

COMPROLLER FILE No. 298645

REPORT OF COMMITTEE

Report of Police Intelligence  
Auditor; filed pursuant to  
Ordinance 108333.

Honorable President:

Your \_\_\_\_\_

to which was referred the within \_\_\_\_\_  
would respectfully report that we have considered the same and respectfully recommend that

*12/10/91 Passed 1-0 PSE Committee  
For Jace Cornier 12/10/91*

Sponsored by:  
**NOLAND**

Filed October 9, 1991  
Howard Brooks, City Comptroller

By Margaret Carter  
Deputy

ACTION OF THE COUNCIL

Referred	NOV 18 1991	To	Public Safety and Environment
Referred		To	
Referred		To	
Reported	DEC 18 1991	Disposition	PLACED ON FILE
Re-referred		To	
Reported		Disposition	

*Joe Noland*

298645

REPORT OF COMMITTEE

Honorable President:

Your \_\_\_\_\_ Committee

to which was referred the within  
would respectfully report that we have considered the same and respectfully recommend that

12/10/91 Passed 1-0 PSE Committee (Smith, Chew Absent)  
For full Council 12/10/91

Sponsored by:  
**NOLAND**

1991  
Edward Brooks, City Comptroller  
*Cartier*  
Deputy

THE COUNCIL  
To Public Safety  
and Environment

To  
Disposition  
**PLACED ON FILE**

To  
Disposition

*Joe Noland*  
Chairman

Public Safety and Environment Committee Report  
December 10, 1991

Comptroller File No. 298645

This legislation files the Police Chief's audit report of files maintained exclusively for confidential information and obtained through the Department's membership in the Law Enforcement Intelligence Unit (LEIU) and the Western States Information Network (WSIN).

Background

Ordinance 108333 (the Intelligence Ordinance) requires the Chief of Police to audit select police files maintained exclusively for confidential information. Only information regarding organized criminal activity obtained by a designated department LEIU representative, or information regarding criminal narcotics activity obtained by a designated department WSIN representative, respectively, in other agencies, will be audited under this subsection by the Chief. All other information collected from outside agencies will be subject to audit by the appointed Auditor.

Subsection C names the Police Chief as auditor of these files. The attached report (4 pages) states the Chief finds no violations of the ordinance among these files, and reports a good faith effort by the officers of the Department to comply with the ordinance in all respects.

In auditing over 4900 LEIU files and over 130 WSIN files, the Chief found no violations.

Committee Chair Recommendation: File

For further information:  
Chief Patrick Fitzsimons 4-5577

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TIME AND DATE STAMP

**SPONSORSHIP**

THE ATTACHED DOCUMENT IS SPONSORED FOR FILING WITH THE CITY COUNCIL BY  
THE MEMBER(S) OF THE CITY COUNCIL WHOSE SIGNATURE(S) ARE SHOWN BELOW:

*Jane Roland*

_____	_____
_____	_____
_____	_____
_____	_____

\_\_\_\_\_

**FOR CITY COUNCIL PRESIDENT USE ONLY**

COMMITTEE(S) REFERRED TO: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
PRESIDENT'S SIGNATURE

C S 20 28

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Office of the Mayor  
City of Seattle

Norman B. Rice, Mayor



August 16, 1991

Norward J. Brooks  
Comptroller, City of Seattle  
1st Floor, Seattle Municipal Building  
Seattle, Washington 98104

NORWARD J. BROOKS  
Seattle City Comptroller  
RECEIVED

AUG 23 1991

	ADM	ACCT	CLK	SYS	AUD
ACT					
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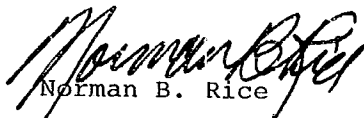
Dear Mr. Brooks:

Ordinance 108333 (the Investigations Ordinance) requires the Chief of Police to audit select police files maintained exclusively for confidential information. This information was obtained through the Police Department's membership in the Law Enforcement Intelligence Unit (LEIU) and the Western States Information Network (WSIN). Chief of Police Fitzsimons has submitted his latest audit report and indicates that he found no violations during the audit process.

Ordinance 108333 further requires that, "The Mayor shall certify the report as the final audit to the City Council, the City Attorney, and the City Comptroller for filing as a public record."

I am hereby forwarding to you and certifying as final the Audit Report prepared by the Chief of Police.

Sincerely,

  
Norman B. Rice

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CITY CLERK  
AUG 23 1991

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# Seattle Police Department

Patrick S. Fitzsimons, Chief of Police  
Norman B. Rice, Mayor



August 16, 1991

The Honorable Norman B. Rice  
Mayor of Seattle  
12th Floor, Seattle Municipal Building  
Seattle, Washington 98104

Dear Mayor Rice:

This report regarding my audit of certain Police Department files is sent to you pursuant to Seattle Municipal code Chapter 14.12 (the Investigations Ordinance), specifically Section 14.12.320C.

## AUDIT CONCLUSIONS

The audit was completed on August 7, 1991, and concerned the specific files described below. I found the Seattle Police Department to be in compliance with the pertinent sections of the Ordinance. An inspection of the files and records revealed, (a) no violations of the Ordinance; and (b) a good faith effort by the officers of this Department to comply with the Ordinance in all respects.

Specifically, I confirmed that the Department has complied with the mandates of Ordinance Section 14.12.320C which require that:

"...(a) All information designated for audit by the Chief shall meet all the provisions of this chapter controlling the 'collection,' receipt and/or transmittal of information.

(b) Only information regarding organized criminal activity obtained by a designated department LEIU representative, or information regarding criminal narcotics activity obtained by a designated department WSIN representative, from designated LEIU or WSIN representative, respectively, in other agencies, will be audited under this subsection by the Chief. All other information 'collected' from outside agencies will be subject to audit by the appointed 'Auditor.'

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CITY OF SEATTLE  
OCT 16 1991

The Honorable Norman B. Rice  
August 16, 1991  
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(c) Organized criminal activity is defined as conduct that relates to a continuing enterprise engaged in solely for the purpose of obtaining monetary gain wholly or in part through racketeering, vice, narcotics, gambling, fencing, or similar activity.

(d) Criminal narcotics activity is defined as conduct that relates to the illegal manufacture, transportation, distribution, or sale of narcotics or other controlled substances.

(e) All information designated for audit by the Chief shall be filed separate from other 'Department' files.

(f) Access to the information designated for audit by the Chief shall be limited to police officers specifically designated by the Chief of Police."

LAW ENFORCEMENT INTELLIGENCE UNIT (LEIU) FILES

The Department's Criminal Information Section maintains the LEIU files. The LEIU files are made up of three kinds of records:

1. ADMINISTRATIVE DOCUMENTS - Those LEIU/Department correspondence items which deal with membership, training, and other purely administrative topics. No criminal documents or references to specific individuals are retained in this category.
2. CRIMINAL CORRESPONDENCE - All other in/out correspondence which contains or references criminal matters are listed under this category including other agency correspondence.
3. LEIU CARDS - Those index cards dealing with the identification of criminal subjects and criminally oriented associates.

The Honorable Norman B. Rice  
August 16, 1991  
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(Since Last Audit)

<u>LEIU Department Types</u>	<u>Total Audited</u>	<u>Received From LEIU</u>	<u>Received From LEIU Representatives</u>
Administrative Documents	118	9	0
Criminal Correspondence	65	14	0
LEIU Identification Cards	4913	238	0

WESTERN STATES INFORMATION NETWORK (WSIN) FILES

The Department's Narcotics Section maintains the WSIN files.  
The WSIN files are made up of three kinds of records:

1. ADMINISTRATIVE DOCUMENTS - Those WSIN/Department correspondence items which deal with membership and other purely administrative topics. No criminal documents or references to specific individuals are retained in this category.
2. CRIMINAL CORRESPONDENCE - Made up primarily of subject cards and investigative record check notations on individuals in the narcotics business whose names have been submitted to the central WSIN clearinghouse. This also includes notations or cards on individuals whose names have generated inquiries to this Department from other departments.
3. INFORMATION BULLETINS - Training-type bulletins published by WSIN including various subject matters of interest to narcotics investigators.

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The Honorable Norman B. Rice  
August 16, 1991  
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(Since Last Audit)

<u>WSIN Document Types</u>	<u>Total Audited</u>	<u>Received From WSIN</u>	<u>Received From WSIN Representatives</u>
Administrative Documents	106	10	0
Criminal Correspondence	2	0	0
Information Bulletins	36	3	0

NOTE: The number of WSIN documents is relatively small as compared to the number of LEIU documents for two primary reasons:

1. WSIN does not send each member agency a full set of subject cards. They are maintained at WSIN headquarters and accessed by telephone; and
2. WSIN is a smaller, relatively new organization in the process of building its files and resource documents.

Copies of the bylaws for the Law Enforcement Intelligence Unit (LEIU) and the Western States Information Network (WSIN) were filed with previous audit reports. I certify that they remain current.

Very truly yours,

  
PATRICK S. FITZSIMONS  
Chief of Police

WFM: km

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