

COMPTROLLER FILE No. 298029

REPORT OF COMMITTEE

Report of Police Intelligence Auditor dated August 20, 1990, filed pursuant to Ordinance 108333.

Honorable President:

Your _____
to which was referred the within _____
would respectfully report that we have considered the same and respectfully recommen

Approved 1/25/91

Sponsored by:
NOLAND

Approved for filing January 25, 1991

Filed September 5, 1990
Norward Brooks, City Comptroller
By Margaret Carter
Deputy

ACTION OF THE COUNCIL

Referred	To <u>Public Safety and Environment</u>
<u>10-1-90</u>	To
Referred	To
Reported	Disposition
<u>FEB 4 1991</u>	<u>PLACED ON FILE</u>
Re-referred	To
Reported	Disposition

REPORT OF COMMITTEE

Honorable President:

Your _____ Committee

to which was referred the within _____
would respectfully report that we have considered the same and respectfully recommend that

Approved for filing January 25, 1991

by
ND

Comptroller

Deputy

Secretary
Department

FILE

Chairman

Office of the Mayor
City of Seattle

Norman B. Rice, Mayor

August 20, 1990

Norward J. Brooks
Comptroller, City of Seattle
1st Floor, Seattle Municipal Building
Seattle, Washington 98104

Dear Mr. *Norward*

Ordinance 108333 (the Intelligence Ordinance) requires the Chief of Police to audit select police files maintained exclusively for confidential information. This information was obtained through the Police Department's membership in the Law Enforcement Intelligence Unit (LEIU) and the Western States Information Network (WSIN). Chief of Police Fitzsimons has submitted his latest audit report and indicates that he found no violations during the audit process.


Ordinance 108333 further requires that, "The Mayor shall certify the report as the final audit to the City Council, the City Attorney, and the City Comptroller for filing as a public record."

I am hereby forwarding to you and certifying as final the Audit Report prepared by the Chief of Police.

Sincerely,

Norman B. Rice
Norman B. Rice

File w/ City Clerk



NORWARD J. BROOKS
Seattle City Comptroller
RECEIVED

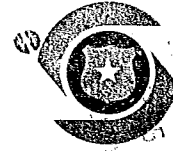
NR AUG 30 1990

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Seattle Police Department

Patrick S. Fitzsimons, Chief of Police
Norman B. Rice, Mayor



August 10, 1990

The Honorable Norman B. Rice
Mayor of Seattle
12th Floor, Seattle Municipal Building
Seattle, Washington 98104

Dear Mayor Rice:

This report regarding my audit of certain Police Department files is sent to you pursuant to Seattle Municipal code Chapter 14.12 (the Intelligence Ordinance), specifically Section 14.12.320C.

AUDIT CONCLUSIONS

The audit was completed on August 15, 1990, and concerned the specific files described below. I found the Seattle Police Department to be in compliance with the pertinent sections of the Ordinance. An inspection of the files and records revealed, (a) no violations of the Ordinance; and (b) a good faith effort by the officers of this Department to comply with the Ordinance in all respects.

Specifically, I confirmed that the Department has complied with the mandates of Ordinance Section 14.12.320C which require that:

"... (a) All information designated for audit by the Chief shall meet all the provisions of this chapter controlling the 'collection,' receipt and/or transmittal of information.

(b) Only information regarding organized criminal activity obtained by a designated department LEIU representative, or information regarding criminal narcotics activity obtained by a designated department WSIN representative, from designated LEIU or WSIN representative, respectively, in other agencies, will be audited under this subsection by the Chief. All other information 'collected' from outside agencies will be subject to audit by the appointed 'Auditor.'

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(c) Organized criminal activity is defined as conduct that relates to a continuing enterprise engaged in solely for the purpose of obtaining monetary gain wholly or in part through racketeering, vice, narcotics, gambling, fencing, or similar activity.

(d) Criminal narcotics activity is defined as conduct that relates to the illegal manufacture, transportation, distribution, or sale of narcotics or other controlled substances.

(e) All information designated for audit by the Chief shall be filed separate from other 'Department' files.

(f) Access to the information designated for audit by the Chief shall be limited to police officers specifically designated by the Chief of Police."

LAW ENFORCEMENT INTELLIGENCE UNIT (LEIU) FILES

The Department's Criminal Information Section maintains the LEIU files. The LEIU files are made up of three kinds of records:

1. ADMINISTRATIVE DOCUMENTS - Those LEIU/Department correspondence items which deal with membership, training, and other purely administrative topics. No criminal documents or references to specific individuals are retained in this category.
2. CRIMINAL CORRESPONDENCE - All other in/out correspondence which contains or references criminal matters are listed under this category including other agency correspondence.
3. LEIU CARDS - Those index cards dealing with the identification of criminal subjects and criminally oriented associates.

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AUG 21 1990
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(Since Last Audit)

<u>LEIU Department Types</u>	<u>Total Audited</u>	<u>Received From LEIU</u>	<u>Received From LEIU Representatives</u>
Administrative Documents	91	3	0
Criminal Correspondence	56	1	0
LEIU Identification Cards	4699	205	0

WESTERN STATES INFORMATION NETWORK (WSIN) FILES

The Department's Narcotics Section maintains the WSIN files. The WSIN files are made up of three kinds of records:

1. ADMINISTRATIVE DOCUMENTS - Those WSIN/Department correspondence items which deal with membership and other purely administrative topics. No criminal documents or references to specific individuals are retained in this category.
2. CRIMINAL CORRESPONDENCE - Made up primarily of subject cards and investigative record check notations on individuals in the narcotics business whose names have been submitted to the central WSIN clearinghouse. This also includes notations or cards on individuals whose names have generated inquiries to this Department from other departments.
3. INFORMATION BULLETINS - Training-type bulletins published by WSIN including various subject matters of interest to narcotics investigators.

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The Honorable Norman B. Rice
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(Since Last Audit)

<u>WSIN Document Types</u>	<u>Total Audited</u>	<u>Received From WSIN</u>	<u>Received From WSIN Representatives</u>
Administrative Documents	92	3	0
Criminal Correspondence	1	1	0
Information Bulletins	30	7	0

NOTE: The number of WSIN documents is relatively small as compared to the number of LEIU documents for two primary reasons:

1. WSIN does not send each member agency a full set of subject cards. They are maintained at WSIN headquarters and accessed by telephone; and
2. WSIN is a smaller, relatively new organization in the process of building its files and resource documents.

Copies of the bylaws for the Law Enforcement Intelligence Unit (LEIU) and the Western States Information Network (WSIN) were filed with the previous audit report. I certify that they remain current.

Very truly yours,


PATRICK S. FITZSIMONS
Chief of Police

GBA:km

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TIME AND DATE STAMP

SPONSORSHIP

THE ATTACHED DOCUMENT IS SPONSORED FOR FILING WITH THE CITY COUNCIL BY
THE MEMBER(S) OF THE CITY COUNCIL WHOSE SIGNATURE(S) ARE SHOWN BELOW:

Jane Roland

FOR CITY COUNCIL PRESIDENT USE ONLY

COMMITTEE(S) REFERRED TO: _____

PRESIDENT'S SIGNATURE

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