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**STATE OF WASHINGTON – KING COUNTY**

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262604  
CITY OF SEATTLE, CLERKS OFFICE

No.

**Affidavit of Publication**

The undersigned, on oath states that he is an authorized representative of The Daily Journal of Commerce, a daily newspaper, which newspaper is a legal newspaper of general circulation and it is now and has been for more than six months prior to the date of publication hereinafter referred to, published in the English language continuously as a daily newspaper in Seattle, King County, Washington, and it is now and during all of said time was printed in an office maintained at the aforesaid place of publication of this newspaper. The Daily Journal of Commerce was on the 12<sup>th</sup> day of June, 1941, approved as a legal newspaper by the Superior Court of King County.

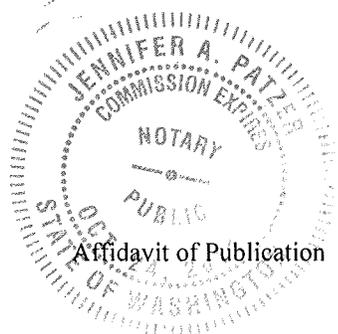
The notice in the exact form annexed, was published in regular issues of The Daily Journal of Commerce, which was regularly distributed to its subscribers during the below stated period. The annexed notice, a

CT:123430 ORDINANCE

was published on

11/04/10

The amount of the fee charged for the foregoing publication is the sum of \$43,266.40, which amount has been paid in full.



*[Handwritten signature]*

Subscribed and sworn to before me on

11/04/10

*[Handwritten signature]*  
Notary public for the State of Washington,  
residing in Seattle

# SEATTLE CITY NOTICES

ORDINANCE 123430

AN ORDINANCE relating to energy efficiency and energy conservation, amending Section 22.700.010 of the Seattle Municipal Code, creating a new Seattle Energy Code by adopting by reference the 2009 Washington State Energy Code, with certain amendments applicable to residential spaces and revisions to administrative and procedural provisions; repealing Sections 2-10 of Ordinance 122530; and providing for a deferred effective date for such repeal and for application of the new Seattle Energy Code to residential spaces.

WHEREAS, the Washington State Building Code Council has adopted the 2009 Washington Energy Code, has deferred its effective date to October 29, 2010, and has proposed a further deferral of its effective date; NOW, THEREFORE,

### BE IT ORDAINED BY THE CITY OF SEATTLE AS FOLLOWS:

Section 1. Section 22.700.010 of the Seattle Municipal Code is amended as follows:

#### 22.700.010 ((Adoption of the 2009 Washington State Energy Code and local amendments)) Seattle Energy Code.

A. The ((2006)) 2009 Washington State Energy Code (WAC 51-11), which is filed with the City Clerk in C.F. ((308938)) 11044, and the amendments thereto adopted by Council Bill ((11633)) that incorporate 116967, which are the Seattle Amendments, are hereby adopted and by this reference made a part of this subtitle (and constitute the Washington State Energy Code with Seattle amendments). The Seattle Amendments include amendments to Reference Standard 29 and add Reference Standards 35 and 36. The 2009 Washington State Energy Code, with the Seattle Amendments, constitutes the Seattle Energy Code for all purposes other than application to residential spaces.

B. For purposes of this Section 22.700.010:

1. Prior to the effective date of the 2009 Washington State Energy Code, "residential spaces" are defined as spaces within the definition of "Group R" occupancy in Chapter 3 of the 2006 Seattle Building Code, as adopted by Ordinance 122528, or within the exception in Section 101.2 of that code; and

2. Effective upon the date when the 2009 Washington State Energy Code takes effect, "residential spaces" are defined as set forth in Chapter 2 of the 2009 Washington State Energy Code under "RESIDENTIAL".

C. Effective upon the date when the 2009 Washington State Energy Code takes effect, the 2009 Washington State Energy Code, with the Seattle Amendments only in Chapter 1 and to Sections 1144 and 1162 of Chapter 11, and the provisions for procedure, administration and enforcement described in Section 1105 of the Seattle Amendments, shall constitute the Seattle Energy Code for residential spaces, to the extent that the provisions thereof apply to residential spaces. Until the effective date of the 2009 Washington State Energy Code, the 2006 Washington State Energy Code, as filed in C.F. 308938, and the amendments thereto adopted by Ordinance 122530, constitute the Seattle Energy Code for residential spaces, except as provided in Section 101.1.2 of the Seattle Amendments regarding procedure, administration and enforcement provisions.

D. It is the City's intent that the Seattle Energy Code constitute part of a local building code, and that any provisions that would be superseded by federal standards but for the application of 42 USC Section 6316(b)(2)(B) or of any other exception to federal preemption shall be applicable to the full extent authorized by any such exception. Any other provision, notwithstanding any requirement of the Seattle Energy Code shall be applicable at any time only to the maximum extent that its application is not prohibited by United States law as then in effect. If any provision of the Seattle Amendments shall be determined to be invalid or unenforceable for any reason, and if the such invalidity or unenforceability would otherwise result in application of any standard below that required by the Washington State Energy Code as then in effect, then the standard of the Washington State Energy Code as then in effect shall apply unless such application is prohibited by applicable federal law.

Section 2. The following sections or subsections of Chapter 1 of the 2009 Washington State Energy Code are amended, and new subsections are added, as follows:

### SECTION 101 — SCOPE AND GENERAL REQUIREMENTS

#### 101.1 Title and Applicability

101.1.1 Title: This Code, including provisions of the 2009 Washington Energy Code as they apply without Seattle Amendments, may be referred to as the "Seattle Energy Code" or the "2009 Seattle Energy Code". References herein to "this Code" mean the entire Seattle Energy Code or the provisions thereof that are applicable to the type of structure or space involved, as the context may require.

Chapters 1 through 16 of this Code, as they apply to single-family residential spaces, shall be known as the ((Washington State)) Seattle Single-Family Residential Energy Code and may be cited as such. Any reference to the "Seattle Energy Code" in the Seattle Municipal Code or any Seattle ordinance, to the extent applicable to those spaces, shall include the Seattle Single-Family Residential Energy Code, (to read will be referred to herein as "this Code.")

101.1.2 Applicability to Single-Family Residential Spaces: Until the effective date of the 2009 Washington State Energy Code, the 2006 Washington State Energy Code, as filed in Seattle City Clerk's File 308938, and the amendments thereto adopted by Ordinance 122530, constitute the Seattle Energy Code for single-family residential spaces. Effective upon the date when the 2009 Washington State Energy Code takes effect, Chapters 1 through 16 of the 2009 Washington State Energy Code, with the Seattle Amendments only in Chapter 1, constitute the Seattle Energy Code for single-family residential spaces.

EXCEPTION: Sections 1133, 1140, 1147, 1141.2, 1144, and 1162 of Chapter 11 of this Code, which relate to procedures for revision and enforcement, including the Seattle Appendix to those sections, and the procedural provisions contained in all chapters, apply to a language and occupancy code before and after the effective date of the 2009 Washington State Energy Code.

101.2 Purpose and Intent: The purpose of the Seattle Single-Family Residential Energy Code (this Code) is to provide minimum standards for new or altered buildings and structures or portions thereof to achieve efficient use and conservation of energy.

The purpose of the Seattle Single-Family Residential Energy Code (this Code) is not to create or otherwise establish or designate any particular class or group of persons who will or should be especially protected or benefited by its terms (the terms of this Code). It is intended that these provisions provide flexibility to permit the use of innovative approaches and techniques to achieve efficient use and conservation of energy. These provisions are structured to

permit compliance with the intent of the Seattle Single-Family Residential Energy Code (this Code) by any one of the following three paths of design:

- 1. A systems analysis approach for the entire building and its energy-using sub-systems which may utilize renewable energy sources; Chapters 4 and 9.
2. A component performance approach for various building elements and mechanical systems and components; Chapters 5 and 9.
3. A prescriptive requirements approach; Chapters 6 and 9.

Compliance with any one of these approaches meets the intent of the Seattle Single-Family Residential Energy Code (this Code). The Seattle Single-Family Residential Energy Code (this Code) is not intended to abridge any safety or health requirements required under any other applicable codes or ordinances. The provisions of the Seattle Single-Family Residential Energy Code (this Code) do not consider the efficiency of various energy forms as they are delivered to the building envelope. A determination of delivered energy efficiencies in conjunction with the Seattle Single-Family Residential Energy Code (this Code) will provide the most efficient use of available energy in new building construction.

101.3 Scope: The Seattle Single-Family Residential Energy Code (this Code) sets forth, among other things, minimum requirements for the design of new buildings and structures that provide facilities or shelter for residential occupancies by regulating their exterior envelopes and the selection of their mechanical systems, domestic water systems, electrical distribution and lighting systems, and equipment for efficient use and conservation of energy. Buildings that are subject to the Seattle Single-Family Residential Energy Code shall be designed to comply with the requirements of Chapter 4, 5 or 6 of this Code and the additional energy efficiency requirements included in Chapter 9 of this Code.

Spaces within the scope of Section R301.2 of the ((International)) Seattle Residential Code shall comply with Chapters 1 through 10 of this Code. All other spaces, including other Group R Occupancies, shall comply with Chapters 11 through 16 ((20)) of this Code as specified in Section 1105. Chapter 2 ((Definitions)), Chapter 3 (Design Conditions), Chapter 7 (Standards) and Chapter 10 (Default heat loss coefficients) are applicable to all building types.

105.2.1 Required Inspections: The building official, upon notification, shall make the following inspection in addition to those inspections required in ((Section 102.2 of the International)) the Seattle Building Code or Seattle Residential Code:

- 1. Wall Insulation Inspection: To be made after all wall insulation and air vapor retarder sheet or film materials are in place, but before any wall covering is placed.

### SECTION 106 — VIOLATIONS AND PENALTIES

It shall be unlawful for any person, firm, or corporation to erect or construct a building, or remodel or rehabilitate any existing building or structure in the ((state)) city of Seattle, or allow the same to be done, contrary to or in violation of any of the provisions of this Code. Other violations are set forth in Section 1144 of this Code. Provisions for notices, enforcement, proceedings and penalties specified in Section 106 of the Seattle Building Code apply to violations of this Code, as set forth in Section 1144 of this Code.

### SECTION 107 — LIABILITY

Nothing contained in this Code is intended to be nor shall be construed to create or form the basis for any liability on the part of ((any city or county)) the City of Seattle or its officers, employees or agents for any injury or damage resulting from the failure of a building to conform to the provisions of this Code.

### SECTION 108 — CONFLICTS WITH OTHER CODES

In addition to the requirements of this Code, all occupancies shall conform to the provisions included in the Seattle Building Code or Seattle Residential Code, as applicable, and other applicable codes ((State Building Code (Chapter 16.24 RCW))). In case of conflict among Codes enumerated in RCW 19.27.031 subsections (1), (2), (3) and (c) and this Code, an earlier named Code shall govern over those following. In the case of conflict between the duct sealing and insulation requirements of this Code and the duct insulation requirements of Sections 603 and 604 of the ((State)) Seattle Mechanical Code ((Chapter 51-52 WAC)), the duct insulation requirements of this Code (even, or where applicable, a local jurisdiction's energy code) shall govern.

Where, in any specific case, different sections of this Code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall be applicable. ((Wherever in this Code reference is made to the appendix, the provisions in the appendix shall not apply unless specifically adopted.))

Section 3. The following subsections of Section 201 of Chapter 2 of the 2009 Washington State Energy Code are amended, and new subsections are added to that Section, as follows:

#### SECTION 201 — GENERAL DEFINITIONS

ADDITION: See the ((Washington State)) Seattle Building Code.

AHRI STANDARD 1160: AHRI's Standard 1160, Performance Rating of Heat Pump Pool Heaters, 2008.

AMCA: Air Movement and Control Association.

AMCA STANDARD 500: AMCA's Standard 500, Laboratory Methods of Testing Dampers for Rating, 1997.

ASHRAE STANDARD 127: ASHRAE's Standard 127, Method of Testing for Rating Computer and Data Processing Room Tertiary Air Conditions, 2007.

BUILDING, EXISTING: An existing structure, as defined in the Seattle Building Code. (See Existing Structure in the ((Washington State)) Seattle Building Code.)

BUILDING ENTRANCE: Any doorway, set of doors including elevator doors such as in parking garages, tunnel, vestibule, or other form of portal that is ordinarily used to gain access to the building by its users and occupants. Where buildings have separate one-way doors to enter

and to leave, this also includes any doors ordinarily used to leave the building.

BUILDING OFFICIAL: The ((official authorized to act in behalf of a jurisdiction code enforcement agency or staff)) Director of the Seattle Department of Planning and Development, or his or her authorized representative.

COMPUTER ROOM: A room whose primary function is to house electronic equipment for the processing and storage of electronic data and that has a design electronic data equipment power density exceeding 20 watts/sq. ft. of conditioned floor area (215 watts/m<sup>2</sup>).

CONTINUOUS INSULATION (c.i.): Insulation that is continuous across all structural members without thermal bridges other than fasteners (i.e., screws and nails) and service openings. It is installed on the interior or exterior or is integral to any opaque surface of the building envelope. For the purposes of this definition of continuous insulation, only screws and nails are considered fasteners. Insulation installed between metal studs, ceiling joists, shell angles, or insulation with penetrations by brick ties and offset brackets, or any other similar framing is not considered continuous insulation, regardless of whether the metal is continuous or occasionally discontinuous or has thermal break material. (See Section 1433 for documentation of U-factors for assemblies that include metal other than screws and nails.)

Informative Note: Even small gaps degrade the performance of insulation. For mass walls, Table 2-1 contains a prescriptive compliance option for new walls with 1-inch c.i. This corresponds with the category of U-factors listed at 24 inches center horizontally and 16 inches vertically in Table 10-5B(1). Default U-factor for Concrete and Masonry. However, note that this is not considered continuous insulation. There is a separate listing in Table 10-5B(1) for insulation that satisfies continuous insulation.

Metal studs, ceiling joists or other repetitive structural metal framing can degrade the effective R-value of insulation by more than 50%. However, optional continuous metal framing members such as steel joists or other structural members that bridge across the insulation. Discontinuous metal members such as steel joist hangers and other metal thermal bridging elements. Calculations on a steel joist assembly with a 1-inch break in studs that the breaks occurred at 24 inches on center vertically and 16 inches on center horizontally decreased the effective R-value of the assembly by 35% and the breaks occurred at 48 inches on center vertically and 16 inches on center horizontally decreased the effective R-value of the assembly by 14%. Even isolated discontinuous metal elements such as brick ties have a thermal impact that is too large to be ignored.

#### DAYLIGHTED ZONE:

a. Under skylights (overhead glazing): The area under a skylight (overhead glazing) whose horizontal dimension, in each direction, is equal to the skylight's (overhead glazing) dimension in that direction plus either 70 percent of the floor to ceiling height or the dimension to a ceiling height opaque partition or to a partition which is more than 50% opaque, or one-half the distance to an adjacent skylight (overhead) or vertical fenestration (glazing), whichever is least.

b. At vertical fenestration (glazing): The area adjacent to vertical fenestration (glazing) which receives daylighting from the glazing. For purposes of this definition and unless more detailed daylighting analysis is provided, the primary daylighted zone depth extends into the space a distance equal to the window head height and the secondary daylighted zone extends from the edge of the primary zone to a distance equal to two times the window head height, or to the nearest ceiling height opaque partition or to a partition which is more than 50% opaque, whichever is least (less). The daylighting zone width is assumed to be the width of the window plus either two feet on each side (or the lesser distance to an opaque partition) or one-half the distance to adjacent skylights (overhead) or vertical fenestration (glazing), whichever is least.

c. In parking garages: The area within 20 feet of any portion of a perimeter wall that has a net opening to wall ratio of at least 40% and no exterior obstructions within 20 feet.

DOMESTIC WATER SYSTEM: Supply of hot water and cold water for domestic (residential, commercial, or industrial) purposes, including commercial and industrial processes, other than comfort heating and cooling.

Informative Note: As directed in Section 1126, the Energy Code applies to industrial facilities, as well as commercial and industrial processes. Thus, the domestic water requirements apply to industrial facilities, as well as systems and equipment used in commercial and industrial processes.

DPD: the Seattle Department of Planning and Development and any successor department responsible for administration of this Code.

DWELLING UNIT: See the ((Washington State)) Seattle Building Code.

DYNAMIC GLAZING: any fenestration product that has the fully reversible ability to change its performance properties, including U factor, SHGC, or VT.

EAST: (See Orientation.)

#### ENERGY STAR PROGRAM REQUIREMENTS FOR COMMERCIAL

DISHWASHERS: Energy Star Program Requirements for Commercial Dishwashers, Version 1.1, October 1, 2007.

ENERGY STAR PROGRAM REQUIREMENTS FOR COMMERCIAL FRYERS: Energy Star Program Requirements for Commercial Fryers, Version 1.0, August 15, 2003.

ENERGY STAR PROGRAM REQUIREMENTS FOR COMMERCIAL STEAM COOKERS: Energy Star Program Requirements for Commercial Steam Cookers, Version 1.0, August 1, 2003.

ENERGY STAR PROGRAM REQUIREMENTS FOR HOT FOOD HOLDING CABINETS: Energy Star Program Requirements for Hot Food Holding Cabinets, Version 1.0, August 15, 2003.

FENESTRATION AREA: Total area of the fenestration measured using the rough opening and including the glazing, sash and frame. For coors where the daylight opening area is less than 50 percent of the door area, the fenestration area is the daylight opening area. For all other doors, the fenestration area is the door area.

GEOHERMAL ENERGY: heat extracted from the Earth's interior and used to produce electricity or mechanical power or provide thermal energy for heating buildings, water, or processes. Geothermal energy does not include systems that use energy independent of the geothermal source to raise the temperature of the extracted heat, such as heat pumps.

GLAZING: For residential spaces, ((A)) all areas, including the frames, in the shell of a conditioned space that let in natural light including windows, clerestories, skylights, sliding or awning glass doors and glass block walls. For other spaces, that portion of the fenestration that lets in natural light. (See Fenestration.)



**Procurement Requirements:** The plans shall contain a glazing and opaque door schedule.

The glazing schedule shall include all vertical glazing and overhead glazing (windows, sliding and opening glass doors and glass roll-up doors, glass block, plastic panels, glass blocks, skylights, etc.) as well as all opaque doors.

For all projects, the glazing and opaque door schedule shall include the manufacturer and model number for all products regardless of U-factor.

The glazing and opaque door schedule shall include the product type, size, number of each, U-value, the U-factor and whether the U-factor is NFRC-certified or default.

If the product is claimed to be NFRC-certified, the NFRC Certified Products Directory (CPD) number shall be provided. A specification sheet that states "determined in accordance with NFRC 100" does not suffice.

If a default U-factor from Chapter 6 is used for untested products in lieu of NFRC certification, the glazing and opaque door schedule shall include a description of the key energy efficiency features that are necessary to achieve that default U-factor (indicating whether the glazing product is fixed or operable, frame material type, thermal break description, number of glazing layers, color(s) of low-e coatings, etc.).

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**503.10.3 Sealing:** All ducts, air handlers, filter boxes, and building envelopes used as ducts shall be sealed. Joints and seams shall comply with Section M1601.3 of the (International) Seattle Residential Code or Section 603.9 of the (International) Seattle Mechanical Code. Duct tightness testing shall be conducted to verify that the ducts are sealed. A signed affidavit commenting the test results shall be provided to the jurisdiction having authority by the testing agent. When required by the building official, the test shall be conducted in the presence of department staff. Duct tightness shall be verified by either of the following:

1. Post-construction test: Leakage to outdoors shall be less than or equal to 6 cfm per 100 square feet of conditioned floor area or a total leakage less than or equal to 8 cfm per 100 square feet of conditioned floor area when tested at a pressure differential of 0.1 inches w.g. (25 Pascals) across the entire system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test.

2. Rough-in test: Total leakage shall be less than or equal to 6 cfm per 100 square feet of conditioned floor area when tested at a pressure differential of 0.1 inches w.g. (25 Pascals) across the roughed-in system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test. If the air handler is not installed at the time of the test, total leakage shall be less than or equal to 4 cfm per 100 square feet of conditioned floor area.

**EXCEPTIONS:**

1. Duct leakage test is not required if electric panels and all doors are located within conditioned space.
2. Duct leakage test is not required if the furnace is a condensing type combustion appliance installed in an unconditioned space. A maximum of six feet of conditioned ductwork in the unconditioned space is allowed. All soffits, supply and return ducts shall be within the conditioned space. Ducts outside the conditioned space shall be sealed with a mastic type duct sealant and installed on the exterior with 3/4 inch duct fasteners and 1/2 inch minimum insulation exterior to the duct or earth.

**503.10.4 Dampers:** Requirements for automatic or manual dampers are found in Chapter 15 of the (Washington State Residential Code (WAC 51-54)) Seattle Residential Code.

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Section 6. The following section of Chapter 6 of the 2009 Washington State Energy Code is amended as follows:

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**602.7.2 Glazing U-Factor:** The total glazing area as defined in Chapter 2 shall have an area weighted average U-factor not to exceed that specified in Table 6-1 or 6-2. U-factors for glazing shall be determined in accordance with Section 602.1.5. These areas and U-factors shall also include any doors using the execution of Section 602.6.

If the U-factors for all vertical and overhead glazing products are below the appropriate U-factor specified, then no calculations are required. If compliance is to be achieved through an area weighted calculation, then the areas and U-factors shall be included in the plans submitted with a building permit application.

**EXCEPTIONS:** Double glazed garden windows with a wood or vinyl frame shall be used when the U-factor calculation for that frame is more favorable and shall be included in the percentage of total glazing area allowed for in Table 6-1 or 6-2. This maximum area (before applying a lower U-factor) for total glazing area is one percent of the floor area or 20 square feet, whichever is less.

**Procurement Requirements:** The plans shall contain a glazing and opaque door schedule.

The glazing schedule shall include all vertical glazing and overhead glazing (windows, sliding and opening glass doors and glass roll-up doors, glass block, plastic panels, glass blocks, skylights, etc.) as well as all opaque doors.

For all projects, the glazing and opaque door schedule shall include the manufacturer and model number for all products regardless of U-factor.

The glazing and opaque door schedule shall include the product type, size, number of each, U-value, the U-factor and whether the U-factor is NFRC-certified or default.

If the product is claimed to be NFRC-certified, the NFRC Certified Products Directory (CPD) number shall be provided. A specification sheet that states "determined in accordance with NFRC 100" does not suffice.

If a default U-factor from Chapter 19 is used for untested products in lieu of NFRC certification, the glazing and opaque door schedule shall include a description of the key energy efficiency features that are necessary to achieve that default U-factor (indicating whether the glazing product is fixed or operable, frame material type, thermal break description, number of glazing layers, color(s) of low-e coatings, etc.).

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Section 7. The following section of Chapter 7 of the 2009 Washington State Energy Code is amended as follows:

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**701 Scope:** The following standards shall apply to Chapters 1 through 16 of (2010). The standards and portions thereof, which are referred to in various parts of this Code (shall be part of the Washington State Energy Code and) are hereby declared to be a part of this Code.

**CODE STANDARD**

**NO. TITLE AND SOURCE:**

- RS-1: (2009) 2009 ASHRAE Fundamentals Handbook.
- RS-2: Super Good Coats Technical Reference (Baicker's Field Guide)
- RS-3: (Reserved.)
- RS-4: ASHRAE Standard 55-2004 Thermal Environmental Conditions for Human Occupancy.
- RS-5: 2006 ASHRAE Refrigeration Handbook.

- RS-6: (Reserved.)
- RS-7: SMACNA, HVAC Duct Construction: Standards, Metal and Flexible, 2005.
- RS-8: (Reserved.)
- RS-9: ASHRAE/IESNA Standard 90.1-2007, Energy Standard for Buildings Except Low-Rise Residential Buildings.
- RS-10: 2008 ASHRAE Systems and Equipment Handbook.
- RS-11: 2007 ASHRAE HVAC Applications Handbook.
- RS-12: RS-28: (Reserved.)
- RS-29: Nonresidential Building Design by Systems Analysis (and) in conjunction of this Code.
- RS-30: Title 10, Code of Federal Regulations (CFR), Part 430 (March 14, 1988).
- RS-31: National Fenestration Rating Council (NFRC) Standard 100-2008.
- RS-32: Seattle EnergyStar (2006), 2009.\*
- RS-33: Duct Testing Standard for New and Existing Construction, Washington State University Extension, Energy Program Publication #WSUEEP 09-008.
- RS-34: Optional Acceptance Requirements for Nonresidential Buildings, SBCC 2009.
- RS-35: Additional Acceptance Criteria for Other Programs (included in Seattle Amendments).
- RS-36: Illustrative Goals for the 2030 Challenge in Seattle (included in Seattle Amendments).

The Director of PD is authorized to develop and update the 2009 version of the Seattle EnergyStar, which will be published as part of the Seattle EnergyStar 2009 with a binding to be placed in the Code. The 2009 version shall be published by the end of the year. The 2009 version shall be published by the end of the year. The 2009 version shall be published by the end of the year.

**ACCREDITED AUTHORITATIVE AGENCIES**

**AHRI** refers to the Air-Conditioning, Heating and Refrigeration Institute, 1101 N. Fairfax Dr., Suite 445, 2111 Wilson Blvd., Suite 500, Arlington, VA 22203. Phone (703) 534-8900 fax (703) 528-3816, internet: ([www.ahri.org](http://www.ahri.org)) [www.ahri.org](http://www.ahri.org)

**ANSI** refers to the American National Standards Institute, Inc., 11 West 42nd Street, New York, NY 10036. Phone (212) 642-4900 fax (212) 398-0025, internet: [www.ansi.org](http://www.ansi.org)

**ASHRAE** refers to the American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc., 1791 Tullie Circle, N.E., Atlanta, GA 30429. Phone (404) 636-8400 fax (404) 321-5478, internet: [www.ashrae.org](http://www.ashrae.org)

**ASTM** refers to the American Society for Testing and Materials, 100 Barr Harbor Drive, West Conshohocken, PA 19380-1509. Phone (610) 832-9585 fax (610) 832-9555, internet: [www.astm.org](http://www.astm.org)

**CTI** refers to the Cooling Tower Institute, 530 Wells Fargo Drive, Suite 215, Houston, TX 77090. Phone (281) 583-4687 fax (281) 537-1721, internet: [www.cti.org](http://www.cti.org)

**IESNA** refers to the Illuminating Engineering Society of North America, 720 Wall Street Floor 17, New York, NY 10005-4001. Phone (212) 246-5000 fax (212) 248-5017, internet: [www.iesna.org](http://www.iesna.org)

**NFRC** refers to the National Fenestration Rating Council, Inc., 814 Georgia Avenue, Suite 320, Silver Spring, Maryland 20910. Phone (301) 589-1776 fax (301) 589-3884, internet: [www.nfrc.org](http://www.nfrc.org)

**SBCC** refers to the Washington State Building Code Council, P.O. Box 42525, Olympia, WA 98504-2525. Phone 360-725-2900 fax 360-586-9183, internet: [www.sbccwa.org](http://www.sbccwa.org)

**SMACNA** refers to the Sheet Metal and Air Conditioning Contractors National Association, Inc., 4201 Lafayette Center Drive, P.O. Box 221230, Churchill, VA 20153-1230. Phone (703) 803-2980 fax (703) 803-3732, internet: [www.ameracna.org](http://www.ameracna.org)

**WSU** refers to the Washington State University Extension Energy Program, 906 Plum Street S.E., Building 63, P.O. Box 43165, Olympia, WA 98506-3166. Phone 360-956-2000 fax 360-956-2217, internet: [www.energy.wsu.edu](http://www.energy.wsu.edu)

Section 8. The following section of Chapter 9 of the 2009 Washington State Energy Code is amended as follows:

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**901 Additional Residential Energy Efficiency Requirements.** Dwelling units permitted under this Code shall comply with all provisions of Chapter 5 of this Code and develop one credit from Table 9-1.

**EXCEPTIONS:** Buildings complying using Chapter 6 Building Design by Systems Analysis shall meet this provision of this section by demonstrating that the proposed building energy use is 10 percent less than the target building energy use.

**Informative Note:** "Exception 7.0" dwelling units exceeding 5000 square feet of gross floor area are assigned a negative 1.5 points and therefore need to achieve a positive 2.0 points in other sections in order to comply.

**TABLE 9-1 ENERGY CREDITS (POINTS)**

OPTION	DESCRIPTION	CREDIT(S)
1E	HIGH EFFICIENCY HVAC EQUIPMENT 2: Use, in series or all-fired furnace or boiler with minimum AFUE of 92%, or Approved heat pump with minimum HSPF of 8.5.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the equipment being selected and shall specify the heating equipment type and the minimum equipment efficiency.  It is recommended that projects apply for a mechanical permit prior to the building permit application and provide a copy of the mechanical permit on the building permit drawings.]	1.0

OPTION	DESCRIPTION	CREDIT(S)
1F	HIGH EFFICIENCY HVAC EQUIPMENT 2: Closed-loop ground source heat pump, with a minimum COP of 3.5.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the system being selected and shall specify the heating equipment type and the minimum equipment efficiency.  It is recommended that projects apply for a mechanical permit prior to the building permit application and provide a copy of the mechanical permit on the building permit drawings.]	2.0

OPTION	DESCRIPTION	CREDIT(S)
1E	TECH EFFICIENCY HVAC EQUIPMENT 2: OCCUPANCY SPLIT SYSTEM HEAT PUMPS, ZONAL CONTROL:  In zones where the primary space heating system is a dual electric heating distribution system, the system shall be verified and provide heating to at least one room of the building.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the system being selected and shall specify the heating equipment type and the minimum equipment efficiency.  It is recommended that projects apply for a mechanical permit prior to the building permit application and provide a copy of the mechanical permit on the building permit drawings.]	1.0

OPTION	DESCRIPTION	CREDIT(S)
5	TECH EFFICIENCY HVAC DISTRIBUTION SYSTEM 1:  All heating and cooling system components installed inside the conditioned space. All condensation equipment shall be direct vent or sealed combustion.  Heating system components in conditioned areas shall be not permitted under this option.  Electric resistance heat is not permitted under this option.  Direct combustion heating equipment with AFUE less than 80% is not permitted under this option.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the option being selected and shall specify the heating equipment type and shall specify the location of the heating and cooling equipment and all the ductwork.  It is recommended that projects apply for a mechanical permit prior to the building permit application and provide a copy of the mechanical permit on the building permit drawings.]	1.0

OPTION	DESCRIPTION	CREDIT(S)
3a	EXCELLENT BUILDING ENVELOPE 1:  Prescriptive compliance is based on Table 6-1, Option III with the following modifications: Window U=0.28 floor-to-slab, slab on grade R=5.0, below grade slab R=10.0,  or Component performance compliance: Refer to Table 6A from Table 6-1 by 5%, as determined using EQUATIONS 1.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the option being selected and shall specify the location and R-values of all insulation.  For glazing U-factors: - For Double Glazed units, see prescriptive requirements under Section 602.7.2. - For Component performance compliance, see prescriptive requirements under Section 602.7.1.]	0.5

OPTION	DESCRIPTION	CREDIT(S)
1F	EFFICIENT BUILDING ENVELOPE 2:  Prescriptive compliance is based on Table 6-1, Option III with the following modifications: Window U=0.55, wall R=19.0, floor R=24 and R=28 floor, slab on grade R=10.0, below grade slab R=10.0, and R=2 plus R=5 below grade basement walls.  or Component performance compliance: Refer to Table 6A from Table 6-1 by 15%, as determined using EQUATION 1.  [Procedural Requirements: To qualify to claim this credit, the building permit drawings shall specify the option being selected and shall specify the location and R-values of all insulation.  For glazing U-factor: - For Double Glazed compliance, see prescriptive requirements under Section 602.7.2. - For Component performance compliance, see prescriptive requirements under Section 602.7.1.]	1.0



Metal Stud Walls: The nominal R-values in Table 10-5A may be used for purposes of calculating metal stud wall section U-factors in lieu of the ASHRAE zone calculation method as provided in Chapter 27 of Standard RS-1.

TABLE 10-5A
DEFAULT U-FACTORS FOR OVERALL ASSEMBLY METAL STUD WALLS, EFFECTIVE R-VALUES FOR METAL FRAMING AND CAVITY ONLY, AND DEFAULT METAL BUILDING U-FACTORS

Table 10-5A(1) Overall Assembly U-factors for Metal Stud Walls. This large table provides U-factors for various wall assemblies with different insulation types and cavity treatments. It includes columns for R-values and U-factors for different cavity depths and insulation materials.

TABLE 10-5A(2)

Effective R-Values for Metal Framing and Cavity Only

Table 10-5A(2) Effective R-Values for Metal Framing and Cavity Only. This table shows effective R-values for air cavities of different depths (4 inches and 1 1/2 inches) with various insulation types.

Table 10-5A(3) Default Metal Building Wall U-Factors. This table lists U-factors for metal building walls with different insulation systems, including single layers of mineral fiber and various rigid insulation types.

TABLE 10-5A(3)
Default Metal Building Wall U-Factors

Table 10-5A(3) Default Metal Building Wall U-Factors. This table provides detailed U-factors for metal building walls with continuous insulation, categorized by insulation system and rated R-value.

Concrete Masonry Walls: The nominal R-values in Table 10-5B may be used for purposes of calculating concrete masonry wall section U-factors in lieu of the ASHRAE isothermal planes calculation method as provided in Chapter 27 of Standard RS-1.

TABLE 10-5B(1)
SINGLE-FAMILY AND MULTIFAMILY RESIDENTIAL:
DEFAULT U-FACTORS FOR CONCRETE AND MASONRY WALLS

Table 10-5B(1) Single-Family and Multifamily Residential: Default U-factors for Concrete and Masonry Walls. This table lists U-factors for 8-inch concrete masonry walls with various core treatments, including partial gROUT with ungrouted cores and loose-fill insulation.

TABLE 10-5B(1b) Single-Family and Multifamily Residential:
12" Concrete Masonry

Table 10-5B(1b) Single-Family and Multifamily Residential: 12" Concrete Masonry. This table provides U-factors for 12-inch concrete masonry walls with different core treatments and insulation types.

TABLE 10-5B(1c) Single-Family and Multifamily Residential:
8" Clay Brick

Table 10-5B(1c) Single-Family and Multifamily Residential: 8" Clay Brick. This table lists U-factors for 8-inch clay brick walls with various core treatments and insulation options.

TABLE 10-5B(1d) Single-Family and Multifamily Residential:
6" Concrete Poured or Precast

Table 10-5B(1d) Single-Family and Multifamily Residential: 6" Concrete Poured or Precast. This table provides U-factors for 6-inch concrete poured or precast walls with different core treatments.

Table 10-5B(2) Single-Family and Multifamily Residential, and Nonresidential: Default U-factors for Peripheral Edges of Intermediate Concrete Floors. This table lists U-factors for different slab edge treatments and average wall thicknesses.

TABLE 10-5B(2) Single-Family and Multifamily Residential, and Nonresidential:
Default U-factors for Peripheral Edges of Intermediate Concrete Floors

TABLE 10-5B(3) Nonresidential:
Default U-factors for Concrete and Masonry Walls

Table 10-5B(3) Nonresidential: Default U-factors for Concrete and Masonry Walls. This table provides U-factors for various concrete and masonry wall assemblies, including those with exterior insulation and different framing types.

TABLE 10-5B(3) Nonresidential:
Default U-factors for Concrete and Masonry Walls

Table 10-5B(3) Nonresidential: Default U-factors for Concrete and Masonry Walls. This table lists U-factors for concrete and masonry walls with different framing types and insulation treatments, including exterior insulation and various core treatments.

Notes for Default Table 10-5B(1)
1. Grouted cores in 4" x 4" or center vertically and horizontally in partial grouted walls
2. Exterior insulation values include 1/2" gypsum board on the inner surface
3. Framing and stud spacing is 16" on center. Insulation is assumed to fill framing space and is not compressed
4. Intermediate values may be interpolated using this table. Values not contained in this table may be computed using the procedures listed in Standard RS-1.



Steel Truss Framed Ceiling with R-15 Sheathing, Table 10-7E.

Metal Building Roof, Table 10-7F: The base assembly is a roof where the insulation is compressed when installed beneath metal roof panels attached to the steel structure (purlins). Additional assemblies include continuous insulation, uncompressed and uninterrupted by framing. Insulation exposed to a conditioned space shall have a facing, and all insulation seams shall be continuously sealed.

Single Layer: The rated R-value of insulation is for insulation installed perpendicular to and draped over purlins and then compressed when the metal roof panels are attached. A minimum R-3 (R-0.5) thermal spacer block between the purlins and the metal roof panels is required, unless compliance is shown by the overall assembly U-factor.

Double Layer: The first rated R-value of insulation is for insulation installed perpendicular to and draped over purlins. The second rated R-value of insulation is for unfaced insulation installed above the first layer and parallel to the purlins and then compressed when the metal roof panels are attached. A minimum R-3 (R-0.5) thermal spacer block between the purlins and the metal roof panels is required, unless compliance is shown by the overall assembly U-factor.

Continuous Insulation: For assemblies with continuous insulation, the continuous insulation is installed above or below the purlins, uncompressed and uninterrupted by framing members. (For continuous insulation (e.g., insulation boards or blankets), it is assumed that the insulation is installed below the purlins and is uninterrupted by framing members. Insulation exposed to the conditioned space or sun-heated space shall have a facing, and all insulation seams shall be continuously sealed to provide a continuous air barrier.)

Liner System (Ls): A continuous membrane is installed below the purlins and uninterrupted by framing members. Uncompressed, unfaced insulation rests on top of the membrane between the purlins. For multilayer installations, the last rated R-value of insulation is for unfaced insulation draped over purlins and then compressed when the metal roof panels are attached. A minimum R-3 (R-0.5) thermal spacer block between the purlins and the metal roof panels is required, unless compliance is shown by the overall assembly U-factor.

Filled Cavity: (The first rated R-value of insulation is for unfaced insulation installed parallel to the purlins. The second rated R-value of insulation is for unfaced insulation installed above the first layer, parallel to and between the purlins and compressed when the metal roof panels are attached. The face of the first layer of insulation is of sufficient width to be continuously sealed to the top flange of the purlins and to accommodate the full thickness of the second layer of insulation. A supporting structure retains the bottom of the first layer at the prescribed depth required for the full thickness of the second layer of insulation being installed above it.) The first rated R-value of insulation represents unfaced insulation installed between the purlins. The second rated R-value of insulation represents unfaced insulation installed above the first layer, perpendicular to the purlins and compressed when the metal roof panels are attached. A supporting structure retains the bottom of the first layer at the prescribed depth required for the full thickness of insulation. A minimum R-5 (R-0.9) thermal spacer block between the purlins and the metal roof panels is required, unless compliance is shown by the overall assembly U-factor.

U-factors for Metal Building Roofs: U-factors for metal building roofs shall be taken from Table 10-7F, provided the average purlin spacing is at least 52 in. and the R-value of the thermal spacer block is greater than or equal to the thermal spacer block R-value indicated in Table 10-7F for the assembly. It is not acceptable to use the U-factors in Table 10-7F if additional insulated sheathing is not continuous. U-factors for metal building roof assemblies with average purlin spacing less than 52 in. shall be determined in accordance with Section 1009.1 of the International Building Code (IBC).

Roofs with Insulation Entirely Above Deck (uninterrupted by framing), Table 10-7G: The base assembly is continuous insulation over a structural deck. Added insulation is continuous and uninterrupted by framing. For the insulation, the first column lists the R-value for continuous insulation with a uniform thickness; the second column lists the comparable area-weighted average R-value for continuous insulation provided that the insulation thickness is never less than R-3 (except at roof eaves) and the slope is no greater than 1/4 inch per foot.

TABLE 10-7F Default U-Factors for Metal Building Roofs

Table 10-7F: Default U-Factors for Metal Building Roofs. This table is divided into several sections: Overall U-Factor for Assembly of Base Roof Plus Continuous Insulation; Standing Seam Roofs with Thermal Spacer Blocks; Double Layer; Filled Cavity with Thermal Spacer Blocks; Standing Seam Roofs without Thermal Spacer Blocks; and Three-Faced Roofs without Thermal Spacer Blocks. Each section contains columns for insulation systems and R-values, leading to overall U-factors.

Multiple R-values are listed in order from inside to outside. A single value indicates that the insulation provides a minimum 1.5 in. distance between the top of the purlin and the

- underside of the metal roof panels is required.
b. A minimum R-3 thermal spacer block is required.
c. A minimum R-5 thermal spacer block is required.

TABLE 10-7G ASSEMBLY U-FACTORS FOR ROOFS WITH INSULATION ENTIRELY ABOVE DECK (UNINTERRUPTED BY FRAMING)

Table 10-7G: Assembly U-Factors for Roofs with Insulation Entirely Above Deck. This table lists Rated R-Value of Insulation Above (Minimum Throughout and Average R-5 minimum) and Overall U-Factor for Entire Assembly. It is organized into two main sections for different insulation types.

Table 10-9: U-Factors for Concrete Masonry Construction. This table lists U-factors for various concrete masonry wall and roof assemblies, categorized by height and construction type.

1009.1 General: Tables 10-9 and 10-10 list default U-values for concrete masonry construction for residential. Calculations are based on standard ASHRAE values for heat storage capacity as listed in Standard RS-1, Chapter 26. For heat capacity values for brick, concrete, and concrete masonry materials used in other projects, see Table 10-10.

Thermal capacity of masonry is ignored, as is heat storage beyond the first four inches of mass thickness. All mass is assumed to be in direct contact with the conditioned space. Concrete separated from the heated volume by other materials must multiply the listed concrete mass value by the result of the following formula:

U = U(R-value) x (-221) + 0.5

Where: U = Natural log R-value = R-value of material covering concrete

Note: All default values for covered concrete slabs have been adjusted according to this procedure.

Section 10: The following sections or subsections of Chapter 11 of the 2009 Washington State Energy Code are amended, and new sections are added to that Chapter, as follows:

SECTION 1100 - TITLE Chapters 11 through 16(20) of this Code shall be known as the "(Washington State) Seattle Nonresidential and Multifamily Residential Energy Code" and may be cited as such. Any

reference to the "Seattle Energy Code" in the Seattle Municipal Code or any Seattle ordinance to the extent applicable to those spaces, shall include the Seattle Nonresidential and Multifamily Residential Energy Code, (1) and will be referred to herein as "this Code.")

SECTION 1105 - APPLICABILITY TO MULTIFAMILY RESIDENTIAL SPACES

Until the effective date of the 2009 Washington State Energy Code, the 2006 Washington State Energy Code, as filed in Seattle City Clerk's File 408038, and the amendments thereto adopted by Ordinance 122530, constitute the Seattle Energy Code for multifamily residential spaces. Effective upon the date when the 2009 Washington State Energy Code takes effect, the 2009 Washington State Energy Code, with the Seattle Amendments only to Chapter 1, constitutes the Seattle Energy Code for multifamily residential spaces.

EXCEPTION: Sections 1101, 1103, 1111, 1112, 1143, and 1162 of Chapter 11 of this Code, which concern mechanical, electrical, and plumbing, shall not apply to those spaces, and the mechanical, electrical, and plumbing requirements in Chapters 11 through 16 of the 2009 Washington State Energy Code.

For purposes of this section: (1) Prior to the effective date of the 2009 Washington State Energy Code, "multifamily residential spaces" are defined as spaces within the definition of "Group R2" occupancy in Chapter 4 of the 2006 Seattle Building Code and not falling within the scope of Section 131.2 of the 2006 Seattle Residential Code, and (2) effective upon the date when the 2009 Washington State Energy Code takes effect, "multifamily residential spaces" are defined as set forth in Chapter 2 of this Code under "RESIDENTIAL".

Informative Note: Prior to the effective date of the 2009 Washington State Energy Code, spaces in Group 1 occupancy are classified as "residential," therefore all Seattle Amendments or sections relevant to those spaces apply to all such spaces.

SECTION 1110 - PURPOSE AND INTENT

The purpose of this Code is to provide minimum standards for new or altered buildings and structures or portions thereof, including systems and equipment used for commercial and industrial processes contained therein, to achieve efficient use and conservation of energy. It is intended that these provisions provide flexibility to permit the use of innovative approaches and techniques to achieve efficient use and conservation of energy.

The purpose of this Code is not to create or otherwise establish or designate any particular class or group of persons who will or should be especially protected or benefited by the terms of this Code. This Code is not intended to abridge any safety or health requirements required under any other applicable codes or ordinances.

The provisions of this Code do not consider the efficiency of various energy forms as they are delivered to the building envelope.

Informative Note: As indicated in Section 1120, the Energy Code applies to industrial facilities, as well as commercial and industrial processes. Thus, the purpose and the intent is that requirements apply to industrial facilities, as well as systems and equipment used in commercial and industrial processes.

SECTION 1120 - SCOPE

This Code sets forth minimum requirements for the design and construction of new or altered buildings and structures or portions thereof that provide facilities or shelter for public assembly, educational, business, mercantile, institutional, storage, factory, industrial, and multifamily residential occupancies by regulating their exterior envelopes and the selection of their mechanical systems, domestic water systems, electrical distribution and illuminating systems, and equipment for efficient use and conservation of energy, including systems and equipment used for commercial and industrial processes contained therein.

EXCEPTIONS: The provisions of this Code do not apply to temporary growing structures used solely for the commercial production of horticultural plants including commercial plants, flowers, vegetables, and herbs. Temporary growing structures means a structure that has the sides and roof covered with polyethylene, polypropylene, or similar flexible synthetic material and is used to provide plants with either frost protection or increased daylight. A temporary growing structure is not considered a building for the purposes of this Code.

1132.1 Building Envelope: Alterations or repairs shall comply with Chapter 13, including the nominal R-values and (gazing) concentration requirements in Table 13-1 or 13-2.

EXCEPTIONS:

- 1. For new windows installed over existing glazing.
2. Glass replaced in existing glazing and where provided that glazing is equal or better U-factor.
3. For solar heat gain coefficient (SHGC) glazing when solar heat gain coefficient is equal to or lower than that of the other existing glazing.
4. Fixing or reworking wall or floor or other exposed framing systems provided that these conditions are limited to fill depth with insulation having a minimum nominal value of 3.50 per inch installed per Sections 13.1 and 13.12.
5. Existing walls and doors without existing windows, provided that any new windows added to existing walls and doors comply with Exception 1.
6. Existing roofs where the roof membrane is being replaced and:
a. The roof sheathing or membrane is not replaced, or
b. If it is, it is existing roof sheathing or membrane.
7. Replacement of existing glass that separates conditioned space from the exterior that is not for the installation of a ventilator or skylight, provided that the rough opening and the glazing does not change, and is provided that any existing weatherstripping seal that separates a conditioned space from the exterior shall not be removed.

In no case shall the energy efficiency of the building be decreased.

1132.2 Mechanical Systems: Those parts of systems which are altered or replaced shall comply with Chapter 14 of this Code. Additions or alterations shall not be made to an existing mechanical system that will cause the existing mechanical system to become out of compliance.

All new systems in existing buildings, including packaged unitary equipment and packaged split systems, shall comply with Chapter 14.

Where mechanical cooling is added to a space that was not previously cooled, the mechanical cooling system shall comply with Sections 1413 and either 1423 or 1433.

EXCEPTIONS: These exceptions may apply to spaces where mechanical cooling is added to a space that was not previously cooled.

- 1. Water-cooled refrigeration equipment provided with a water economizer meeting the requirements of Section 1413 need not comply with 1423 or 1433. This exception shall not be used for RS-20 analysis.
2. Alternate designs that are not in full compliance with this Code may be approved when the building official determines that existing building occupancy conditions will not be violated if such compliance would be economically impractical.
3. Alterations to existing mechanical cooling systems shall not decrease economizer capacity unless the system complies with Sections 1413 and either 1423 or 1433. In addition, for

existing mechanical cooling systems that do not comply with Sections 1413 and either 1433 or 1433, including both the individual unit size limits and the total building capacity limits on units without economizer, other alterations shall comply with Table 11-1.

When space cooling equipment is replaced, controls shall be installed to provide for integrated operation with economizer in accordance with Section 1413.3.

Existing equipment currently in use may be relocated within the same floor or same tenant space if removed and reinstalled within the same permit.

In no case shall the energy efficiency of the building be decreased.

1132.3 Lighting and Motors: Where the use in a space changes from one use in Table 15-1 to another use in Table 15-1, the installed lighting wattage shall comply with Section 1521 or 1531.

Other than improvements, alterations or repairs where (600) 20 percent or more of the fixtures, or of the lamps plus ballasts, are in a space enclosed by walls or ceiling-height partitions are (new) altered, added, or replaced shall comply with Sections 1531 and 1532.

(Where this threshold is triggered, the areas of the affected spaces may be combined for lighting code compliance calculations.) Where less than (600) 20 percent of the fixtures in a space enclosed by walls or ceiling-height partitions are new, the installed lighting wattage shall be maintained or reduced. Where (600) 20 percent or more of the lighting fixtures in a suspended ceiling are new, and the existing insulation is on the suspended ceiling, the roof/ceiling assembly shall be insulated according to the provisions of Chapter 13, Section 1311.2.

Any new lighting control devices shall comply with the requirements of Section 1513. Where new wiring is being installed to serve ceiling fixtures and/or fixtures are being relocated to a new circuit, conductors shall comply with Sections 1513.1 through 1513.5 and, as applicable, 1513.8. In addition, office areas less than 300 sq ft enclosed by walls or ceiling-height partitions, and all meeting and conference rooms, and all school classrooms, shall be equipped with occupancy sensors that comply with Section 1513.6 and 1513.8. Where a new lighting panel (or a moved lighting panel) with all new raceway and conductor wiring from the panel to the fixture is being installed, controls shall also comply with the other requirements in Sections 1513.6 through 1513.8.

Where new walls or ceiling-height partitions are added to an existing space and create a new enclosed space, but the lighting fixtures are not being changed, other than being relocated, the new enclosed space shall have controls that comply with Sections 1513.1 through 1513.2, 1513.4, and 1513.6 through 1513.8.

Those motors which are altered or replaced shall comply with Section 1511. In no case shall the energy efficiency of the building be decreased.

1133 Change of occupancy or use or space conditioning: Changes of occupancy or use or space conditioning shall comply with the following requirements:

- a. Any unconditioned space that is altered to become semi-heated, cooled, or fully heated, or any semi-heated space that is altered to become cooled or fully heated space shall be required to be brought into full compliance with this Code. Existing workspaces and repair shops are considered unconditioned space unless they are indicated as conditioned space in DPD records or they were built after 1980 and they comply with the building envelope requirements for conditioned space in effect at the time of construction. (See the Seattle Mechanical Code for requirements for combustion appliances.)
b. Any nonresidential space which is converted to multifamily residential space shall be brought into full compliance with this Code.
c. Any multifamily residential space which is converted to nonresidential space shall be required to comply with all of the provisions of Sections 1130 through 1132 of this Code.

1135 Commissioning: Commissioning in compliance with Sections 1416 and 1513.3 shall be required for new systems or modified portions of systems (with a heating capacity of 600,000 Btu/h or a cooling capacity of 40 tons or more).

1141.2 Details: The plans and specifications shall show in sufficient detail all pertinent data and features of the building and the equipment and systems as herein governed including, but not limited to: design criteria; exterior envelope component materials; U-factors of the envelope systems; R-values of insulating materials; U-factors and solar heat gain coefficients and visible transmittance of fenestration or shading coefficients of glazing; area weighted U-factor calculations; efficiency, economizer, size and type of apparatus and equipment; fan system horsepower; equipment and systems controls; lighting fixture schedule with wattages and controls narrative; commissioning requirements for HVAC equipment, HVAC controls, and lighting controls, and other pertinent data to indicate compliance with the requirements of this Code.

1141.4 Systems Analysis Approach for the Entire Building: In lieu of using Chapters 12 through 16 (29), compliance may be demonstrated using the systems analysis option in Standard RS-29. When using systems analysis, the proposed (building) design, as defined in Standard RS-29, shall provide ((equal to)) better conservation of energy ((than)), in the extent required by Section 1.3 of Standard RS-29 than the ((standard-design)) baseline building design, as defined in Standard RS-29, that would comply with this Code without reference to this Section 1141.4. If required by the building official, all energy comparison calculations submitted under the provisions of Standard RS-29 shall be stamped and authenticated by an engineer or architect licensed to practice by the state of Washington.

1143.2 Required Inspections: The building official, upon notification, shall make the inspection required in this section, in addition to or as part of those inspections required in Section 109.3 of the ((International)) Seattle Building Code. Inspections may be conducted by special inspection pursuant to Section 1704 of the ((International)) Seattle Building Code. Where applicable, inspections shall include at least:

- 1143.2.1 Envelope
a. Wall Insulation Inspection: To be made after all wall insulation and air vapor retarder sheet or film materials are in place, but before any wall covering is placed.
b. ((Glazing)) Fenestration Inspection: To be made after ((glazing)) fenestration materials are installed in the building.
c. Exterior Roofing Insulation: To be made after the installation of the roof insulation, but before concealment.
d. Slab/Floor Insulation: To be made after the installation of the slab/floor insulation, but before concealment.

1143.2.2 Mechanical
a. Mechanical Equipment Efficiency and Economizer: To be made after all equipment and controls required by this Code are installed and prior to the concealment of each equipment or controls.
b. Mechanical Pipe and Duct Insulation: To be made after all pipe and duct insulation is in place, but before concealment.

1143.2.3 Lighting and Motors
a. Lighting Equipment and Controls: To be made after the installation of all lighting equipment and controls required by this Code, but before concealment of the lighting

equipment.
b. Motor Inspections: To be made after installation of all equipment covered by this Code, but before concealment.

1144 Violations and Penalties ((It shall be a violation of this Code for any person, firm or corporation to construct, alter, remodel, or repair any building, or remodel or rehabilitate any existing building or structure in the state, or allow the same to be done, contrary to any of the provisions of this Code.))

1144.1 Violations: It is a violation of this Code for anyone to:

- 1. erect, construct, enlarge, repair, move, improve, renovate, convert, demolish, equip, occupy, operate, inspect or maintain any building or structure in the City, contrary to or in violation of any of the provisions of this Code;
2. knowingly aid, abet, counsel, encourage, hire, command, induce or otherwise procure another to violate or fail to comply with this Code;
3. use any material or to install any device, appliance or equipment that does not comply with the applicable standards of this Code, or that has not been approved by the building official if their approval is required;
4. violate or fail to comply with any final order issued by the building official pursuant to the provisions of this Code or with any requirements of this Code;
5. remove, mutilate, destroy or conceal any notice or order issued or posted by the building official pursuant to the provisions of this Code, or any notice or order issued or posted by the building official in response to a natural disaster or other emergency; or
6. make or submit any false or misleading statement or information as part of an application with any application for any permit or approval under this Code.

1144.2 Notices, Review and Enforcement: The provisions of Section 103 of the Seattle Building Code regarding notices of violation, orders, recording, review, and final proceedings apply under this Code, Section 103 of the Seattle Building Code, as adopted by SMC Section 22.020.110, is incorporated in this Section by this reference. Nothing in this Section 1144 shall be deemed to limit or preclude any action or proceeding pursuant to the Seattle Building Code or any other ordinance, and nothing in this section shall be deemed to obligate or require the building official to issue a notice of violation prior to the imposition of civil or criminal penalties.

1144.3 Penalties and Remedies: Any person violating or failing to comply with the provisions of this Code or an order of the building official under this Code shall be subject to the same civil and criminal penalties as provided for a violation of the Seattle Building Code under Section 103 of the code. The provisions for additional remedies in Section 103 of the Seattle Building Code apply under this Code.

1150 Conflicts with Other Codes: In case of conflicts among Codes enumerated in RCW 19.27.031 subsections (1), (2), (5) and (4) and this Code, the first named Code shall govern. This duct insulation requirements in this Code ((for a local jurisdiction's energy code, whichever is more stringent)) supersede the requirements in the Mechanical Code.

Inform the building official of any additional efficiency standards for electrical energy use that appear in Seattle City Light energy requirements, which shall be required.

Where, in any specific case, different sections of this Code specify different materials, methods of construction or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall be applicable.

1162 Liability: Nothing contained in this Code is intended to be nor shall be construed to create or form the basis for any liability on the part of ((any city or county)) the City or its officers, employees or agents for any injury or damage resulting from the failure of a building or any fixture or equipment to conform to the provisions of this Code, or by reason of or in consequence of any inspection, notice, order, certificate, permission, or approval authorized, or issued or done in connection with the implementation or enforcement of this Code, or by reason of any action or inaction on the part of the City or by its officers or agents related in any manner to the enforcement of this Code. This Code shall not be construed to lessen or reduce the responsibility of any person owning, operating or controlling any building or structure for any damages to persons or property caused by defects, nor shall DPD or the City of Seattle be held to have assumed any such liability by reason of the inspections and approval by this Code or any permits or certificates issued under this Code.

TABLE 11-1: ECONOMIZER COMPLIANCE OPTIONS FOR MECHANICAL ALTERATIONS

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

Table with 5 columns: Unit Type, Option A, Option B (alternate to A), Option C (alternate to A), Option D (alternate to A). Rows include Packaged Units, Split Systems, and various efficiency metrics like Efficacy min, Efficacy max, and Efficacy min.

- 1. Minimum equipment efficiency shall comply with Section 1411.1 and Tables 11-1A through 11-1D.
2. System and building shall comply with Section 1432 (including both the individual unit size and the total building capacity limits on units without economizer). It is acceptable to comply using one of the exceptions in Section 1433.
3. All equipment replaced in an existing building shall have an economizer complying with Sections 1413.3 and 1433 unless both the individual unit size and the total capacity of units without an economizer in the building is less than that allowed in Exception 1 to Section 1432.
4. All replacement equipment added to an existing building shall have an economizer complying with Sections 1413.3 and 1433 unless both the individual unit size and the total capacity of units without an economizer in the building is less than that allowed in Exception 1 to Section 1432.
5. Equipment shall have a capacity-weighted average ceiling system efficiency:
a. for units with a cooling capacity below 51,000 Btu/h, a minimum of 10% greater than the capacity values in Tables 14-1A and 14-1B;
b. for units with a cooling capacity of 51,000 Btu/h and greater, a minimum of 5% greater than the capacity values in Tables 14-1A and 14-1B;
c. for units with a cooling capacity of 51,000 Btu/h and greater, a minimum of 5% greater than the capacity values in Tables 14-1A and 14-1B;
6. Minimum of 50% air economizer that is installed in fully exposed path directly to outside air, pump and discharge, except that there are exceptions within 10 feet of the intake to an HVAC unit provided that they are properly filtered, so that the outside air is directed into the unit intake. This is an access to the amount of outside air supplied to the unit, the outside air supply system shall be capable of providing the additional outside air required with economizer control.
7. Have low level of variable minimum flow through the heat pump, that are not a separate variable speed pumping system complying with Section 1432.22 for heat pump.
8. When the total capacity of all units with this control valves exceeds 1% of the total system capacity, a variable frequency drive shall be installed on the main loop pump.
9. An alteration to this section may, upon approval of the average and the system efficiency that is 5% greater than the capacity values in (a) a minimum of 15% greater than the capacity values in Tables 14-1A and 14-1B.

as shown in Tables 14-1A and 14-1B.

- 8. Systems installed prior to 1991 without fully rated capacity are allowed to comply with Option B, provided that the individual unit cooling capacity does not exceed 30,000 Btu/h.
- 9. Transmitters not required for systems installed with water-cooled chillers and direct heat exchangers complying with previous codes between 1991 and 1994 shall comply with the 2009 State Energy Code, provided that the total fan coil load does not exceed the rated capacity of the heat exchangers.
- 10. For water-cooled chillers, equipment where the manufacturer's published capacity rating is not available shall comply with the provisions of the code as amended in accordance with the following:
  - 11. The adjusted chiller (COP) shall have an IPLV efficiency that is a minimum of 2% greater than the IPLV requirements in Table 14-1C (1.12) and IPLV values in Table 14-1C.
  - 12. The air cooled chiller shall:
    - a. have an IPLV efficiency that is a minimum of 2% greater than the IPLV requirements in Table 14-1C (1.10) and IPLV values in Table 14-1C; and
    - b. be installed with a minimum of two compressors.
  - 13. The water-cooled chiller shall have a (COP) IPLV efficiency that is at least 12% greater than the minimum of 2% greater than the (COP) IPLV requirements in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13) and (COP) IPLV values in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13). Water cooled chillers designed for non-steady state loads shall have an IPLV efficiency that is at least 15% lower than the adjusted maximum SPLV value in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13).
  - 14. The water-cooled chiller shall have a (COP) IPLV efficiency that is at least 2% greater than the minimum of 2% greater than the (COP) IPLV requirements in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13) and (COP) IPLV values in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13). Water cooled chillers designed for non-steady state loads shall have an IPLV efficiency that is at least 15% lower than the adjusted maximum SPLV value in Table 14-1C (1.10) and Table 14-1C (1.11) or Table 14-1C (1.12) and Table 14-1C (1.13).
  - 15. Compressor cooling shall be provided by adding a plus-four heat exchanger on the water side with a capacity that is a minimum of 225% of the chiller capacity at rated ACH and a condenser.
  - 16. The condenser coils shall have an efficiency that is a minimum of 85% of the value in Table 14-1C (1.10) and values in Table 14-1C, except for electric boilers.

Section 11. The following sections of Chapter 12 of the 2009 Washington State Energy Code are amended, and new sections are added to that Chapter, as follows:

Chapter 12 Energy Metering and Energy Consumption Management

1201 General. All buildings shall comply with Chapter 12. Whole building energy supply sources shall be metered to supply energy consumption data to the building owner to effectively manage energy. The building shall have a totalizing meter for each energy source.

1202 Whole Building Energy Supply Metering. For buildings with a gross conditioned floor area of 20,000 ft² and larger, measurement devices with remote communication capability shall be provided to collect energy use data for each energy supply source to the building including gas, electricity and district steam. The system shall collect energy use data for the total building and separately for each of the end-use categories listed in Sections 1202.1 through 1202.5 and Figure 12A.

- EXCEPTIONS:
- Buildings where the total value of each of the load types described in Sections 1202.1 through 1202.5 is less than the minimum of installed meters or other methods approved as equivalent by the building official.
  - Up to 2% of the total installed cost of such end-use category is declared in Sections 1202.1 through 1202.5 and is excluded from the energy submetering requirements of this chapter.
  - Separate metering is not required for fire pumps, standstill pressure control fans and associated life-safety systems that operate only during testing or emergencies.
  - Low-voltage lighting with loads in excess of 150 kVA may have submetering that measures electrical energy usage in accordance with the normal and essential electrical energy identified in Article 517 of the National Electrical Code.

All measurement devices shall be configured to automatically communicate the energy data to a data acquisition system. At a minimum, measurement devices shall provide daily data. The data acquisition system shall be capable of electronically storing the data for a minimum of 36 months, from the measurement devices and other sensing devices and creating user reports showing daily, monthly and annual energy consumption. The system shall be commissioned in accordance with Section 14.6.

(Meters with remote metering capability or automatic meter reading (AMR) capability shall be provided to collect energy use data for each energy supply source to the building including gas, electricity and district steam, that exceeds the thresholds listed in Table 12-1. Utility company service entrance interval meters are allowed to be used provided that they are configured for automatic meter reading (AMR) capability.

Meters submetering with remote metering capability (including current sensors or flow meters) shall be provided for the systems that exceed the thresholds in Table 12-1 to collect overall totalized energy use data for each end-use category in accordance with Table 12-2.)

Metering shall be digital-type meters for the main meter. Current sensors or flow meters are allowed for submetering. (For sub-systems with multiple similar units, such as multiple cooling towers, only one meter is required for the sub-system.) Existing buildings are allowed to reuse installed existing analog-type utility company service interval meters.

1202.1 HVAC System Total Energy Use. This category shall include all energy used to provide space heating, space cooling, and ventilation to the building including boilers, chillers, pumps, fans for supply, return, relief, exhaust, and parking garages, etc.

1202.2 Lighting System Total Energy Use. This category shall include all energy used by interior and exterior lighting, but not including plug-in task lighting.

1202.3 Plug Load System Total Energy Use. This category shall include all energy used by plugged-in task lighting, appliances, and other equipment and devices.

1202.4 Process Load System Total Energy Use. This category shall include all energy used by any non-building operation load (e.g. commercial refrigeration and cooking) that accounts for over 2% of the total building connected load. If the total process energy use is less than 2% of the total building connected load, the process energy use is allowed to be included in miscellaneous process energy use.

1202.5 Miscellaneous Total Energy Use. This category shall include energy use other than those specified in Sections 1202.1 through 1202.4 including domestic hot water, elevators and escalators, and swimming pools.

1203 Metering for New or Replacement Systems and Equipment. Where new or replacement systems or equipment is installed in an existing building, metering shall be installed so that that system or equipment is included in the total for the corresponding end-use category in accordance with Section 1202.

- EXCEPTIONS:
- Where new or replacement systems or equipment that falls below the threshold in Table 12-1 is installed in an existing building, the metering shall be in accordance with the requirements of the existing building code, or an additional metering shall be installed.
  - Where new or replacement systems or equipment (as identified) that exceeds the threshold in Table 12-1 is installed in an existing building, but was not required to be metered at the time of construction, metering shall be installed for that system or equipment in accordance with Section 1202.1 through 1202.5, except that a data acquisition system shall not be required for buildings less than 30,000 ft².

1204 Energy Display. For each building subject to Section 1202, a permanent, readily accessible and visible display shall be provided in the building accessible by building operation and management. A minimum the display shall be capable of providing the current energy

demand for the whole building, and energy for each energy source as well as the average and peak demands for the previous day and the same day the previous year, and the total energy usage for the previous 12 months.

EXCEPTIONS: For existing buildings where a data acquisition system is not required, compliance with Section 1204 shall not be required.

FIGURE 12A ENERGY SOURCE AND SEPARATE END-USE SUBMETERING

Energy Source	Separate End-Use Submetering
Electric service	HVAC, Lighting, Plug Load, Process, Miscellaneous Energy used in the building
Gas and steam service	HVAC, Process, Miscellaneous Energy used in the building
On-site renewable electric power	Electrical energy supplied to the project
District steam	Heat energy supplied to the project
On-site renewable thermal energy	Heat energy supplied to the project

Informative Note: Metering of on-site renewable thermal energy, such as for solar water heating systems, will typically require measurement of inlet and outlet temperatures and flow to determine the thermal energy.

TABLE 12-1 ENERGY SOURCE METER THRESHOLDS

Energy Source	Minimum Metering Threshold
Electric service	> 500 kVA
On-site renewable electric power	> 15 kVA (peak)
Gas and steam service	> 350 kW (1,000,000 Btu/h)
District steam	> 350 kW (1,000,000 Btu/h) heating
On-site renewable thermal energy	> 10 kW (30,000 Btu/h)

TABLE 12-2 COMPONENT ENERGY METER SUBMETERING THRESHOLDS

Component	Submetering Threshold
Chilled-water pump systems	> 70 kW (240,000 Btu/h) cooling capacity
Packaged AC unit systems	> 70 kW (240,000 Btu/h) cooling capacity
HVAC fan systems	> 15 kW (50 hp)
Relief fan systems	> 15 kW (50 hp)
Make-up air fan systems	> 15 kW (50 hp)
Pump systems	> 5 kW (16 hp)
Cooling tower systems	> 5 kW (16 hp)
Boilers, fire pumps and other heating equipment systems	> 200 kW (1,000,000 Btu/h) heating capacity
General lighting circuits	> 5 kVA
Miscellaneous electric loads	> 5 kVA

Section 12. The following sections or subsections of Chapter 12 of the 2009 Washington State Energy Code are amended, and new subsections are added, as follows:

FIGURE 12A BUILDING ENVELOPE COMPLIANCE OPTIONS

Section Number	Subject	Prescriptive Option	Component Performance Option	System Analysis Option
1310	General Building Envelope	X	X	X
1311	Insulation	X	X	X
1312	Glazing and fenestration and doors	X	X	X
1313	Mechanical Controls	X	X	X
1314	Air Leakage	X	X	X
1320	Prescriptive Building Envelope Option	X		
1321	General	X		
1322	Climate Envelope	X		
1323	Glazing Fenestration	X		
1330	Component Performance Building Envelope Option		X	
1331	General		X	
1332	Component Performance		X	
1333	Climate Envelope		X	
1334	Solar Heat Gain Coefficient		X	
1335	Visible Transmittance		X	
RS-29	Systems Analysis			X

1310.1 Conditioned Spaces: The building envelope for conditioned spaces shall also comply with one of the following paths:

- Prescriptive Building Envelope Option Sections 1320 through 1323.
- Component Performance Building Envelope Option Sections 1330 through 1335.
- Systems Analysis. See Section 114.1.

1310.3 Cold Storage and Refrigerated Spaces: Exterior and interior surfaces of frozen storage spaces or cold storage spaces in refrigerated warehouses may comply with either the prescriptive or component performance approach using insulation values in Table 13-3. The remainder of refrigerated warehouse area containing conditioned or semi-conditioned spaces shall comply by using either the prescriptive or component performance approach using Tables 13-1 and 13-2.

EXCEPTIONS:

- Areas within refrigerated warehouses that are designed solely for the purpose of storing and freezing of products with design cooling capacity of greater than 200,000 Btu/h (23 tons per 100 ft²).
- Controller temperature storage, storage bins and partitions shall be insulated.

TABLE 13-3 REFRIGERATED WAREHOUSE INSULATION

SPACE	SURFACE	ASSEMBLY MAXIMUM U-FACTOR (Btu/h-ft²-F)	INSULATION MINIMUM R-VALUE (ft²-h/ft-Btu)
Frozen Storage Spaces (20 ft or below) and Cold Storage Spaces (20 ft or below)	Exterior (Roof/Ceiling)	U-0.027	R-35 (R-30)
	Interior (Floor)	U-0.027	R-35 (R-30)
Cold Storage Spaces (20 ft or below)	Exterior (Roof/Ceiling)	U-0.027	R-35 (R-30)
	Interior (Floor)	U-0.027	R-35 (R-30)

EXCEPTIONS: (1) Interior partitions include any wall, floor, or ceiling separating two adjacent spaces, or cold storage spaces from non-cold storage spaces, or conditioned spaces from non-conditioned spaces.

1311.5 Slab-On-Grade Floor: Slab-on-grade insulation shall be placed on the outside of the foundation or on the inside of the foundation wall. The insulation shall extend downward from the top of the slab for a minimum distance of 24 inches or downward to at least the bottom of the slab and then horizontally to the exterior or interior for the total distance of 24 inches. Above grade insulation shall be protected. A 2-inch by 2-inch (maximum) nailer may be placed at the finished floor elevation for attachment of interior finish materials. (Slab-on-grade insulation installed inside the foundation wall shall extend downward from the top of the slab a minimum distance of 24 inches or to the top of the footing, whichever is less. Insulation installed outside the foundation shall extend downward a minimum of 24 inches or to the frost line, whichever is greater. Above grade insulation shall be protected.

EXCEPTIONS: For existing buildings, insulation shall extend down from the top of the slab to the bottom of the footing.

1311.6 Radiant Floors (on or below grade): Slab-on-grade insulation shall extend downward from the top of the slab a minimum distance of 36 inches or downward to the top of the footing and horizontal for an aggregate of 36 inches or 36 inches.

(If required by the building official where soil conditions warrant such insulation.) The entire area of a radiant floor shall be thermally isolated from the soil. Where a soil gas control system is provided below the radiant floor, which results in increased convective flow below the radiant floor, the radiant floor shall be thermally isolated from the slab-floor gravel layer.

1312 ((Glazing)) Fenestration and Doors

1312.1 Standard Procedure for Determination of ((Glazing)) Fenestration and Door U-Factors: U-factors for ((glazing)) fenestration and doors shall be determined, certified and labeled in accordance with Standard RS-31 by a certified independent agency licensed by the National Fenestration Rating Council (NFRC). Compliance shall be based on the ((Residential or the Non-Residential)) Model Size in Table 4-3 of RS-31. Product samples used for U-factor determinations shall be production line units or representative of units as purchased by the consumer or contractor. Unlabeled ((glazing)) fenestration and doors shall be assigned the default U-factor in Table 10-6.

1312.2 Solar Heat Gain Coefficient and ((Shading Coefficient)) Visible Transmittance: Solar Heat Gain Coefficient (SHGC) and Visible Transmittance (VT) shall be determined, certified and labeled in accordance with the National Fenestration Rating Council (NFRC) Standard by a certified, independent agency, licensed by the NFRC.

EXCEPTIONS:

- Shading coefficients (SC) or solar heat gain coefficients for the entire unit shall be acceptable, provided compliance with solar heat gain coefficient requirements. Shading coefficients or solar heat gain coefficients for the entire glazing area shall be taken from Chapter 15 of Standard RS-11 or from the national standard (RS-11) data source, where data is determined in accordance with NFRC 200.
- For the purposes of 1312.2, exception 1, visible transmittance for the entire glazing assembly shall be taken from Chapter 15 of Standard RS-11 or from the national standard data source as specified in accordance with NFRC 200.
- For dynamic shading, the minimum SHGC shall be used to determine compliance with this section. Dynamic shading shall be considered separately for other window fenestration, and a separate label shall be required to report on that fenestration's label if it can be required.

Informative Note: Using the exception for the SHGC for the entire glazing assembly does not mean the label credit for the overall product (including the frame) that the NFRC-certified SHGC values. The SHGC for the frame is not part of the SHGC. Handbook of Building Glazing Performance, the SHGC can range from 0.11-0.12 for metal frames, and from 0.25-0.27 for wood frames. The SHGC for frames, the SHGC for the frame is not part of the SHGC. Consequently, an NFRC-certified SHGC will generally be lower. Consequently, the VT for the entire glazing assembly (the VT for the overall product including the frame). The VT for the frame is zero. Consequently, an NFRC-certified VT will always be lower. For this reason, Exception 2 to Section 13.2.2 is only applicable to Exception 1 in Section 1323. It is not applicable to other sections.

1313.2 Roof/Ceiling Assemblies: Roof/ceiling assemblies where the ventilation space above the insulation is less than an average of 12 inches shall be provided with a vapor retarder. (For enclosed or sealed or other spaces, see Section 1305.2 of the ((International)) Seattle Building Code.) Roof/ceiling assemblies without a vented airspace, allowed only where neither the roof deck nor the roof structure are made of wood, shall provide a continuous vapor retarder with taped seams.

- EXCEPTIONS:
- Vapor retarders need not be provided where all of the insulation is installed below the conditioned level structural roof deck.
  - Unvented attic assemblies (spaces between the ceiling joists of the top story and the roof rafters) shall be permitted if all of the following conditions are met:

1. The created air space is completely confined within the building thermal envelope.
2. No electric sensor or meter is installed on the ceiling side (top floor) of the created air assembly.
3. Where wood shingles or shakes are used, a minimum 4-inch (103 mm) vertical air space separates the shingles or shakes from the ceiling ceiling from above the structural sheathing.
4. Any air-permeable insulation shall be a vapor retarder, or shall have a vapor retarder coating or covering if other installed in the underside of the finished floor.
5. Other items a barrier will be tested, depending on the air permeability of the insulation, directly under the structure of sheathing.
6. A vapor retarder is required only. Insulation shall be applied in direct contact to the underside of the structure, roof sheathing.
7. Air-permeable insulation only. Insulation shall be applied in direct contact to the underside of the structure, roof sheathing.
8. Air-permeable insulation only. In addition to the air-permeable insulation installed directly below the structure, sheathing, rigid board or other insulation shall be installed directly above the structure, roof sheathing as specified per WA Climate Zone for condensation control.
9. Climate Zone 1: R-10 minimum rigid board or air-permeable insulation R-value.
10. Climate Zone 2: R-25 minimum rigid board or air-permeable insulation R-value.
11. Air-permeable and air-permeable barrier. The air-permeable barrier shall be applied in direct contact to the underside of the structure, roof sheathing as specified per WA Climate Zone for condensation control. The air-permeable barrier shall be installed directly under the air-permeable insulation.
12. Climate Zone 1: R-10 minimum rigid board or air-permeable insulation R-value.
13. Climate Zone 2: R-25 minimum rigid board or air-permeable insulation R-value.

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**1314.2 ((Glazing)) Fenestration and Doors:** Air leakage for fenestration and doors shall be determined in accordance with NFRC 400 or AAMA/WDMA/CSA 1014.5.2/A440 or ASTM E283 as specified below. Air leakage shall be determined by a laboratory accredited by a nationally recognized accreditation organization, such as the National Fenestration Rating Council, and shall be labeled and certified by the manufacturer. Air leakage shall not exceed:

- a. 1.0 cfm/ft<sup>2</sup> for glazed swinging entrance doors and revolving doors, tested at a pressure of at least 1.57 pounds per square foot (psf) in accordance with NFRC 400, AAMA/WDMA/CSA 1014.5.2/A440, or ASTM E283.
- b. 0.04 cfm/ft<sup>2</sup> for curtain wall and storefront ((glazing)) fenestration, tested at a pressure of at least 1.57 pounds per square foot (psf) in accordance with NFRC 400, AAMA/WDMA/CSA 1014.5.2/A440, or ASTM E283.
- c. 0.2 cfm/ft<sup>2</sup> for all other products when tested at a pressure of at least 1.57 pounds per square foot (psf) in accordance with NFRC 400 or AAMA/WDMA/CSA 1014.5.2/A440, or 0.2 cfm/ft<sup>2</sup> when tested at a pressure of at least 6.24 pounds per square foot (psf) in accordance with AAMA/WDMA/CSA 1014.5/A440.

**EXCEPTIONS:**

1. Openings that are required to be fire resistant.
2. Field-fabricated fenestration and doors that are otherwise tested in accordance with Section 1314.4. **A fire-rated fenestration product is a fenestration product installed in a glazed exterior core where a portion of the construction and/or structural elements or other materials that were not previously cut or otherwise tested with the specific function of being used to fabricate a fenestration, opening or exterior door.** Field-fabricated doors do not include curtain walls.
3. The garage doors, air louvers, dormers, by test at standard test conditions in accordance with ANSI/DAMA 10 shall be an acceptable alternate for compliance with air leakage requirements.
4. Units without air leakage ratings produced by small contractors for use weatherstripped to control air infiltration per Section 1314.1.

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**1314.6 Continuous Air Barrier:** For all buildings (over five stories), the building envelope shall be designed and constructed with a continuous air barrier to control air leakage into and out of the conditioned space. All air barrier components of each envelope assembly shall be clearly identified on construction documents and the joints, interconnections and penetrations of the air barrier components shall be detailed.

Construction documents shall also contain a diagram showing the building's pressure boundary in plan(s) and section(s) and a calculation of the area of the pressure boundary to be considered in the test.

**Informative Note:** As stated above, the continuous air barrier is intended to control the air leakage into and out of the conditioned space. The definition of conditioned space includes unheated spaces and includes unconditioned spaces if those spaces are isolated when measuring the continuous air barrier and when determining the pressure boundary for conducting the air leakage test. However, unheated spaces are not included when determining the pressure boundary.

The air leakage test is done using calibrated fans. The amount of airflow (q) in (as defined for temperature and pressure) at 75 Pa (2.3" w.g.) measured during the test is divided by the area of the building envelope (the pressure boundary) included in the test. Make sure that building envelope area by 0.4 q/wg will provide an indication of how many fans are needed to perform the test flow being done or fan capacity.

**1314.6.1 Characteristics:** The continuous air barrier shall have the following characteristics:

- a. The air barrier component of each assembly shall be joined and sealed in a flexible manner to the air barrier component of adjacent assemblies, allowing for the relative movement of these assemblies and components. This requirement shall not be construed to restrict the materials or methods by which the air barrier is achieved.
- b. It shall be capable of withstanding positive and negative combined design wind, fan and stack pressures on the air barrier with no damage or displacement, and shall transfer the load to the structure. It shall not displace adjacent materials under full load.
- c. It shall be installed in accordance with the manufacturer's instructions and in such a manner as to achieve the performance requirements.

**1314.6.2 Compliance:** Compliance of the continuous air barrier for the (opaque) building envelope shall be demonstrated by testing the completed building and demonstrating that the upper 95% confidence interval for the air leakage rate of the building envelope does not exceed 0.40 cfm/ft<sup>2</sup> at a pressure differential of 0.2 inch w.g. (1.57 psf) as specified below:

- a. Whole building testing shall be accomplished in accordance with ASTM E 779 or approved similar test. Tests shall be accomplished using either (1) both pressurization and depressurization or (2) pressurization alone, but not depressurization alone (or depressurization or both). The building shall not be tested unless it is verified that the continuous air barrier is in place and installed without failures in accordance with installation instructions so that repairs to the continuous air barrier, if needed to comply with the required air leakage rate, can be done in a timely manner. Following are

comments referring to ASTM E 779:

- b. Under ASTM E 779 it is permissible to test using the building's HVAC system... In buildings with multistory HVAC systems and shafts it is permissible to test using the building's mechanical system using CAN/CGSB-149.15-96 (Determination of the Overall Envelope Airtightness of Buildings by the Fan Pressurization Method Using the Building's Air Handling Systems, Canadian General Standards Board, Ottawa.
- c. ((In lieu of the fan pressurization method described in ASTM E 779, a tracer gas test of the building air change rate in accordance with ASTM E 741 is also allowed. The tracer gas test shall be run with building HVAC fans off.)) **Revised:**
- d. Section 8.1 - For purposes of this test, a multistory building shall be configured as a single zone by opening all interior doors, and otherwise connecting the various spaces as much as possible. It is also allowed to test a smaller section of the building, provided the test area can be isolated from neighboring conditioned zones by balancing the pressure in adjacent conditioned zones to that in the zone being tested. This can be very difficult to do in buildings with multistory shafts and HVAC systems. If a smaller section of the building is tested, provide a drawing showing the zone(s) tested, the pressure boundaries and a diagram of the testing equipment configuration.
- e. Section 8.2 - Seal all intentional functional openings such as exhaust and relief louvers, grilles and dryer vents that are not used in the test to introduce air, using plastic sheeting and duct tape or similar materials. All plumbing traps shall be filled with water.
- f. Section 8.10 - The test pressure range shall be from ((44)) 25 Pa to 80 Pa ((if approved by the building official, less test pressure is acceptable)), but the upper limit shall not be less than 50 Pa, and the difference between the upper and lower limit shall not be less than 25 Pa.
- g. Section 9.4 - If both pressurization and depressurization are not tested, plot the air leakage against the corrected P for ((either)) pressurization (or depressurization).
- h. Section 9.6.4 - If the pressure exponent n is less than ((0.5)) 0.45 or greater than ((1)) 0.85, ((corrective work shall be performed to the continuous air barrier and)) the test shall be rerun with additional readings over a longer time interval.
- i. Section 10.4 - Report the air leakage rate normalized in cfm/ft<sup>2</sup> at 0.2 inch w.g. (1.57 psf) over the total area of the building envelope air pressure boundary including the lowest floor, any below-grade walls, above-grade walls, and roof (or ceiling) (including windows and skylights) separating the interior conditioned space from the unconditioned environment.

**Informative Note:** Those familiar with building air leakage testing indicate that there are three critical areas: the location at the top of the building between interior and exterior walls and the roof; the wall area around the perimeter of the windows and the window frames; and the doors and other penetrations used to support exterior features such as awnings and canopies. For buildings with excessive air leakage, there is a real solution to improve air leakage performance: ASTM E 1186-03 Standard Practice For Air Leakage Site Detection in Double-Paneled and Air Barrier Systems.

**1314.6.3 Certificate of Occupancy:** A final certificate of occupancy shall not be issued for the building, or portion thereof, until such time that the building official determines that the project complies with one of the following:

- a. **Option 1:**
  - i. the continuous air barrier has been inspected by a qualified person (such as the designer or a building commissioning agent) who is not associated with the general contractor company and an inspection report by that person has been submitted to the building official; and
  - ii. the building, or portion thereof, has been field tested in accordance with Section 1314.6.2, and the test report for the whole building air leakage testing in accordance with Section 1314.6.2 is provided to DPH and filed with the inspection record for the project.

**Informative Note:** Option 1 does not require that testing achieve 0.40 cfm/ft<sup>2</sup>. The requirement is that the testing be executed in accordance with Section 1314.6.2.

- b. **Option 2:**
  - i. the building, or portion thereof, has been field tested in accordance with Section 1314.6.2 and the building air leakage does not exceed that allowed in Section 1314.6.2.

**Informative Note:** As of 2009, the U.S. Army Corps of Engineers limits air leakage in its facilities to 0.25 cfm/ft<sup>2</sup> for good protection and 0.15 cfm/ft<sup>2</sup> to reduce energy use in accordance with the 2005 U.S. Energy Policy Act. To meet building level goals in the future, use of 0.15 cfm/ft<sup>2</sup> is a good objective and a goal that may have to be used and revised to meet these requirements when buildings are designed and constructed with attention to details. For further information on comparisons of building envelope air leakage standards and test procedures, see "U.S. Army Corps of Engineers Air Leakage Protocol for Measuring Air Leakage in Buildings," "Control the Air Leakage in Tall Buildings" by Colin Gordon, ASHRAE Journal, April 2009, pages 50-60, and "Guidance for the Design of Tall Buildings to Determine Envelope Air Leakage Rate" by William Raftery, Gregoire Vuill, and Brian Lee, ASHRAE Transactions, 999, V. 105, Pt. 2.

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**1314.7 Vestibules:** Building entrances that separate conditioned space from the exterior shall be enclosed vestibules, with all doors opening into and out of the vestibule equipped with self-closing devices. Vestibules shall be designed so that passing through the vestibule it is not necessary for the interior and exterior doors to open at the same time. Interior and exterior doors shall have a minimum clearance between them of not less than 7 ft and a maximum clearance of no more than 20 ft when in the closed position. The exterior envelope of conditioned vestibules shall comply with the requirements for a conditioned space. Either the interior or exterior envelope of unconditioned vestibules shall comply with the requirements for a conditioned space. **The building lobby is not considered a vestibule.**

**EXCEPTIONS:**

1. Buildings entrances with vestibule doors.
2. Doors are intended to be used as a building entrance.
3. Buildings entrances in buildings with access from four stories above grade and less than 70,000 ft<sup>2</sup> in area.
4. Doors that open directly to a space that is less than 3,000 ft<sup>2</sup> in area and is separate from the building entrance.
5. Entrances to semi-enclosed spaces.
6. Entrances to areas that are not occupied for the majority of the year and are not subject to a code level of occupancy.

**Informative Note:** If a building entrance is enclosed as a vestibule, the main entrance to a space is by the building, so this does not include the vestibule access doors that can be closed to a vestibule door. Doors other than building entrance doors that lead to service areas, mechanical rooms, elevator equipment rooms, or exits from fire stairways, are not covered by this requirement. There is less traffic through these doors and the vestibule may limit access for large equipment. The used lobbies in parking garages also serve to reduce the flow of vehicles as well as the building.

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**1321 General:** This section establishes building envelope design criteria in terms of prescribed requirements for building construction. Compliance shall be evaluated separately for the building envelope for nonresidential spaces and for residential spaces.

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**1322 Opaque Envelope:** Roof ceilings, opaque exterior walls, opaque doors, floors over unconditioned space, below-grade walls, slab-on-grade floors and radiant floors enclosing conditioned spaces shall be insulated according to Section 1311 and Tables 13-1 or 13-2. Compliance with nominal R-values shall be demonstrated for the thermal resistance of the added insulation in framing cavities and/or insulated sheathing only. Nominal R-values shall not include the thermal transmittance of other building materials or air films.

For walls where the proposed assembly would not be continuous insulation, Table 13-1 contains two alternate nominal R-value compliance options for assemblies with isolated metal penetrations of otherwise continuous insulation. These alternate nominal R-value compliance options are allowed for projects complying with all of the following:

- a. The ratio of the cross-sectional area, as measured on the plane of the wall, of metal penetrations of otherwise continuous insulation to the overall opaque wall area is:
  - i. less than 0.0004 (less than 0.04%),
  - ii. less than 0.0008 (less than 0.08%).
- b. The metal penetrations of otherwise continuous insulation are isolated or discontinuous (e.g. brick ties or other discontinuous metal attachments, offset brackets supporting a shelf angle) that allow insulation to go between the shelf angle and the primary portions of the wall structure. No continuous metal elements (e.g. metal studs, z-chairs, z-channels, steel angles) penetrate the otherwise continuous portion of the insulation.
- c. Every wall assembly shall comply with the alternate nominal R-value compliance option, regardless of where the metal penetrations are located. All wall assemblies (e.g. masonry, steel-framed, wood-framed) shall comply with the option in Table 13-1 corresponding to the cross-sectional area of metal penetrations as a percentage of the overall opaque wall area.
- d. Building permit drawings shall contain details showing the locations and dimensions of all in-plane metal penetrations (e.g. brick ties or other discontinuous metal attachments, offset brackets, etc.) of otherwise continuous insulation. In addition, calculations shall be provided showing the ratio of the cross-sectional area of metal penetrations of otherwise continuous insulation to the overall opaque wall area.

For other cases where the proposed assembly is not continuous insulation, see Section 1332 for determination of U-factors for assemblies that include metal other than screws and nails.

For the U-factor compliance for all opaque components, including metal fire-rated assemblies (used in spaces with electric resistance space heat), compliance shall be demonstrated with the component U-factor for the overall assembly based on the assemblies in Chapter 16.

Area-weighted averaging of the R-values is not allowed. When showing compliance with R-values, the minimum insulation R-value for all areas of the component shall comply with Tables 13-1 and 13-2. When calculating compliance using U-factors, area-weighted averaging is allowed. Where insulation is tapered (e.g. roofs), separate assembly U-factors shall be calculated for each four foot section of tapered insulation.

**EXCEPTIONS:**

1. Opaque areas that are not required to meet insulation requirements.
2. For roofs with rigid continuous insulation on the top of the roof, the insulation R-value may be averaged for compliance with minimum U-factor requirements, provided the following:
  - a. The minimum insulation is not less than 1.5 inches of insulation area within 6 inches of each roof drain.
  - b. The area-weighted average insulation is R-6.0 in lieu of 3.00.

**Informative Note:** For the application of the building envelope requirements to elevator shafts and shaft enclosures, see the definition of shaft enclosure in Chapter 2.

**Informative Note:** For the definition of opaque insulation, see Chapter 2. The alternate nominal R-value compliance options provided in Section 1322 and the default U-factors in Table 13-1 for assemblies with both metal penetrations are intended to offer several simple prescriptive choices in lieu of the complicated calculations required to determine the U-factors of assemblies with metal bearings.

While specific calculations need to be done for each project, previous calculations have found the following approximate metal penetration variations which will have a net penetration area ratio of 0.0002 (0.02% of the overall area of wall area): 4000 brick ties (that penetrate otherwise continuous insulation) that project out to support a shelf angle (so that the shelf angle does not penetrate otherwise continuous insulation) and the insulation cavity between the shelf angle and the stud (or) could have a metal penetration area ratio of 0.0003 (0.03% of the overall opaque wall area). While this is less than the maximum metal penetration area ratio of otherwise continuous insulation for a design with only these two metal penetration types is likely to be within the range where it could satisfy the use of the alternate nominal R-value compliance options.





For all products, the manufacturer and product name shall include the manufacturer and model number for all products regardless of U-factor.

The manufacturer and product name shall include the product type, size, diameter of each type, the U-factor, and whether the U-factor is NFRC-certified or default.

If the product is claimed to be NFRC-certified, the NFRC Certified Products Directory (CPD) number shall be provided. A simulation report is not acceptable as it does not demonstrate the product complies with the NFRC rating and certification program. When a manufacturer does not have a "determined" U-factor with NFRC, the manufacturer shall provide a test report as defined by ANSI A133.0 ONLY at the time of building permit application. It is acceptable to provide simulation reports from a NFRC-recognized simulation laboratory for each product type that is to be installed in the project. The simulation report include the specific frame profiles, glazing options, gas fills, spacers, etc. that are proposed to be installed in the building. However, the NFRC Label Certification is required to be provided to the building inspector at the construction site. (AMCA 907 covers thermal performance analysis and verification of manufacturer-provided acceptable.)

If a default U-factor from Chapter 15 is used for untested products in lieu of NFRC certification, the manufacturer and product name shall include a description of the energy efficiency features that are necessary to achieve that default U-factor (indicating whether the fenestration product is fixed or operable, frame material type, thermal break assembly, number of glazing layers, emissivity of low-e coatings, gas fills, spacers, frame size).

For fenestration in non-occupied spaces, the manufacturer shall also include the solar heat gain coefficient for each product and where applicable, visible transmittance.

Section 13. The following sections or subsections of Chapter 14 of the 2009 Washington State Energy Code are amended, and new subsections are added, as follows:

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FIGURE 14A  
MECHANICAL SYSTEMS COMPLIANCE PATH

Section Number	Subject	Simple Systems		Complex Systems		Systems Analysis Option
		Path	Path	Path	Path	
1410	General Requirements	X	X	X	X	X
1411	(1411.4) Determined Unitary Equipment Performance Requirements	X	X	X	X	X
1412	Controls	X	X	X	X	X
1413	Air Exhausters	X	X	X	X	X
1414	Ducting Systems	X	X	X	X	X
1415	Piping Systems	X	X	X	X	X
1416	Compliance Requirements	X	X	X	X	X
1417	Simple Systems (Factory-Cast Unitary Equipment)	X				
1418	System Type	X				
1419	Controls	X				
1420	Economizers	X				
1421	Separate Air Distribution Systems	X				
1422	Control Systems		X			
1423	System Type		X			
1424	Controls		X			
1425	Economizers		X			
1426	Separate Air Distribution Systems		X			
1427	Simultaneous Heating and Cooling		X			
1428	Off-Peak Energy Recovery		X			
1429	Heat Exchanger Efficiency		X			
1430	Variable Flow Systems		X			
1431	Exhaust Ducts		X			
RS-20	Systems Analysis					X
1440	Domestic Water Systems	X	X	X	X	X
1441	Water-Heater Insulation	X	X	X	X	X
1442	Shut-Off Controls	X	X	X	X	X
1443	Leak Detection	X	X	X	X	X
1444	Conservation of Water and Pipeline Energy	X	X	X	X	X
1445	Heat Recovery for Domestic Water Systems	X	X	X	X	X
1446	Thermostatic Hot Water Valves	X	X	X	X	X
1447	Dealt Tank	X	X	X	X	X
1448	Chests	X	X	X	X	X
1449	Pool Water Heaters	X	X	X	X	X
1450	Controls	X	X	X	X	X
1451	Pool Covers	X	X	X	X	X
1452	Heat Recovery	X	X	X	X	X
1453	Cold Storage	X	X	X	X	X
1454	Refrigerated Warehouse Heating and Cooling	X	X	X	X	X
1455	Production Heating	X	X	X	X	X
1456	Temperature	X	X	X	X	X
1457	Controls	X	X	X	X	X
1458	Occupancy	X	X	X	X	X
1459	Compressed Air and Vacuum Air	X	X	X	X	X
1460	Sanitary Food Service	X	X	X	X	X

1402 Mechanical Ventilation: The minimum requirements for ventilation shall comply with the (Washington State Mechanical Code (WAC 51-52)) Seattle Mechanical Code.

1410 General Requirements: The building mechanical system shall comply with Sections 1411 through 1416, Sections 1430 through 1433, Sections 1450 through 1451, Sections 1470 and 1475, and with one of the following paths:

- a. Simple Systems (Packed Unitary Equipment), Sections 1420 through 1424
- b. Complex Systems, Sections 1430 through 1439
- c. Systems Analysis. See Section 1414.

Systems serving cold storage spaces and frozen storage spaces in refrigerated warehouses shall meet the requirements of Sections 1416, 1437, and 1460 through 1465.

1411 (HVAC) Mechanical Equipment Performance Requirements.

Informative Note: As indicated in Section 1125, the Energy Code applies to industrial facilities, as well as commercial and industrial processes. Thus, the equipment efficiency requirements apply to industrial facilities, as well as systems and equipment used in commercial and industrial processes.

1411.1 General: Equipment shall have a minimum performance at the specified rating conditions not less than the values shown in Tables 14-1A through 14-1G. Air conditioners primarily serving computer rooms and covered by ASHRAE Standard 127 shall comply with the requirements in Table 14-1A(2). All other air conditioning shall comply with the requirements in Table 14-1A(1). If a nationally recognized certification program exists for a product covered in Tables 14-1A through 14-1G, and it includes provisions for verification and change of equipment efficiency ratings, then the product shall be listed in the certification program.

Informative Note: The AHRI certification program is nationally recognized and it does include provisions for verification and change of equipment efficiency ratings. Consent units, if equipment is subject to an AHRI Standard, shall be listed in the AHRI certification program.

For equipment not within the scope of the standards in Table 14-1A through 14-1G, this Code does not contain any minimum efficiency requirements. However, for any claims of efficiency, such as for calculations using the RS-20 compliance option, data shall be furnished by the equipment manufacturer consisting of a complete report from a test performed by an independent laboratory accredited by a nationally recognized accreditation organization.

Gas-fired and oil-fired forced air furnaces with input ratings  $\geq 225,000$  Btu/h (65 kW) and all oil burners shall also have an intermittent ignition or interrupted device (IID), and have either mechanical draft (including power venting) or a flue damper. A vent damper is an acceptable alternative to a flue damper for furnaces where combustion air is drawn from the conditioned space. All furnaces with input ratings  $\geq 225,000$  Btu/h (65 kW), including electric furnaces, that are not located within the conditioned space shall have jackets losses not exceeding 0.75% of the input rating.

Chilled water plants and buildings with more than 500 tons total capacity shall not have more than 10% tons provided by air-cooled chillers.

EXCEPTIONS:

- 1. Where the designer demonstrates that the water quality at the building site fails to meet manufacturer specifications for the use of manufacturer equipment.
- 2. Air-cooled chillers with minimum efficiency at least 10 percent higher than those listed in Table 14-1F.
- 3. Replacement of existing equipment.

Cooling towers serving chilled water systems shall be selected to be able to maintain a return condenser water temperature to the lower of 86°F or less at peak design conditions, except for replacement cooling towers of the same or smaller capacity in existing buildings where physical constraints preclude a change from the original design.

Hydraulic heat pump and other cooling and refrigeration equipment (e.g., ice makers, walk-in coolers) shall not use domestic water only one time before dumping it to waste (no single pass water cooling systems are allowed). The only exceptions are: replacement of existing ice makers, or use of single pass cooling for medical and dental equipment during power outages and other emergencies.

1411.2 Rating Conditions: Cooling equipment shall be rated at AHRI test conditions and procedures when available. If equipment is rated in accordance with an AHRI Standard, it shall be rated at AHRI Standard Rating Conditions, not "design" conditions. Where no applicable procedures exist, data shall be furnished by the equipment manufacturer consisting of a complete report from a test performed by an independent laboratory accredited by a nationally recognized accreditation organization.

1411.2.1 Water-Cooled Centrifugal Water-Chilling Packages--Nonstandard Conditions: Water-cooled centrifugal water-chilling packages that are not designed for operation at AHRI Standard 550:550 test conditions reflected in Table 14-1C (44°F leaving chilled-water temperature and 85°F entering condenser water temperature with 3 gpm/ton condenser water flow) shall have maximum full-load kW/ton and NPLV ratings adjusted using Equation 14-1.

The adjusted full-load and NPLV values are only applicable over the following full-load design ranges:

- Minimum leaving chilled water temperature: 38°F;
- Maximum condenser entering water temperature: 102°F;
- Condenser water flow: 1 to 6 gpm/ton; and
- $X > 39$  and  $< 60$ .

Chillers designed to operate outside of these ranges or applications utilizing fluids or solutions with secondary coolants (e.g., glycol solutions or brines) with a freeze point of 27°F or lower from freeze protection are not covered by this standard.

EQUATION 14-1

Adjusted maximum full-load kW/ton rating = (Full load kW/ton from Table 14-1C)  $K_{adj}$

Adjusted maximum NPLV rating = (NPLV from Table 14-1C)  $K_{adj}$

Where:

$K_{adj} = 6.174722 - 0.303668(X) + 0.00629466(X^2) - 0.00004576(X^3)$

X =

$DT_{adj} = (24 \text{ h Full load kW/ton from Table 14-1C}) \times (6.83) / \text{Flow}$

Flow = Condenser water flow (gpm)/cooling full load capacity (tons)

LIFT = CEWT - CLWT

CEWT = Full load condenser entering water temperature (°F)

CLWT = Full load condenser leaving chiller water temperature (°F)

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1411.4 Packaged and Split System Electric Heating and Cooling Equipment:

Packaged and split system electric equipment providing both heating and cooling, and cooling only equipment with electric heat in the main supply duct before VAV boxes, in each case with initial loading capacity greater than 30,000 Btu/h, shall be a heat pump.

EXCEPTION: Unheated equipment ducts or ducts used solely for personal services facilities.

Informative Note: This does not apply to VAV systems if the equipment is provided that there is no electric heat in the main supply duct. Electric heat is allowed in the terminal units.

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1412.4 Setback and Shut-off: HVAC systems shall be equipped with automatic controls capable of accomplishing a reduction of energy use through control setback or equipment shut-down during periods of nonuse or alternate use of the spaces served by the system. The automatic controls shall:

- a. Have a minimum seven-day clock and be capable of being set for seven different day types per week.
- b. Be capable of retaining programming and time setting during loss of power for a period of at least ten days, and
- c. Include an accessible manual override or equivalent function (e.g., telephone interface), that allows temporary operation of the system (five up to two hours).

EXCEPTIONS:

- 1. Systems serving areas which require continuous operation at the same temperature setpoint.

- 2. Equipment with full load demand at 2 kW (6,826 Btu/h) or less may be controlled by readily accessible manual override controls.
- 3. Systems controlled by an occupant sensor that is capable of detecting the system's return air temperature at a period of up to 23 minutes.
- 4. Systems controlled solely by a manually operated timer capable of operating the system for no more than one hour.

For hotel and motel guest rooms, a minimum of one of the following control technologies shall be required in hotel/motel rooms with over 50 guest rooms such that the space temperature would automatically setback (winter) or set up (summer) by no less than 3°C (5°F) when the occupant is not in the room:

- 1. Controls that are not valued by the room occupant via the primary room access method (key, card, deadbolt, etc).
- 2. Occupancy sensor controls that are activated by the occupant's presence in the room.

1412.4.1 Dampers: Outside air intakes, exhaust outlets and relief outlets serving conditioned spaces shall be equipped with motorized dampers which close automatically when the system is off or upon power failure. Return air dampers shall be equipped with motorized dampers. Stair shaft and elevator shaft smoke relief openings shall be equipped with normally open (fails to open upon loss of power) dampers. These dampers shall remain closed until activated by the fire alarm system or other approved smoke detection system.

EXCEPTIONS:

- 1. Systems serving areas which require continuous operation.
- 2. Combustion air intakes.
- 3. Gravity-powered relief outlets are acceptable in areas with a design air change rate of at least one per hour (30 air changes per hour with less than 5,000 cfm and a supply flow when the building is not occupied) (See Section 1412.4.1.1).
- 4. Type I gravity-based exhaust.

Informative Note: Per RS-9, Section 6.3.1.1.5, relief dampers are part of an air exhauster system that prevents pressurization of the building. Other exhausts are not considered relief and require motorized dampers.

Dampers installed to comply with this section, including dampers integral to HVAC equipment, shall have a maximum leakage rate when tested in accordance with AMCA Standard 560 of:

- (a) Motorized dampers: 10 cfm/ft<sup>2</sup> of damper area at 1.0 in w.g.
- (b) Nonmotorized dampers: 20 cfm/ft<sup>2</sup> of damper area at 1.0 in w.g., except that for nonmotorized dampers smaller than 24 inches in either dimension: 40 cfm/ft<sup>2</sup> of damper area at 1.0 in w.g.

Drawings shall indicate compliance with this section.

1412.4.1.1 Damper Controls: Dampers for outdoor air supply and exhaust shall automatically shut when the systems or spaces served are not in use or during building warm-up, cooldown, and setback. Operation of dampers shall be allowed during ventilation prepurge one hour before expected occupancy and for unoccupied periods precooling during the cooling season.

Classrooms, gyms, auditoriums and conference rooms larger than 500 square feet of floor area shall have occupancy sensor control that will either close outside air dampers or turn off serving equipment when the space is unoccupied except where equipped with another means to automatically reduce outside air intake below design rates when spaces are partially occupied.

1412.4.2 Optimum Start Controls: Heating and cooling systems with design supply air capacities exceeding 2,000 cfm shall have optimum start controls. Optimum start controls shall be designed to automatically adjust the start time of an HVAC system each day to bring the space to desired occupied temperature levels immediately before scheduled occupancy. The control algorithm shall, as a minimum, be a function of the difference between space temperature and occupied setpoint and the amount of time prior to scheduled occupancy.

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1412.5 Heat Pump Controls: (Unitary air-cooled heat pumps shall include microprocessor controls that minimize supplemental heat usage during start-up, set-up, and deboost conditions. These controls shall anticipate need for heat and use compression heating as the first stage of heat. Controls shall indicate when supplemental heating is being used through visual means (e.g., LED indicators). Heat pumps equipped with supplementary heat shall be installed with controls that prevent supplemental heat operation when a 40°F (4°C) heat pumps with supplementary electric resistance heaters shall have controls complying with the following requirements:

- 1. Prevent supplementary heater operation when the heating load can be met by the heat pump alone; and
- 2. The cut-on temperature for compression heating shall be higher than the cut-off temperature for supplementary heating, and the cut-off temperature for compression heating shall be higher than the cut-off temperature for supplementary heating.

All heat pumps installed shall include the capability to lock out the supplementary heat based on outdoor temperature. This control shall have a maximum setting of 40°F. At final inspection, the lock out control shall be set to 32°F or less.

EXCEPTION: The controls may allow operation of supplementary heat during start-up.

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1412.8 Demand Control Ventilation.

1412.8.1 Ventilation Controls for High-Occupancy Areas: Demand control ventilation (DCV) is required for spaces that are larger than 500 ft<sup>2</sup>, have an occupant density for ventilation of greater than 25 people per 1000 ft<sup>2</sup> of floor area (based on the Design Occupant Density column of Table 402.3 of the (Washington State) Seattle Mechanical Code), and are served by systems with one or more of the following:

- a. An air-side economizer,
- b. Automatic modulating control of the outdoor air damper, or
- c. A design outdoor ventilation airflow of all systems serving the space combined greater than 3000 cfm.

EXCEPTIONS:

- 1. Systems with energy recovery complying with Section 1436.
- 2. Spaces with a combined design outdoor airflow less than 1000 cfm.
- 3. Spaces where the supply airflow rate (minimum intake or outgoing transfer air) equals or exceeds 1000 cfm.

1412.8.2 Ventilation Controls for Laboratories: Demand-based ventilation control or setback control of ventilation is required for laboratory spaces with a design air change rate greater than or equal to 6 air changes per hour (ACH). The system shall be capable of reducing the ventilation to 2 ACH.

1412.9 Enclosed Loading Dock, (and) Parking Garage, and Motor Vehicle Repair Garage Exhaust Ventilation System Control: Mechanical ventilation systems for enclosed loading docks, (and) parking garages, and motor vehicle repair garages shall be designed to exhaust the airflow rates (maximum and minimum) determined in accordance with the (State Mechanical Code (chapter 51-52 WAC)) Seattle Mechanical Code Section 404.

(Ventilation systems shall be equipped with a control device that operates the system automatically upon detection of vehicle operation or the presence of occupants by approved automatic detection devices. Each of the following types of ventilation shall be capable of shutting off fans or reducing fan speed.) Mechanical ventilation systems shall operate continuously to provide ventilation per Seattle Mechanical Code Section 404.2.

1. Gas sensor controllers shall be arranged to operate automatically upon detection of vehicle operation or the presence of occupants by approved automatic detection devices and shall be equipped with gas sensor systems that modulate the ventilation system by staging fans or varying fan speed to maintain gas concentrations below specified maximum levels (used to activate the exhaust ventilation system; shall stage or modulate fan speed upon detection of specified gas levels). All equipment used in sensor-controlled systems shall be designed for the specific use and installed in accordance with the manufacturer's recommendations. The following are minimum gas sensor system requirements:

a. (Garage and) In enclosed loading docks, parking garages, and motor vehicle repair garages used predominantly by gasoline-powered vehicles shall be equipped with a controller and a full array of carbon monoxide (CO) sensors set to maintain levels of carbon monoxide below 35 parts per million (ppm). Spacing and location of the sensors shall be installed in accordance with manufacturer recommendations.

b. In enclosed loading docks, parking garages, and motor vehicle repair garages (W) where more than 20 percent of the vehicles using the garage or loading dock are powered by nongasoline fuels, the area exposed to nongasoline fueled vehicle exhaust shall be equipped with a controller and fuel-appropriate sensors. The set-point for the nongasoline sensors shall be no less than the standard used by OSHA for eight-hour exposure. The controller shall activate the ventilation system when sensor set-point is reached. Spacing and location of the sensors shall be installed in accordance with manufacturer recommendations.

2. Automatic time clocks used to activate the system shall activate the system during occupied periods. The time clock shall be capable of scheduling multiple start and stop times for each day of the week, varying the daily schedule, and rebining programming for a 10-hour period during loss of power.

3. Occupant detection sensors used to activate the system shall detect entry into the parking garage along both the vehicle and pedestrian pathways.

1412.9.1 System Activation Devices for Enclosed Loading Docks. Ventilation systems for enclosed loading docks shall operate continuously and shall be staged or vary fan speed by gas sensors.

EXCEPTION: Enclosed loading docks having a total design capacity less than 2,000 cfm are permitted to use occupancy sensors on the ceiling with a manual override switch. Single zones that include shall be provided to persons in the loading dock area. The system shall operate using one of the methods and shall be capable of shutting off fans or reducing fan speed for the entire week, varying the daily schedule, and rebining programming for a 10-hour period during loss of power.

(As activated by one of the following:

- 1. Gas sensors; or
2. Time clock and a manual override switch located in the dock area that is accessible to persons in the loading dock area.)

1412.9.2 System Activation Devices for Enclosed Parking Garages. Ventilation systems for enclosed parking garages shall operate continuously and shall be staged or vary fan speed by gas sensors.

EXCEPTIONS:

1. Enclosed parking garages or motor vehicle repair garages having a total design capacity less than 5,000 cfm are permitted to use either of the following:

a. An automatic time clock that activates the system during occupied periods that is capable of scheduling multiple start and stop times for each day of the week, varying the daily schedule, and rebining programming for a 10-hour period during loss of power.

b. An occupant detection system that activates the system when entry into the enclosed space along a vehicle or pedestrian pathway is detected.

2. For enclosed parking garages that are adjacent to a vehicle intake the same ventilation system shall be used during periods when the garage is not scheduled to be open provided that the air in the below grade is not returned to the building.

- a. Enclosed parking garages that use a total coverage gas detection system.
b. Gas detection system's combustion engine exhaust fans vary fan speed to maintain specified gas concentration levels below specified maximum levels.
c. Staging operation for a minimum of 15 minutes for periods when the garage is closed.

In support of this section, enclose building surfaces that are exposed to vehicle exhaust are enclosed or constructed of masonry structures that have positive exterior air sealant at all exterior joints and penetrations that have a total air leakage of less than 0.05 cfm/ft² at 0.01 in. w.g. and shall include gas detection devices that are capable of detecting gas concentrations above specified maximum levels below specified maximum levels.

(As activated by gas sensors.

EXCEPTION: A parking garage ventilation system having a total design capacity of 5,000 cfm may use a time clock or occupant sensors.)

1412.9.3 System Activation Devices for Enclosed Motor Vehicle Repair Garages. Ventilation systems for enclosed motor vehicle repair garages shall operate continuously and shall be staged or vary fan speed by gas sensors.

EXCEPTION: Motor vehicle repair garages are permitted to operate during the periods when the garage is closed provided that the system shall be capable of scheduling multiple start and stop times for each day of the week, varying the daily schedule, and rebining programming for a 10-hour period during loss of power.

1412.10 Single Zone Variable-Air-Volume Controls. HVAC systems shall have variable airflow controls as follows:

a. Air-handling and fan-coil units with chilled-water cooling coils and supply fans with motors greater than or equal to 5 hp shall have their supply fans controlled by variable-speed drives or electronically-commutated motors. At cooling demands less than or equal to 50% the supply fan controls shall be able to reduce the airflow to no greater than the larger of the following:

- 1. One-half of the full fan speed; or
2. The volume of outdoor air required to meet the ventilation requirements of the Seattle Mechanical Code.

b. Effective January 1, 2012, all air conditioning equipment and air-handling units with direct expansion cooling and a cooling capacity at the rating conditions in the AHRI standard appropriate to the equipment, greater than or equal to 110,000 Btu/h that serve single zones shall have their supply fans controlled by variable speed drives or electronically-commutated motors. At cooling demands less than or equal to 50% the supply fan controls shall be able to reduce the airflow to no greater than the larger of the following:

- 1. Two-thirds of the full fan speed; or
2. The volume of outdoor air required to meet the ventilation requirements of the Seattle Mechanical Code.

1413.1 Operation: Air economizers shall be capable of automatically modulating outside and return air dampers to provide 100 percent of the design supply air as outside air to reduce or eliminate the need for mechanical cooling. The design supply air is the total airflow provided through the heating or cooling supply. Systems shall provide a means to relieve excess outdoor air during air economizer operation to prevent overpressurizing the building. Air economizers shall be used for RS-99 analysis base case for all systems without exceptions in Sections 1413, 1423, or 1433. Water economizers, when allowed by Section 1132.2 exception 1 or Section 1433 exceptions 2 and 9, shall be capable of providing the total concurrent cooling load served by the considered terminal equipment lacking airside economizer, at outside air temperatures of 50°F dry-bulb/45°F wet-bulb and below. For this calculation, all factors including solar and internal load shall be the same as those used for peak load calculations, except for the outside temperatures.

1413.3 Integrated Operation: The HVAC system and its controls shall allow economizer operation when mechanical cooling is required simultaneously. Air and water economizers shall be capable of providing partial cooling even when additional mechanical cooling is required to meet the remainder of the cooling load.

- EXCEPTIONS:
1. Indirect direct expansion units that have a rated capacity less than 65,000 Btu/h and use non-integrated economizer controls that produce simultaneous operation of the economizer and mechanical cooling.
2. Water-cooled water chillers with variable speed drives.

1413.5 Economizer Heating System Impact. Any HVAC system that increases the building heating energy use during economizer operation is not allowed (e.g. single-fan/ dual-duct systems and multizone systems).

EXCEPTION: When the heating is allowed by Section 1433.

Informative Note: Single-duct dual-zone systems and dual-zone systems do not comply with this requirement. This is because economizer operation causes the temperature of the air entering the hot-duct zone to fall, increasing its energy use. In order to use this type of system, the system must meet one of the economizer exceptions and have neither type of economizer. (Another resolution is to use a dual-duct dual-zone system where the hot-duct fan supplies only return air or return air plus minimum ventilation air.) This requirement will not apply to dual-zone multizone systems that cannot source return air from a return air plenum. An exception to this section 1413.5 is provided for economizers on VAV systems that cause zone level heating to increase due to a reduction in supply air temperature. Reducing supply air temperature on a cooling VAV system will reduce fan energy consumption. If the system has a variable speed drive, the energy reduction is a function of what energy. See the discussion and diagrams in the ASHRAE Handbook 2001-2007, Users Manual, Section 63.1.4, page 6-52.

1414.1 Duct Sealing and Testing: Duct work and plenums shall be sealed in accordance with Section 1414.1.1. Additionally, ducts shall be tested in accordance with Sections 1414.1.2 and 1414.1.3 as required.

1414.1.1 Sealing: Duct work which is designed to operate at pressures above 1/2 inch water column static pressure shall be sealed as follows:

- 1. Static pressure 1/2 inch to 3 inches: Seal all transverse joints and longitudinal seams. Spiral lock seams in round and flat-oval duct work do not require sealing; however, other seams shall be sealed.
2. Static pressure above 3 inches: Seal all transverse joints, longitudinal seams and duct wall penetrations.

For the purposes of this section, longitudinal seams are joints oriented in the direction of airflow. Transverse joints are connections of two duct sections oriented perpendicular to airflow. Duct wall penetrations are openings made by any screw fastener, pipe, rod or wire. All other connections are considered bypass joints, including but not limited to splices, raps and other branch connections, access door frames and jams, duct connections to equipment.

All low pressure supply and return air systems not located entirely within the conditioned space, including the unconditioned side of enclosed stud bays or joist cavities/spaces used to transport air, shall be securely fastened and sealed. Duct work shall be sealed using wicks, gaskets, mastic, or mastic-plus-embedded-fabric tape. Enclosed stud bays or joist cavities/spaces used to transport air shall be sealed using mastic-plus-embedded fabric tape, or when drywall is used to enclose the air system, drywall mud and tape. Duct tape is not permitted as a sealant on any ducts.

EXCEPTION: Above glass door systems shall be in accordance with Standard UL 181A and double door systems installed in accordance with Standard UL 181B in use of operable fire door systems.

1414.1.2 Low Pressure Duct Leak Test: All duct systems shall be sealed to a leakage rate not to exceed 6 percent of the fan flow if the duct system:

- 1. Is connected to a constant volume, single zone, air conditioner, heat pump or furnace; and
2. Serves less than 5,000 square feet of floor area; and
3. Has more than 25 percent duct surface area located in any unconditioned space.

The leakage rate shall be confirmed through field verification and diagnostic testing, in accordance with SMACNA Duct Leakage Test Procedures - 1985.

1414.1.3 High Pressure Duct Leak Test: Duct work that is designed to operate at static pressures in excess of 3 inches water column and all ductwork located outside the building envelope shall be leak-tested in accordance with SMACNA Duct Leakage Test Procedures - 1985. Representative sections totaling not less than (25) 25 percent of the total installed duct area for the designated pressure class and all exterior locations outside the building envelope shall be tested. Duct systems with pressure ratings in excess of 3 in. w.g. shall be identified on the drawings. The maximum permitted duct leakage shall be:

L\_max = C\_d \* P^0.65
Where:
L\_max = Maximum permitted leakage in cfm/100 ft² duct surface area.
C\_d = Duct leakage class, cfm/100 ft² at 1 in. w.g.
C\_d = 6 for rectangular sheet metal, rectangular fibrous, and round flexible ducts
C\_d = 3 for round flat oval sheet metal or fibrous glass ducts.
P = Test pressure, which shall be equal to the design duct pressure class rating in in. w.g.

1414.2 Insulation: Ducts and plenums that are constructed and function as part of the building envelope, by separating interior space from exterior space, shall meet all applicable requirements of Chapter 13. These requirements include insulation installation, moisture control, air leakage,

and building envelope insulation levels. (Unheated equipment rooms with combustion air louvers shall be isolated from the conditioned space by insulating interior surfaces to a minimum of R-11 and any exterior envelope surfaces per Chapter 13). Outside air ducts serving individual supply air units with less than 2,000 cfm of total supply air capacity shall be insulated to a minimum of R-7 and are not considered building envelope. Other outside air duct runs are considered building envelope until they:

- 1. connect to the heating or cooling equipment; or
2. are isolated from the exterior with an automatic shut-off damper complying with Section 1412.4.1.

Once outside ducts meet the above listed requirements, any runs within conditioned space shall comply with Table 14-5 requirements.

Other ducts and plenums shall be thermally insulated per Table 14-5.

EXCEPTIONS:

- 1. Wall tie HVAC equipment.
2. Exterior ducts not subject to equipment.
3. Exposed ducts within areas that access the zone.

1415 Piping Systems

1415.1 Insulation: Piping shall be thermally insulated in accordance with Table 14-6.

EXCEPTION: Piping limited to building HVAC equipment. Cold water pipes outside the conditioned space shall be insulated in accordance with the Washington State Plumbing Code (WAC 51.56).

1415.2 Radiant Systems.

1415.2.1 Sensible Heating and Sensible Cooling Panel Insulation. All thermally effective panel surfaces of sensible heating panels and sensible cooling panels, including tie beams and headers, shall be insulated with a minimum of R-3.5. Adjacent envelope insulation counts toward this requirement.

1415.2.2 Radiant Floor Heating and Radiant Ceiling Cooling. The bottom surfaces of floor structures incorporating radiant heating and the top surfaces of ceiling structures incorporating radiant cooling shall be insulated with a minimum of R-3.5. Adjacent envelope insulation counts toward this requirement.

EXCEPTION: Radiant heating or cooling shall incorporate radiant insulation as Chapter 13.

1416 Commissioning and Completion Requirements.

1416.1 General. Drawing notes or specifications shall require commissioning and completion requirements in accordance with this section.

1416.2 Commissioning Scope. Commissioning in accordance with this section and Section 1513.(9) shall be required for new systems or modified portions of systems, with a heating capacity of 6000 Btu/h or a cooling capacity of 40 tons or more).

1416.2.1 Buildings which require commissioning shall go through a commissioning process that includes as a minimum:

- 1. Commissioning plan;
2. Systems testing and balancing;
3. HVAC equipment and HVAC controls functional testing;
4. Supporting documentation in the form of operation and maintenance and record documents;
5. Commissioning report.

1416.3 Commissioning Requirements.

1416.3.1 Commissioning Plan. Commissioning plan shall include:

- 1. A general description of the commissioning process activities including the systems to be commissioned;
2. The scope of the commissioning process including systems testing and balancing, functional testing, and supporting documentation;
3. Roles and responsibilities of the commissioning team;
4. A schedule of activities including systems testing and balancing, functional testing, and supporting documentation;
5. Functional test procedures and forms.

1416.3.2 Systems Testing and Balancing.

1416.3.2.1 General. All HVAC air and hydronic systems shall be balanced in accordance with generally accepted engineering standards.

1416.3.2.2 Air Systems Balancing. Throttling losses shall be minimized by balancing the systems or adjusting the speed of fans with motors greater than 1 hp.

1416.3.2.3 Hydronic Systems Balancing. Throttling losses shall be minimized by balancing the systems, or trimming the pump impeller or adjusting the pump speed.

EXCEPTIONS: 1. Fans with pump motors of 1/2 hp or less.
2. Trimming is an acceptable method of balancing only if the power draw does not exceed that of equivalent system with the impeller trimmed by more than 5 percent.

All hydronic heating or cooling coils with design flow exceeding 20 gpm (76 l/min) shall be equipped with dedicated pressure testing ports to enable testing of pressure drop through the coil. All hydronic heating or cooling systems served by pumps exceeding 5 hp (3.7 kW) shall be equipped with accessible pressure testing ports to enable testing supply and return pressure near the end of each major hydraulic run.

1416.3.3 Systems, Equipment, and Controls Functional Testing. All HVAC systems, equipment, and controls as well as metering as specified in Chapter 12 and lighting controls as specified in Section 1513.(7) shall be tested to ensure that control devices, components, equipment and systems are calibrated, adjusted and operate in accordance with sequences of operation prescribed in the construction documents. Written procedures which clearly describe the individual system test procedures, the expected systems response or acceptance criteria for each procedure, the actual response or findings, and any pertinent discussion. Optional examples of test methods and forms are provided in Reference Standard 34.

1416.3.4 Supporting Documentation. Supporting documentation shall include, as a minimum:

- 1416.3.4.1 Systems Documentation. Systems documentation shall be in accordance with industry accepted standards and shall include as a minimum:
1. Submittal data stating equipment size and selected options for each piece of equipment.
2. Operation and maintenance manuals for each piece of equipment requiring maintenance, except equipment not furnished as part of the project. Required routine maintenance actions shall be clearly identified.
3. Names and addresses of at least one HVAC service agency.
4. HVAC controls system maintenance and calibration information, including wiring diagrams, schematics, as-built drawings and control sequence descriptions. Desired or field determined set points shall be permanently recorded on control drawings at control devices, or for digital control systems, in programming comments.
5. Complete written narrative of how each system and piece of equipment is intended to operate including interface with existing equipment or systems (where applicable). Sequence of operation is not acceptable as a narrative for this requirement.