilitation, energy conservation, paying contract costs for compliance with lead-based paint, complying with Section 106 Historic Preservation regulations, and processing loans</p> <p><i>Performance indicator:</i> Home repair indicator is shown below under Home Repair Program (CDBG PI) and homebuyer assistance indicator is shown below under Homebuyer Programs (HOME and HOME PI) <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011. <i>HUD Info:</i> 14A/202/LMC/LocGov</p>	\$361,279					\$361,279
111 020	City of Seattle OH	<p>Home Repair Program (CDBG PI) (Citywide) Accumulated prior years' CDBG program income of \$400,000 will be used to provide home rehabilitation loans for the purpose of improving the health, safety, and energy efficiency of housing for low-income households.</p> <p><i>Performance indicator:</i> 2006 and prior years' CDBG program income, plus 1995 Housing Levy and bond program income, totaling an estimated \$600,000, are expected to fund 30 home repair loans. <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011 <i>HUD Info:</i> 14A/202/LMC/LocGov</p>	PI \$400,000					\$400,000
		111: Rehabilitation Lending and Investment Subtotal	\$761,279	\$0	\$0	\$0		\$761,279
		112: Homebuyer Assistance						
112 010	City of Seattle OH	<p>Homebuyer Programs (CDBG and CDBG PI) (Citywide) CDBG allocation of \$92,712 and CDBG program income estimated at \$300,000 will be used for eligible low-income homebuyer activities.</p> <p><i>Performance indicator:</i> Shown below under Homebuyer Programs (HOME and HOME PI) <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011 <i>HUD Info:</i> 13/201(n)/LMH/LocGov</p>	\$92,712 PI \$300,000					\$392,712



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
112 030	City of Seattle OH	<p>Homebuyer Programs (HOME and HOME PI) (Citywide) HOME allocation of ((\$994,239)) \$921,943 and HOME program income estimated at \$500,000 will be used for eligible low-income homebuyer activities. Up to 10% of program income may be used for program delivery. A \$1 to \$1 swap of allocation funds for program income may occur between HOME eligible programs in order to meet HUD grant requirements that jurisdictions spend program income before drawing allocation funds.</p> <p><i>Performance indicator: 2011 CDBG & HOME funding, plus Housing Levy funding, totaling an estimated ((\$2.8)) \$2.7 million are expected to help ((48)) 46 households purchase homes. Start date: 1/1/2011 – Completion date: 12/31/2011. HUD Info: 05R</i></p>				((\$994,239)) <u>\$921,943</u> PI \$500,000		((\$1,494,239)) <u>\$1,421,943</u>
		112: Homebuyer Assistance Subtotal	\$392,712	\$0	\$0	((\$1,494,239)) <u>\$1,421,943</u>		((\$1,886,951)) <u>\$1,814,655</u>
		113: Minor Home Repair						
113 010	Senior Services of Seattle & King County	<p>Minor Home Repair (CDBG) (Citywide) CDBG funds will be used by Senior Services to inspect and provide minor repairs (e.g. plumbing, electrical work, carpentry) for homes occupied by low-income homeowners primarily (but not exclusively) those 60 years of age and older.</p> <p><i>Performance indicator: 650 housing units repaired Start date: 1/1/2011 – Completion date: 12/31/2011. HUD Info: 14A/202/LMH/SubPriv</i></p>	\$449,917					\$449,917
		113: Minor Home Repair Subtotal	\$449,917	\$0	\$0	\$0		\$449,917
		114: Homebuyer Education and Counseling						



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
114 030	tbd	<p>Low-Income Housing Development Services (CDBG) (N/A) CDBG funds will be awarded to one or more organization(s) that provide education and counseling for first-time low-income homebuyers.</p> <p><i>Performance indicator: 17 homebuyer workshops held; 220 households participate in counseling</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011.</i> <i>HUD Info: 13/201(n)/LMH/SubPriv</i></p>	\$216,989					\$216,989
		114: Homebuyer Education and Counseling Subtotal	\$216,989	\$0	\$0	\$0		\$216,989
		11: HomeWise, Weatherization, Home Repair and Homebuyer Programs((Homeownership and Homebuyer Programs)) Subtotal	\$1,820,897	\$0	\$0	(\$1,494,239) \$1,421,943		(\$3,315,136) 3,242,840
		12: Multifamily Production and Preservation						
		121: Multifamily Lending and Investment						
121 010	City of Seattle OH	<p>Multi-Family Housing Staffing (CDBG) (Citywide) Support staff and related costs of funding acquisition and/or rehabilitation or new construction to provide multi-family rental housing for low-income households. This includes providing technical assistance and reviewing competitive NOFA applications, preparing legal documents, executing real estate closings, coordinating with other funders, processing loan draws, managing budgeting processes, and monitoring and documenting compliance with federal, state, and local requirements (e.g., relocation; construction and labor; lead-based paint regulations; Endangered Species Act, NEPA, and other environmental regulations; IDIS and other reporting, etc.)</p> <p><i>Performance indicator: NA</i> <i>Start date: 1/1/2011 – Completion date: 12/31/011.</i> <i>HUD Info: 21A/206/LMH/LocGov</i></p>	\$50,000					\$50,000



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
121 030	City of Seattle OH	<p>Rental Housing Preservation & Production (HOME and HOME PI) (Citywide) HOME allocation of (\$2,952,024) \$2,737,368 and HOME program income estimated at \$500,000 will provide gap financing for acquisition, rehabilitation and/or new construction to provide multifamily rental housing with long-term rent and income restrictions, for low-income households, consistent with HOME program regulations. Up to 10% of program income may be used for program delivery. A \$1 to \$1 swap of allocation funds for program income may occur between HOME eligible programs in order to meet HUD grant requirements that participating jurisdictions spend program income before allocation funds.</p> <p><i>Performance indicator:</i> 2011 HOME and CDBG allocation funding, plus Housing Levy funding, totaling an estimated (\$18.7) \$18.3 million, is expected to fund (234) 224 units. <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011. <i>HUD Info:</i> 14B/202/LMH/LocGov</p>				(\$2,952,024) \$2,737,368 PI \$500,000		(\$3,452,024) \$3,237,368
121 040	City of Seattle OH	<p>Rental Housing Preservation & Production (CDBG and CDBG PI) (Citywide) Provide gap financing for acquisition and/or rehabilitation or new construction for homeless housing and multifamily rental housing with long-term rent and income restrictions, for low-income and homeless households, consistent with CDBG Program regulations. Up to 10% of program income may be used for program delivery. <u>In addition to \$110,000 of FY2011 CDBG PI FY2010 PI increased from \$50,000 to \$110,000 for rental housing.</u></p> <p><i>Performance indicator:</i> Shown above under Rental Housing Preservation & Production ((Development)) (HOME and HOME PI) <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011. <i>HUD Info:</i> 14B/202/LMH/LocGov</p>	(\$1,194,622) \$944,622 (PI \$50,000) PI \$110,000					(\$1,244,622) \$1,054,622
		121: Multifamily Lending and Investment Subtotal	(\$1,294,622) \$1,104,622	\$0	\$0	(\$3,452,024) \$3,237,368		(\$4,746,646) \$4,341,990
		12: Multifamily Production and Preservation Subtotal	(\$1,294,622) \$1,104,622	\$0	\$0	(\$3,452,024) \$3,237,368		(\$4,746,646) \$4,341,990



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
		13: Program Development						
		133: Program Development						
133 010	City of Seattle OH	Program Development Staffing (CDBG) (Citywide) Staff and related costs of creating new housing strategies to ensure sufficient supply of affordable housing for households at a wide range of income levels, including lower-wage workers and homeless families and individuals; developing strategies for neighborhood revitalization without displacing vulnerable populations; identifying strategies to protect families from predatory lending; administering programs such as Multifamily Tax Exemption and TDR/Bonus; leveraging City housing funds; writing and updating the housing element of the Consolidated Plan; preparing reports required for regulatory compliance; and coordinating outreach to stakeholders on potential policy changes and new housing affordability strategies. <i>Performance indicator: NA</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011.</i> <i>HUD Info: 20/205/LMH/LocGov</i>	(((\$46,774)) \$0					(((\$46,774)) \$0
		133: Program Development Subtotal	(((\$46,774)) \$0	\$0	\$0	\$0		(((\$46,774)) \$0
		13: Program Development Subtotal	(((\$46,774)) \$0	\$0	\$0	\$0		(((\$46,774)) \$0
		14: Administration & Management						
		142: Management Support Services						
142 010	City of Seattle OH	HOME Administration (HOME) (700 5 th Avenue, 57 th Floor) Fund City costs of implementing the HOME program. <i>Performance indicator: N/A</i> <i>Start date: 1/1/2010 – Completion date: 12/31/2010.</i> <i>HUD Info: 21A</i>				(((\$438,473)) \$406,590		(((\$438,473)) \$406,590
		142: Management Support Services Subtotal	\$0	\$0	\$0	(((\$438,473)) \$406,590		(((\$438,473)) \$406,590
		14: Administration & Management Subtotal	\$0	\$0	\$0	(((\$438,473)) \$406,590		(((\$438,473)) \$406,590



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
		1: Office of Housing Subtotal	(((\$3,162,293)) \$2,925,519	\$0	\$0	(((\$5,384,736)) \$5,065,901		(((\$8,547,029)) \$7,991,420
		2: Human Services Department						
		21: Leadership & Management Services						
		212: Planning						
212 010	City of Seattle HSD	Human Services Planning (700 Fifth Avenue, #5800: Citywide) Staff will develop and evaluate City human service strategies, goals, policies, and programs for low- and moderate-income households, including those of people who are homeless or who have special needs. Staff will also be responsible for development of the Consolidated Plan and annual updates and other CDBG-related planning tasks. <i>Performance indicator: N/A. Start date: 1/1/2011 — Completion date: 12/31/2011. HUD Info: 20/205/LMC/LocGov</i>	\$119,410					\$119,410
		212: Planning Subtotal	\$119,410	\$0	\$0	\$0		\$119,410
		215: Grants & Budget Administration [H55]						
215 010	City of Seattle HSD	Block Grant Administration (Office: 700 Fifth Avenue, #5800) Provide administration and technical assistance to City departments and community-based organizations so they can implement CDBG and other HUD grant funds and programs in an efficient, accountable, and responsive manner. Included is (((\$234,885)) \$205,066 of HSD indirect costs. <i>Performance indicator: N/A. Start date: 1/1/2011— Completion date: 12/31/2011. HUD Info: 21A/206/LMC/LocGov</i>	(((\$1,112,461)) \$980,987					(((\$1,112,461)) \$980,987
		215: Grants & Budget Administration Subtotal	(((\$1,112,461)) \$980,987	\$0	\$0	\$0		(((\$1,112,461)) \$980,987



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
		21: Leadership & Management <i>((Corporate))</i>	<i>(((\$1,231,871))</i>	\$0	\$0	\$0		<i>(((\$1,231,871))</i>
		Services Subtotal	<u>\$1,100,397</u>					<u>\$1,100,397</u>
		23: Children, Youth, & Family Development						
		231: Youth Development						
232 010	YouthCare	YouthCare- The Shelter (2500 NE 54 th Street, Seattle)Provide emergency shelter and support for homeless youth. <i>Performance Indicator: 60 homeless youth provided with emergency shelter. Start date: 1/1/2011 — Completion date: 12/31/2011. HUD Info: 03T</i>		\$32,053				\$32,053
		231: Youth Development Subtotal		\$32,053	\$0	\$0		\$32,053
		23: Children, Youth, & Family Dev. Subtotal		\$32,053	\$0	\$0		\$32,053
		24: Community Services						
		242: Emergency & Transitional Services						
242 010	Catholic Community Services of Western WA	Lazarus Day Center Operations (416 2nd Ave, 98104) Provide hygiene and laundry facilities, light snacks or meals, and information to homeless men and women aged 50 and older. <i>Performance indicator: 1,600 persons who are homeless provided with assistance. Start date: 1/1/2011 — Completion date: 12/31/2011. HUD Info: 03T/201(e)/LMC/SubPriv</i>	<i>(((\$75,193))</i> <u>\$37,597</u>					<i>(((\$75,193))</i> <u>\$37,597</u>
242 030	Low Income Housing Institute	Urban Reststop Essential Services (1922 9 th Ave, 98121) Provide toilets, showers, washers, dryers, temporary storage, and waiting areas to homeless adults. <i>Performance indicator: 3,200 persons who are homeless provided with assistance. Start date: 1/1/2011 — Completion date: 12/31/2011. HUD Info: 03T/201(e)/LMC/SubPriv</i>		\$118,852				\$118,852



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
242 17	New Beginnings	New Beginnings Shelter For Battered Women (Restricted address) Provide shelter, crisis intervention, counseling, support and referral services to women and children who are victims of domestic violence. <i>Performance indicator: 200 persons at risk of homelessness provided with assistance.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011.</i> <i>HUD Info: 05G/201(e)/LMC/SubPriv</i>		\$15,668				\$15,668
242 120	YWCA of Seattle- King County	Angeline's Day and Hygiene Center (2024 3 rd Avenue 98121) Provide a day center for chronically homeless low-income women. Services include hygiene, laundry, and supportive services. <i>Performance indicator: 1,250 persons who are homeless provided with assistance.</i> <i>Start date: 1/1/2011— Completion date: 12/31/2011.</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	(\$460,222) \$230,111					(\$460,222) \$230,111
242 180	City of Seattle HSD	Emergency Shelter Grant Program Administration (Office: 700 5th Avenue Suite 5800) Emergency Shelter Grant Program Administration <i>Performance indicator: ((1-organization))NA.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011.</i> <i>HUD Info: 21A/206/LMC/LocGov</i>		(\$26,454) \$26,425				(\$26,454) \$26,425
242 210	Catholic Community Services of Western WA	Aloha Inn (1911 Aurora Avenue N, 98109) Transitional housing and support services for formerly homeless men and women. <i>Performance Indicator: 44 individuals will remain housed for 6 months</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	\$165,181					\$165,181



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
242 220	Catholic Community Services of Western WA	Noel House (2301 Second Avenue, 98121) Emergency shelter and transitional services for homeless women. <i>Performance Indicator: 48 individuals placed in permanent or long-term transitional housing</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	((<u>\$433,910</u>)) <u>\$283,910</u>					((<u>\$433,910</u>)) <u>\$283,910</u>
242 230	Catholic Community Services of Western WA	St. Martin de Porres (1561 Alaskan Way S, 98134) Emergency shelter and transitional services for homeless individuals <i>Performance indicator: 30 individuals placed in permanent or long-term transitional housing</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	((<u>\$299,217</u>)) <u>\$153,924</u>					((<u>\$299,217</u>)) <u>\$153,924</u>
242 240	Downtown Emergency Services Center	Main Shelter Program (517 3rd Ave, 98104) Provide emergency shelter and supportive transitional services for homeless adult persons. This activity will receive \$336,055 of 2011 ESG funds and also receive \$28,145 of unallocated 2010 ESG funds. <i>Performance indicator: 138 individuals placed in permanent or long-term transitional housing</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	\$805,924	\$336,055 & \$28,145				((<u>\$1,141,979</u>)) <u>\$1,170,124</u>
242 250	Family Services	Transitional Assistance Provide transitional assistance to move people from homelessness into housing. <i>Performance indicator: 125 households placed into shelter, 64 of those exit to permanent or long-term transitional housing</i> <i>Start date: 1/1/2011– Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	\$266,498					\$266,498



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
242 260	Solid Ground	<p>Broadview Emergency Shelter and Transitional Housing (restricted address) Provide temporary emergency shelter and transitional housing to homeless single women and single women with children. Services include shelter, crisis intervention, counseling, and supportive counseling <i>Emergency-\$232,115; Performance indicator: 53 families placed into shelter, 37 of those exit to permanent or long-term transitional housing</i> <i>Transitional-\$209,072; Performance indicator: 31 families placed into long-term transitional housing, 21 of those exit to permanent housing</i></p> <p><i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$441,187					\$441,187
242 270	Solid Ground	<p>Family Shelter (Citywide) Provide emergency shelter, counseling and extended stay shelter to homeless families, with support services to help transition out of homelessness</p> <p><i>Performance indicator: 56 families placed into enhanced shelter, 37 of those exit to stable housing</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$144,895					\$144,895
242 280	YWCA Seattle – King County	<p>Angeline’s Enhanced Shelter Program (2024 - 3rd Avenue 98121) Enhanced shelter and supportive services to help transition individuals out of homelessness</p> <p><i>Performance indicator: 21 individuals placed in permanent or long-term transitional housing</i> <i>Start date: 1/1/2011 – Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$96,616					\$96,616



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
242 290	YWCA Seattle – King County	Downtown Emergency Shelter (1118 5th Avenue, 98101) Provide emergency shelter and counseling services for homeless women and children in crisis. <i>Performance indicator:</i> 24 individuals or families secure permanent housing. <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011 <i>HUD Info:</i> 03T/201(e)/LMC/SubPriv	\$214,659					\$214,659
242 300	YWCA Seattle – King County	Seattle Emergency Housing (2820 E. Cherry, 98122) Provide emergency shelter for 2- and 1-parent homeless families; also provide supportive services. <i>Performance indicator:</i> 100 families placed into enhanced shelter, 80 families exit to permanent or long-term transitional housing <i>Start date:</i> 1/1/2011 – <i>Completion date:</i> 12/31/2011 <i>HUD Info:</i> 03T/201(e)/LMC/SubPriv	(\$448,200) \$298,200					(\$448,200) \$298,200
		242: Emergency & Transitional Services Subtotal	(\$3,851,702) \$3,138,702	(\$525,174) \$525,145	\$0	\$0		(\$4,376,876) \$3,663,847
		243: Tenant Stabilization						
243 020	Plymouth Housing Group	Housing Stability Program (Citywide) Eviction Prevention: Agency provides <i>in-house intervention</i> and supportive services to its tenants who are at high risk of eviction. <i>Performance Indicator:</i> 165 households provided with assistance. <i>Start Date:</i> 1/1/2011 – <i>Completion Date:</i> 12/31/2011 <i>HUD Info:</i> 05/201(e)/LMC/SubPriv	\$121,713					\$121,713
243 040	Compass Center	Housing Stability Program (Citywide) Eviction Prevention: provide intervention and supportive services to maintain the housing of extremely low income formerly homeless tenants who are subject to eviction action or other cause of imminent housing loss. <i>Performance Indicator:</i> 150 individuals provided with assistance. <i>Start Date:</i> 1/1/2011 – <i>Completion Date:</i> 12/31/2011 <i>HUD Info:</i> 05/201(e)/LMC/SubPriv	\$40,346					\$40,346



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
243 060	tbd	<p>HOPWA Request for Investments (RFI) An RFI process will be used to allocate the 2011 HOPWA funds. The RFI will occur in 2011 with contracts anticipated by early 2012.</p> <p><i>HUD Info: 31 HOPWA</i></p>			<p>(((\$1,821,710)) <u>\$1,809,798</u></p>			<p>(((\$1,821,710)) <u>\$1,809,798</u></p>
243 070	Catholic Community Services of Western WA	<p>Legal Action Center Homelessness Prevention (Citywide) Provide eviction intervention to assist low-income households who are at risk of becoming homeless</p> <p><i>Performance indicator: 140 households at-risk of homelessness receive legal assistance and other support services.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$135,500					\$135,500
243 080	International District Housing Alliance	<p>Housing Stabilization & Eviction Prevention Program (Citywide) Provide eviction intervention, supportive service, housing relocation and placement services to assist low-income households who are at risk of becoming homeless.</p> <p><i>Performance indicator: 100 households at-risk of homelessness receive rental/legal assistance and other support services.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$119,000					\$119,000
	The Salvation Army	<p>Homelessness Prevention & Housing Stabilization Program (Citywide) Provide eviction intervention, supportive service, housing relocation and placement services to assist low-income households who are at risk of becoming homeless.</p> <p><i>Performance indicator: 60 households at-risk of homelessness receive rental/legal assistance and other support services.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i></p>	\$210,000					\$210,000



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
	Solid Ground	Tenant Counseling & Housing Support Services Program (Citywide) Provide eviction intervention, supportive service, housing relocation and placement services to assist low-income households who are at risk of becoming homeless. <i>Performance indicator: 260 households at-risk of homelessness receive rental/legal assistance and other support services.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011</i> <i>HUD Info: 03T/201(e)/LMC/SubPriv</i>	\$164,000					\$164,000
		243: Tenant Stabilization Subtotal	\$790,559	\$0	(\$1,821,710)	\$0		(\$2,612,269)
					\$1,809,798			\$2,600,357
		24: Community Services Subtotal	(\$4,642,261)	(\$525,227)	(\$1,821,710)	\$0		(\$6,989,145)
			\$3,929,261	\$525,145	\$1,809,798			\$6,264,204
		2: Human Services Department Subtotal	(\$5,874,132)	(\$557,227)	(\$1,821,710)	\$0		(\$8,253,069)
			\$5,029,658	\$557,198	\$1,809,798			\$7,396,654
		4: Office of Economic Development						
		42: Neighborhood and Community Development						
		421: Community Development						
421 010	Impact Capital, et. al.	Community Development Neighborhood and Business District Revitalization - Projects - (Citywide) The City will fund nonprofit organizations in partnership with Impact Capital to revitalize targeted business districts serving low-income neighborhoods. (See also Planning: 20) <i>Performance indicators: Up to eight organizations assisted.</i> <i>Start date: 1/1/2011 — Completion date: 12/31/2011.</i> <i>HUD Info: 18B/203/LMA/CBDO</i>	(\$143,917) \$254,507					(\$143,917) \$254,507



ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
421 020		<p>Community Development –Neighborhood and Business District Revitalization – Planning and Technical Assistance - (Citywide) The City will fund nonprofit organizations in partnership with Impact Capital to revitalize targeted business districts serving low-income neighborhoods. Technical assistance to support the revitalization strategies will also be coordinated and provided by Impact Capital. (See also Program Delivery: 18B)</p> <p><i>Performance indicators: Up to eight organizations assisted. Start date: 1/1/2011 — Completion date: 12/31/2011. HUD Info: 20/205/LMA/CBDO</i></p>	(\$522,758) \$412,168					(\$522,758) \$412,168
421 045	Tbd	<p>Small Business (Citywide) Small businesses will receive technical assistance and business training to improve their chances of success. There will be a focus on micro-enterprises and businesses serving low-income communities. Contracted organization will assist with the development and capacity building of small businesses through entrepreneur training, counseling and by providing access to credit.</p> <p><i>Performance Indicator: 500 businesses assisted Start date: 1/1/ 2011- Completion date: 12/31/2011 HUD Info: 18c/201(o)/LMJ/Priv</i></p>	\$92,000					\$92,000



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
421 050	Rainier Valley CDF & City of Seattle	<p>Rainier Valley Community Development Fund (L/M) Provide loans and/or payments to promote business and real estate development and job creation in the Rainier Valley. Business development activities will encourage small business formation, strengthen existing businesses in the Rainier Valley and promote job creation. Real estate development activities will involve both new construction and the rehabilitation of existing building stock for the purpose of stimulating economic activity, increasing the inventory of commercial spaces for Rainier Valley businesses, and promoting affordable housing for Rainier Valley residents.</p> <p><i>Performance Indicator:</i> CDP: 5 loans approved Start date: 1/1/2011 – Completion date: 12/31/2011 HUD Info: 18A/204/LMJ or LMA/CBDO</p>	<p>(\$4,230,000) <u>\$3,230,000</u></p>					<p>(\$4,230,000) <u>\$3,230,000</u></p>
		421: Community Development Subtotal	<p>(\$4,988,675) <u>\$3,988,675</u></p>	\$0	\$0	\$0		<p>(\$4,988,675) <u>\$3,988,675</u></p>
		422: Community Development Loans						
422 010	National Development Council	<p>National Development Council Float Loan Origination (N/A) Handle marketing and outreach for the CDBG Float Loan and Section 108 Loan programs, and provide technical assistance to prospective borrowers</p> <p><i>Performance indicator:</i> 1 loan approved Start date: 1/1/2011 – Completion date: 12/31/2011 HUD Info: 18A/203/LMJ/Priv</p>	\$15,000					\$15,000
		422: Community Development Loans Subtotal	\$15,000					\$15,000
		42: Neighborhood and Community Development Subtotal	<p>(\$5,003,675) <u>\$4,003,675</u></p>					<p>(\$5,003,675) <u>\$4,003,675</u></p>
		4: Office of Economic Development Subtotal	<p>(\$5,003,675) <u>\$4,003,675</u></p>					<p>(\$5,003,675) <u>\$4,003,675</u></p>



**ATTACHMENT 1 to 2011 Substantial Amendment to the Consolidated Plan
Amendments to City of Seattle 2011 Annual Allocation Plan**

Alloc. Plan ID	Anticipated Provider	Activity	CDBG	ESG	HOPWA	HOME	NSP	2011 Total
		FUND TOTALS	((<u>\$14,040,100</u>) <u>\$11,958,852</u>)	((<u>\$557,227</u>) <u>\$557,198</u>)	((<u>\$1,821,710</u>) <u>\$1,809,798</u>)	((<u>\$5,384,736</u>) <u>\$5,065,901</u>)		((<u>\$21,803,773</u>) <u>\$19,391,749</u>)

Note: "HUD Info" refers to the following: IDIS Matrix Code / 24 CFR 570 section citation for eligibility (CDBG only) / national objective category (CDBG only) / type of recipient (CDBG only).



ATTACHMENT 2 to the 2011 Substantial Amendment to the Consolidated Plan
Amendments to Housing Policies

The introduction and the subsection entitled "Affordability Policies for Federal HOME and CDBG Funds" in Section 7.1.3, "Housing Policies," of the 2009-2012 Consolidated Plan, as previously amended, most recently by the 2011 Update to the 2009 – 2012 Consolidated Plan adopted by Ordinance 123438, are amended as follows:

These Consolidated Plan housing policies pertain to all capital funds administered by the Seattle Office of Housing (OH) unless otherwise noted. Policies for rental assistance funds administered by the Seattle Human Services Department (HSD) are also included. Please refer to the currently applicable City of Seattle Housing Levy Administrative & Financial Plan for additional policies governing the use of funds derived from current and prior housing levies, and in some cases governing other funds.

In this Housing Policies section of the Consolidated Plan only, the definitions for extremely low-income, very low-income, low-income, and median income in the Program Definitions section ((as adopted))-in the ((current))2009 Housing Levy Administrative and Financial Plan, adopted by Ordinance 123281, shall apply, except that for purposes of HOME funds, "low-income" shall not exceed the applicable HOME low-income limit for the Seattle-Bellevue Fair Market Rent Area, as established by the U.S. Department of Housing & Urban Development (HUD).

~~((—Extremely low income means incomes not exceeding the Section 8 Program 2010 30% of median limits published by HUD for the Seattle-Bellevue HMFA, adjusted for household size.~~

~~—Very low income means incomes not exceeding the Section 8 Program 2010 very low-income limits published by HUD for the Seattle-Bellevue HMFA, adjusted for household size.~~

~~—Low income means incomes not exceeding the Section 8 Program 2010 low-income limits published by HUD for the Seattle-Bellevue HMFA, adjusted for household size.~~

~~—Median income means annual family income for the Seattle-Bellevue, WA HUD Metro FMR Area, as published from time to time by the U.S. Department of Housing and Urban Development (HUD), with adjustments according to household size in a manner determined by the Director, which adjustments shall be based upon a method used by HUD to adjust income limits in subsidized housing, and which adjustments for purposes of determining affordability of rents or sale prices shall be based on the average size of household considered to correspond to the size of the housing unit (one (1) person for studio units and one and a half (1.5) persons per bedroom for other units.))~~



Affordability Policies for Federal HOME and CDBG funds

The policies described in this section apply only to HOME and CDBG funds appropriated for use in the 2011-2012 biennium:

- At least 50% of the combined total of CDBG and HOME rental program funds shall be used for units with affordable rents for extremely low-income households;
- Remaining CDBG and HOME rental program funds ~~((may))~~ must be used for units with affordable rents for extremely low-income households and/or other very low-income households.

For the purposes of the Housing Policies section of the Consolidated Plan “affordable rent” for low-income households means annual rent not exceeding 30% of the income limits for low-income households; “affordable rent” for very low-income households means an annual rent not exceeding 30% of the limits for very low-income households; and “affordable rent” for extremely low-income households means an annual rent not exceeding 30% of the limits for extremely low-income households. For the purposes of determining whether a unit bears affordable rent, the term “rent” includes the rent paid by the tenant plus an allowance for utilities paid by the tenant.

~~((Except as provide in the current 2009 Housing Levy Administrative & Financial Plan, program funds are to be used only for units that are occupied or will be initially occupied by eligible households at affordable rents for the respective income categories.))~~



FISCAL NOTE FOR NON-CAPITAL PROJECTS

Department:	Contact Person/Phone:	CBO Analyst/Phone:
Human Services Department	Michael Look / 5-1717	Amanda Allen / 4-8894

Legislation Title:

AN ORDINANCE relating to funding for housing and community development programs; authorizing acceptance of grant funds from the United States Department of Housing and Urban Development for programs included in the City's Consolidated Plan for Housing and Community Development; decreasing appropriations in the 2011 Adopted Budget of funds available for activities under the Community Development Block Grant ("CDBG") Program, the Housing Opportunities for Persons with AIDS program, the Emergency Shelter Grant program and the HOME program; amending the 2011 Annual Allocation Plan component of the 2011 Update to the Consolidated Plan; reallocating unexpended funds from prior years; amending the housing policies section of the Consolidated Plan; authorizing a change to the schedule of CDBG payments in Section 4.2.3 of the Substitute Community Development Fund Agreement Executed By Central Puget Sound Regional Transit Authority and the City Of Seattle To Establish, Oversee, And Fund The City Of Seattle's Portion Of The Transit-Oriented Community Development Fund, made pursuant to Ordinance 121763; and ratifying and confirming prior acts.

Summary of the Legislation:

This ordinance amends the 2011 Annual Allocation Plan component of the Consolidated Plan for Housing and Community Development ("2011 Plan") to 1) adjust estimated funding amounts in the 2011 Plan to match the actual amounts to be received from the United States Department of Housing and Urban Development ("HUD") and 2) note allocation changes negotiated with service providers. Companion budget legislation offsetting some of the revenue reductions identified in this ordinance will be introduced under separate cover.

The 2011 Plan, as amended by this legislation, allocates over \$17 million in federal funds and over \$2.2 million in related program income and recaptured funds to support projects and services primarily benefitting low- and moderate-income residents of Seattle.

Background:

Each year the City submits to HUD a plan for the use of funds from four federal programs: 1) Community Development Block Grant (CDBG); 2) HOME Investment Partnership; 3) Emergency Shelter Grant Program (ESG); and 4) Housing Opportunities for Persons with AIDS (HOPWA). This plan is submitted in November for expenditure commitments starting



in January of the following year and is based on estimates of revenues to be received. In the spring of every year, HUD announces the actual program entitlements for each city for that year. As Seattle's 2011 allocation falls more than \$2 million short of the spending plan the City submitted to HUD in November 2010, an amendment to the 2011 Plan is now necessary in order to align planned expenditures to actual revenues.

This amendment to the 2011 Plan also brings forward unanticipated balances from prior years that are now available for reprogramming and reflects an increase in anticipated program income for 2011. Expenditure proposals are made for these funds as well.

In addition, this amendment updates and improves the consistency of the housing policies section of the Consolidated Plan. It also amends the City's funding agreement with Sound Transit regarding the Rainier Valley Community Development Fund (RVCDF) to reflect an extension of the City's commitment period for CDBG funds. The overall amount of CDBG committed to the RVCDF remains the same; the time period for contributing the funds is extended by one year.

Attachment 1 to the proposed legislation is the revised 2011 Annual Allocation Plan, which is the vehicle the City uses to inform HUD and the public about the specific uses of the Consolidated Plan funds. Attachment 2 to the proposed legislation contains the housing policy revisions.

Please check one of the following:

This legislation does not have any financial implications.
(Stop here and delete the remainder of this document prior to saving and printing.)

This legislation has financial implications. (Please complete all relevant sections that follow.)

Appropriations:

Fund Name and Number	Department	Budget Control Level*	2011 Appropriation	2012 Anticipated Appropriation
CDBG Main Fund (17810)	Human Services Department	CDBG - Human Services Department (6HSD10)	(\$844,474)	
CDBG Main Fund (17810)	Office of Economic Development	CDBG - Office of Economic Development (6XD10)	(\$1,000,000)	
CDBG Main Fund (17810)	Executive	CDBG - Office of Housing (6XZ10)	(\$236,774)	
Low Income Housing - HOME Programs (16490)	Executive	Low-Income Housing Fund 16400 (XZR1)	(\$286,952)	
Office of Housing Operating Fund	Executive	Office of Housing Operating Fund	(\$31,883)	



(16600)		16600 (XZ600)		
Human Services Operating Fund (16200)	Human Services Department	Emergency & Transitional Services (H30ET)	(\$11,941)	
TOTAL			(\$2,412,024)	

*See budget book to obtain the appropriate Budget Control Level for your department.

Appropriations Notes:

The decrease in appropriations in the CDBG Main Fund is a combination of a decreased 2011 entitlement award from HUD and the authority to spend unanticipated increased 2011 program income and recaptured funds from the 2010 program year.

Anticipated Revenue/Reimbursement: Resulting from this Legislation:

Fund Name and Number	Department	Revenue Source	2011 Revenue	2012 Revenue
CDBG Main Fund (17810)	Community Development Block Grant	U.S. HUD / CDBG Program	(\$2,328,978)	
CDBG Main Fund (17810)	Community Development Block Grant	2010 Program Income & recapture exceeded estimates	\$194,148	
Low Income Housing – HOME Programs (16490)	Executive	U.S. HUD / HOME	(\$286,952)	
Office of Housing Operating Fund (16600)	Executive	Office of Housing Operating Fund 16600 (XZ600)	(\$31,883)	
Human Services Operating Fund (16200)	Human Services	U.S. HUD / ESG	(\$29)	
Human Services Operating Fund (16200)	Human Services	U.S. HUD / HOPWA	(\$11,912)	
TOTAL			(\$2,465,606)	

Revenue/Reimbursement Notes:

The (\$2,328,978) figure in the first row is the difference between the 2011 budgeted amount and the actual 2011 entitlement award from HUD.

The \$194,148 in the second row reflects an anticipated increase in program income for 2011 that is beyond the 2011 budgeted amount and unspent balances from prior years.

The 2011 Annual Allocation Plan (Attachment 1) incorporates a total of about \$2.2 million in program income and recaptured funds. This includes about \$1.2 million in CDBG program income and recaptured funds, \$1 million in HOME program income, and \$28,145 in unallocated



2010 ESG funds. The CDBG program income and recapture includes \$810,000 that is allocated to the home repair, homebuyer and rental housing programs administered by the Office of Housing (OH) and about \$420,000 that is used as general support for the City's overall CDBG spending plan and is required by HUD to be paid from other sources to the extent available. The HOME program income is allocated to OH's homebuyer and rental housing programs and the ESG funds are assigned to the Downtown Emergency Shelter's Main Shelter Program.

Total Regular Positions Created, Modified, or Abrogated through this Legislation, Including FTE Impact:

This table should only reflect the actual number of positions affected by this legislation. In the event that positions have been, or will be, created as a result of other legislation, please provide details in the Notes section below the table.

Position Title and Department	Position # for Existing Positions	Fund Name & #	PT/FT	2011 Positions	2011 FTE	2012 Positions*	2012 FTE*
TOTAL							

* 2012 positions and FTE are total 2012 position changes resulting from this legislation, not incremental changes. Therefore, under 2012, please be sure to include any continuing positions from 2011.

Position Notes:

Do positions sunset in the future?

(If yes, identify sunset date)

Spending/Cash Flow:

This table should be completed only in those cases where part or all of the funds authorized by this legislation will be spent in a different year than when they were appropriated (e.g., as in the case of certain grants and capital projects). Details surrounding spending that will occur in future years should be provided in the Notes section below the table.

Fund Name & #	Department	Budget Control Level*	2011 Expenditures	2012 Anticipated Expenditures
TOTAL				

* See budget book to obtain the appropriate Budget Control Level for your department.

Spending/Cash Flow Notes:

What is the financial cost of not implementing the legislation?

This ordinance authorizes the receipt of over \$17 million in federal funds for services to low- and moderate-income residents of Seattle. Failure to implement this legislation will result in the loss of numerous programs assisting Seattle residents.



Does this legislation affect any departments besides the originating department?

Yes, other departments are OH and the Office of Economic Development (OED). Both of these departments are recipients of CDBG funds and OH is the recipient of HOME funds. OH and OED oversee programs that are funded by the resources they receive from HUD and both departments participated in the development of this legislation.

What are the possible alternatives to the legislation that could achieve the same or similar objectives?

There is no other alternative for the City to undertake in order to access these funds. The substantial amendment is a HUD requirement for these funds.

Is the legislation subject to public hearing requirements?

Yes, a public hearing must be held in conjunction with a 30 day public comment period. The comment period began July 26, 2011 and will end August 25, 2011, prior to action by the full Council.

Other Issues: (Include long-term implications of the legislation.)

List attachments to the fiscal note below:





City of Seattle
Office of the Mayor

July 12, 2011

Honorable Richard Conlin
President
Seattle City Council
City Hall, 2nd Floor

Dear Council President Conlin:

I am pleased to transmit the attached proposed Council Bill authorizing the acceptance of \$17.1 million in funds from the U.S. Department of Housing and Urban Development (HUD) to fund vital programs and services for homeless and low- and moderate-income Seattle residents. These funds are:

Community Development Block Grant (CDBG)	\$10,729,471
HOME Investment Partnership	\$4,065,901
Housing Opportunities for Persons With AIDS (HOPWA)	\$1,809,798
Emergency Shelter Grant Program	\$529,053
Total Funds	\$17,134,223

These funds are governed by the 2011 Update to the 2009 – 2012 Consolidated Plan for Housing and Community Development, adopted by the Council in November of 2010. In 2011, this HUD funding is used to support homeless shelters and services, produce and preserve affordable housing, and provide for economic development in low- and moderate-income areas of the City. The original 2011 Update was based on an estimate of revenues as well as projections of program income and underexpended funds from prior years.

The proposed Council Bill makes adjustments to match our 2011 expenditure plan to the actual amount of funds to be received from HUD. Unfortunately, the adopted federal budget for 2011 significantly reduced our CDBG allocation by \$2.3 million from our 2010 allocation (which was the basis for our estimate). The HOME program experienced a \$318,000 reduction from the anticipated amount, while HOPWA and ESG received nominal decreases from the adopted budget.

Thank you for your consideration of this legislation. Staff will provide a briefing on how we addressed these revenue shortfalls. Should you have questions, please contact Michael Look, CDBG Administrator at 615-1717.

Sincerely,

Michael McGinn
Mayor of Seattle

cc: Honorable Members of the Seattle City Council

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STATE OF WASHINGTON – KING COUNTY

--SS.

276477
CITY OF SEATTLE, CLERKS OFFICE

No. TITLE ONLY

Affidavit of Publication

The undersigned, on oath states that he is an authorized representative of The Daily Journal of Commerce, a daily newspaper, which newspaper is a legal newspaper of general circulation and it is now and has been for more than six months prior to the date of publication hereinafter referred to, published in the English language continuously as a daily newspaper in Seattle, King County, Washington, and it is now and during all of said time was printed in an office maintained at the aforesaid place of publication of this newspaper. The Daily Journal of Commerce was on the 12th day of June, 1941, approved as a legal newspaper by the Superior Court of King County.

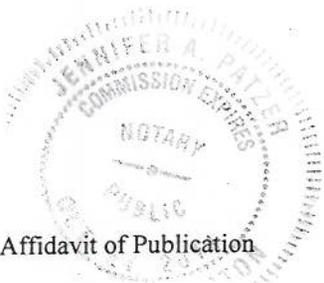
The notice in the exact form annexed, was published in regular issues of The Daily Journal of Commerce, which was regularly distributed to its subscribers during the below stated period. The annexed notice, a

CT:123686,88-123697

was published on

09/21/11

The amount of the fee charged for the foregoing publication is the sum of \$ 150.15, which amount has been paid in full.



Affidavit of Publication

M. J. [Signature]

Subscribed and sworn to before me on

09/21/11

[Signature]
Notary public for the State of Washington,
residing in Seattle

State of Washington, King County

City of Seattle

The full text of the following legislation, passed by the City Council on September 6, 2011, and published below by title only, will be mailed upon request, or can be accessed at <http://clerk.seattle.gov>. For information on upcoming meetings of the Seattle City Council, please visit <http://www.seattle.gov/council/calendar>. Contact: Office of the City Clerk at (206) 684-8344.

ORDINANCE NO. 123686

AN ORDINANCE relating to jail services; authorizing the Mayor to execute an Interlocal Agreement with King County for the Provision of Jail Services.

ORDINANCE NO. 123688

AN ORDINANCE relating to the Department of Parks and Recreation; accepting deeds for the donation of real property in Taylor Creek green space and for the donation of an addition to Fauntleroy Park, all for open space, park, and recreation purposes; and ratifying and confirming certain prior acts.

ORDINANCE NO. 123689

AN ORDINANCE declaring the former Fire Station 37 surplus to the City's needs, and authorizing the Director of the Department of Finance and Administrative Services to sell the site and building through an open competitive process.

ORDINANCE NO. 123690

AN ORDINANCE declaring the former Fire Station 38 surplus to the City's needs, and authorizing the Director of the Department of Finance and Administrative Services to sell the site and building through an open competitive process.

ORDINANCE NO. 123691

AN ORDINANCE relating to the City Light Department; authorizing the Superintendent to grant, under threat of condemnation, an easement in City Light's fee owned transmission line right-of-way in the Southeast Quarter of the Northwest Quarter, Section 1, Township 29 North, Range 5 East, W.M., in Snohomish County, Washington, to Northwest Pipeline GP, for the continued operation and maintenance of a gas pipeline and appurtenances of its Everett Delta Lateral Project, and ratifying and confirming certain prior acts.

ORDINANCE NO. 123692

AN ORDINANCE relating to funding for housing and community development programs; authorizing acceptance of grant funds from the United States Department of Housing and Urban Development for pro-

grams included in the City's Consolidated Plan for Housing and Community Development; decreasing appropriations in the 2011 Adopted Budget of funds available for activities under the Community Development Block Grant ("CDBG") Program, the Housing Opportunities for Persons with AIDS program, the Emergency Shelter Grant program and the HOME program; amending the 2011 Annual Allocation Plan component of the 2011 Update to the Consolidated Plan; reallocating unexpended funds from prior years; amending the housing policies section of the Consolidated Plan; authorizing a change to the schedule of CDBG payments in Section 4.2.3 of the Substitute Community Development Fund Agreement Executed By Central Puget Sound Regional Transit Authority and the City Of Seattle To Establish, Oversee, And Fund The City Of Seattle's Portion Of The Transit Oriented Community Development Fund, made pursuant to Ordinance 121763; and ratifying and confirming prior acts.

ORDINANCE NO. 123693

AN ORDINANCE relating to City employment commonly referred to as the Second Quarter 2011 Employment Ordinance; designating positions as exempt from Civil Service status and amending the Seattle Municipal Code (SMC) 4.13.010, Subsections 11 and 22; returning positions to Civil Service status; and ratifying and confirming prior acts; all by a 2/3 vote of the City Council.

ORDINANCE NO. 123694

AN ORDINANCE relating to the Pacific Place Garage; authorizing the loan of funds from the City's Consolidated (Residual) Cash Pool, or its participating funds, to the Downtown Parking Garage Fund; providing for repayment; and ratifying and confirming certain prior acts.

ORDINANCE NO. 123695

AN ORDINANCE appropriating money to pay certain audited claims and ordering the payment thereof.

ORDINANCE NO. 123696

AN ORDINANCE appropriating money to pay certain audited claims and ordering the payment thereof.

ORDINANCE NO. 123697

AN ORDINANCE appropriating money to pay certain audited claims and ordering the payment thereof.

Publication ordered by the City Clerk
Date of publication in the Seattle Daily
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9/21(276477)